

**Part III - Section J**  
**Appendix G**  
**List of Applicable Directives and NNSA Policy Letters**

In addition to the list of applicable directives referenced below, the contractor shall also comply with supplementary directives (e.g., manuals), which are invoked by a Contractor Requirements Document (CRD) attached to a directive referenced below.

<b>DIRECTIVE NUMBER</b>	<b>DATE</b>	<b>DOE DIRECTIVE TITLE</b>
APPH Chapter X Revision 10	09/08/98	Accounting Practices & Procedures Handbook Chapter X - Product Cost Accounting
DOE O 110.3	11/3/99	Conference Management
DOE O 130.1	9/29/95	Budget Formulations Process
DOE M 140.1-1B	3/30/01	Interface with the Defense Nuclear Facilities Safety Board
DOE O 142.1	1/13/04	Classified Visits Involving Foreign Nationals
DOE O 142.2A	12/15/06	Safeguards Agreement and Protocol with the International Atomic Energy Agency
DOE M 142.2-1	9/04/08	Manual for the Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE O 142.3 Chg 1 dated 02/28/08	6/18/04	Unclassified Foreign Visits and Assignments
DOE O 144.1	1/16/2009	Department of Energy American Indian Tribal Government Interactions and Policy
DOE O 150.1	5/8/2008	Continuity Programs <sup>1</sup>
DOE O 151.1C	11/02/05	Comprehensive Emergency Management System
DOE O 153.1	06/27/07	Departmental Radiological Emergency Response Assets
DOE N 153.2	8/11/03	Connectivity to National Atmospheric Release Advisory Center (NARAC)
DOE O 200.1A	12/23/2008	Information Technology Management
DOE M 200.1-1	02/15/00	Chapter 9 Public Key Cryptography and Key Management
DOE O 205.1A	12/04/06	Department of Energy Cyber Security Management Program
DOE M 205.1-3	04/17/06	Telecommunications Security Manual
DOE M 205.1-4	03/08/07	National Security Systems Manual
DOE M 205.1-5	08/12/2008	Cyber Security Process Requirements Manual
DOE M 205.1-6	12/23/2008	Media Sanitization Manual
DOE M 205.1-7	01/05/2009	Security Controls for Unclassified Information Systems Manual
DOE M 205.1-8	1/08/2009	Cyber Security Incident Management Manual
DOE O 206.1	1/16/2009	Department of Energy Privacy Program

<sup>1</sup> Full implementation will occur in accordance with Sandia Corporation's (Sandia) approved Implementation Plan. Sandia will meet all but four of the order requirements within the one-year implementation requirement. An extension of one additional year is granted for the four remaining requirements of the subject directive as follows (Reference contract modification M309): (1) CRD Section 1.d (2) CRD Section 2.b (3) CRD Section 2.e (4) CRD Section 2.i.

<b>DIRECTIVE NUMBER</b>	<b>DATE</b>	<b>DOE DIRECTIVE TITLE</b>
DOE N 206.4	06/29/07 (DOE N 251.74 extends this order until 06/29/2009)	Personal Identity Verification <sup>2</sup>
DOE O 210.2	06/12/06	DOE Corporate Operating Experience Program
DOE O 221.1A	04/19/08	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2A	02/25/08	Cooperation With The Office of Inspector General
DOE O 225.1A	11/26/97	Accident Investigations
DOE O 226.1A	07/31/07	Implementation of Department of Energy Oversight Policy
DOE M 231.1-1A Chg 1 dated 09/09/04; Chg. 2 dated 06/12/07	03/19/04	Environment, Safety, and Health Reporting Manual
DOE M 231.1-2	8/19/03	Occurrence Reporting and Processing of Operations Information
DOE N 234.1	02/27/08	Reporting of Radioactive Sealed Sources
DOE O 241.1A Chg 1	10/14/03	Scientific and Technical Information Management
DOE O 243.1	2/03/2006	Records Management Program
DOE O 243.2	2/02/2006	Vital Records
DOE O 251.1B	08/16/06	Directives System
DOE M 251.1-1B	08/16/06	Departmental Directives Program
DOE O 252.1	11/19/99	Technical Standards Program
DOE O 350.1, Chg 1 dated 5/8/98	9/30/96	Contractor Human Resource Management Programs
DOE O 350.2A	10/29/03	Use of Facility Contractor Employees for Services to DOE in the Washington, D.C., Area
DOE O 412.1A	4/21/05	Work Authorization System
DOE O 413.1B	10/28/08	Internal Control Program
DOE O 413.2B	4/19/06	Laboratory Directed Research & Development
DOE O 413.3A Chg 1 dated 11/17/2008	07/28/06	Program & Project Management for the Acquisition of Capital Assets
DOE O 414.1C	6/17/05	Quality Assurance
DOE O 420.1B	12/22/05	Facility Safety
DOE O 420.2B	7/23/04	Safety of Accelerator Facilities
DOE O 425.1C	3/13/03	Startup and Restart of Nuclear Facilities
DOE O 430.1B, Chg. 1 dated 02/08/2008	9/24/03	Real Property Asset Management
DOE O 430.2B	2/27/08	Departmental Energy Renewable Energy and Transportation Management
DOE O 433.1A	2/13/07	Maintenance Management Program for DOE Nuclear Facilities
DOE O 435.1, Chg. 1 dated 8/28/01	7/9/99	Radioactive Waste Management
DOE M 440.1-1A	01/09/06	DOE Explosives Safety Manual
DOE O 440.2B, Chg. 1 dated 11/19/06	11/27/02	Aviation Management and Safety
DOE O 442.1A	6/6/01	Department Of Energy Employee Concerns Program
DOE M 442.1-1	11/16/06	Differing Professional Opinions Manual for Technical Issues Involving Environment, Safety, and Health

<sup>2</sup> Full implementation will occur in accordance with SNL's approved Implementation Plan.

<b>DIRECTIVE NUMBER</b>	<b>DATE</b>	<b>DOE DIRECTIVE TITLE</b>
DOE O 443.1A	12/20/2007	Protection of Human Subjects
DOE O 450.1A	6/4/08	Environmental Protection Program
DOE M 450.4-1	11/01/06	Integrated Safety Management System Manual
DOE O 452.1C	09/20/05	Nuclear Explosive and Weapon Surety Program
DOE O 452.2C	06/12/06	Nuclear Explosive Safety
DOE M 452.2-1	06/12/06	Nuclear Explosive Safety
DOE O 452.3	6/08/05	Management of the Department of Energy Nuclear Weapons Complex
DOE O 452.4A	12/17/01	Security and Control of Nuclear Explosives and Nuclear Weapons
DOE O 452.6	10/19/06	Nuclear Weapon Surety Interface with the Department of Defense
DOE M 452.4-1A	3/11/04	Protection of Use Control Vulnerabilities and Designs
DOE N 456.1	01/05/2009	The Safe Handling of Unbound Engineered Nanoparticles
DOE O 457.1	2/07/06	Nuclear Counter-terrorism
DOE M 457.1-1	08/10/06	Control of Improvised Nuclear Device Information
DOE O 460.1B	4/4/03	Packaging and Transportation Safety
DOE O 460.2A	12/22/04	Departmental Materials Transportation & Packaging Management
DOE M 460.2-1A	6/4/08	Radioactive Material Transportation Practices
DOE O 461.1A	4/26/04	Packaging and Transfer or Transportation of Materials of National Security Interest
DOE O 462.1	11/10/08	Import and Export of Category 1 and 2 Radioactive Sources and Aggregated Quantities
DOE O 470.2B	10/31/02	Independent Oversight and Performance Assurance Program
DOE O 470.3B	08/12/08	Graded Security Protection (GSP) Policy
DOE M 470.4-1, Chg. 1 dated 03/07/06	08/26/05	Safeguards and Security Program Planning and Management
DOE M 470.4-2, Chg. 1 dated 03/07/06	08/26/05	Physical Protection
DOE M 470.4-3A	11/05/08	Contractor Protective Force
DOE M 470.4-4A	1/16/2009	Information Security Manual
DOE M 470.4-5	08/26/05	Personnel Security
DOE M 470.4-6, Chg. 1 dated 08/14/06	08/26/05	Nuclear Material Control and Accountability
DOE O 471.1A	6/30/00 (DOE N 251.64 extends this Order until 7/7/06)	Identification and Protection of Unclassified Controlled Nuclear Information
DOE M 471.2-3B	10/29/07	Special Access Program Policies, Responsibilities, and Procedures
DOE O 471.3	4/9/03	Identifying and Protecting Official Use Only Information
DOE M 471.3-1	4/9/03	Manual for Identifying and Protecting Official Use Only Information
DOE O 475.1	12/10/04	Counterintelligence Program
DOE M 475.1-1B	08/28/07	Manual for Identifying Classified Information
DOE O 475.2	08/28/07	Identifying Classified Information
DOE O 482.1	1/12/01	DOE Facilities Technology Partnering Programs
DOE O 483.1	1/12/01	DOE Cooperative Research & Development Agreements
DOE O 484.1	08/17/06	Reimbursable Work for the Department of Homeland Security
DOE O 522.1	11/03/04	Pricing of Departmental Materials and Services
DOE O 534.1B	1/6/03	Accounting

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE O 551.1C	6/24/08	Official Foreign Travel
DOE O 580.1 Chg 1 dated 05/08/08	12/07/05	Department of Energy Personal Property Management Program
DOE O 1450.4	11-12-92	Consensual Listening-In to or Recording Telephone/Radio Conversations <sup>3</sup>
DOE 5400.5 Chg. 2 dated 1/7/93	2/8/90	Radiation Protection of the Public & the Environment ( <i>except 1a(3)(a) of Chapter II cancelled per DOE O 231.1</i> )
DOE 5480.19, Chg. 2 dated 10/23/01	7/9/90	Conduct of Operations Requirements for DOE Facilities
DOE 5480.20A, Chg. 1 dated 7/12/01	11/15/94	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities
DOE 5480.30, Chg. 1 dated 3/14/01	1/19/93	Nuclear Reactor Safety Design Criteria
DOE 5660.1B	5/26/94	Management of Nuclear Materials
56XB Rev. 2	5/10/04 (Release Date)	Nuclear Weapon Development and Production <sup>4</sup>
SEN-22-90	5/8/90	DOE Policy on Signatures of RCRA Permit Applications
SEN-35-91	9/9/91	Nuclear Safety Policy
NAP 14.1-C	5/02/08	NNSA Baseline Cyber Security Program
NAP 14.2-C	5/02/08	NNSA Certification and Accreditation (C&A) Process for Information Systems
NAP 14.3-B	5/02/08	Transmission of Restricted Data Over Secret Internet Protocol Router Network (SIPRNet)

<sup>3</sup> a) All paragraphs of DOE O 1450.4 are applicable to Sandia with the exception of the following: 7a, 7b, 7c, 7f, 8b and 8c. b) References to the organization AD-20, Director, Information Resources should read NA-65, Office of the Chief Information Officer. c) References to the organization SA-1, Director Office of Security Affairs, should read SO-1, Office of Security. d) Paragraph 7.d. Replace “Heads of Departmental Elements” with “The contractor” (e.g., The contractor shall...). e) Paragraph 7.d.(2) Replace “AD-24 or SA-1, as appropriate” with the “Sandia Site Office, Manager” and remove “or forward the documentation through the respective PSO.” f) Paragraph 8.a. Replace “Heads of Departmental Elements” with “The contractor”; replace “AD-24” with the “Sandia Site Office, Manager” and replace SA-1 with SO-1. g) Paragraph 8.a. Add “through the Sandia Site Office” at the end of the last sentences so it reads ...shall be submitted to SO-1 through the Sandia Site Office. h) Paragraph 8.e. Replace “Departmental Elements” with “The contractor” at the beginning of the second sentence and change “AD-20 or SA-1” with “the Sandia Site Office.” i) Paragraph 8.f. Change “Organizational elements authorized” to “The contractor as authorized.”

<sup>4</sup> Changes to the 56XB, Nuclear Weapon Development and Production (D&P) Manual and the D&P derived requirements are processed as described in contract clause H-33(e) (Reference contract modification M216). The date listed above reflects the Rev 2 release date and not the most recent change, which is identified through the process described in H-33(e).