

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE AC	PAGE 1 of 30 PAGES
2. AMENDMENT/MODIFICATION NO. A174	3. EFFECTIVE DATE 31 July 2008	4. REQUISITION/PURCHASE REQ. NO. NOPR	5. PROJECT NO. (If applicable)	
6. ISSUED BY U.S. Department of Energy National Nuclear Security Administration P.O. Box 2050 Oak Ridge, TN 37831		7. ADMINISTERED BY (If other than Item 6) CODE		
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, state, ZIP Code) Babcock & Wilcox Technical Services Y-12, LLC P.O. Box 2009 MS 8014 Oak Ridge, TN 37831-8014		9A. AMENDMENT OF SOLICITATION NO.		
CODE		9B. DATED (SEE ITEM 11)		
FACILITY CODE		10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800		
		10B. DATED (SEE ITEM 13) August 31, 2000		

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation as amended, by one of the following methods:
 (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required): This action deobligates \$ 3,544,023.01

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS;
IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO (Specify authority): THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE-NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (Such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: P.L. 95-91 and Mutual Agreement; I.144 Obligation of Funds, I.105 Key Personnel; I.85 Laws, Regulations, and DOE Directives
	D. OTHER (Specify type of modification and authority): Clauses I.144 Obligation of Funds

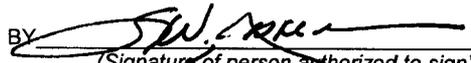
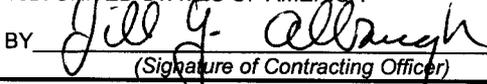
E. IMPORTANT: Contractor is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to deobligate funding, revise Attachment D – Key Personnel, and incorporate the latest Requirements Change Notice NNSA-31 into the contract.

See Page 2

Except as provided herein, all terms and conditions of the document referenced in Items 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Scott W. Baker, Senior Vice President Business Services		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Jill Y. Albaugh Contracting Officer	
15B. CONTRACTOR/OFFEROR BY  (Signature of person authorized to sign)	15C. DATE SIGNED 8-7-08	16B. UNITED STATES OF AMERICA BY  (Signature of Contracting Officer)	16C. DATE SIGNED 8/7/08

NSN 754-01-152-8070
PREVIOUS EDITION UNUSABLE
MS ()

30-105

STANDARD FORM 30 (REV. 10-83) temp03.dot
Prescribed by GSA
FAR (48 CFR) 53.243

1. Pursuant to the provisions of Clause I.144, the first sentence of paragraph (a) is hereby changed to read as follows: "The amount presently obligated by the Government with respect to this contract is \$6,049,542,550.81

This represents an decrease of \$3,544,023.01 from \$6,053,086,573.82 to \$6,049,542,550.81 (\$3,416,296,389.13 obligated by Oak Ridge Office and \$2,633,246,161.68 obligated by the NNSA Service Center). \$3,100,000.00 of the total obligated amount is for transition activities. This change is the result of a net decrease by the NNSA Service Center on FY 2008 Approved Funding Programs No. 19 and 20.

2. The current Section J, Attachment D--Key Personnel list is deleted and replaced with the enclosed Attachment D—Key Personnel.
3. The enclosed Requirements Change Notice (RCN) Number NNSA-31 supersedes RCN Number NNSA-30. NNSA-31 is incorporated into the contract at Part III—List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E – Baseline List of Required Compliance Documents

All other terms and conditions remain unchanged.

End of Modification

PART III—LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS
Section J. Attachments
Attachment D – Key Personnel

KEY PERSONNEL

President and General Manager	Darrel P. Kohlhorst
Senior Vice President, Business Services	Scott W. Baker
Senior Vice President, Transformation and Projects	John P. Howanitz
Senior Vice President, Chief Human Capital Officer	Debra J. Shecterle
Vice President and Executive Director, Science Technology and Partnerships	Randy J. Spickard
Vice President, Safeguards & Security	Willis L. Clements
Vice President, Programs and Quality	William G. Reis
Vice President, Engineering	John H. Gertsen
Vice President, Facilities, Infrastructure & Services	William R. Klemm
Vice President, Production	Leslie L. Reed
Vice President, Environment, Safety & Health	Michelle M. Reichert
Vice President, Y-12 Productivity	Nancy A. Johnson
Chief, Nuclear Safety Operations	Joseph G. Henry
Senior Director, Waste Operations & Integrated Facilities Disposition Program	John W. Krueger
Chief Financial Officer	Robert M. Gifford

DOE Form (04/1991) <p style="text-align: center;">U.S. Department of Energy Requirements Change Notice</p>	No.: NNSA-31 Page 1 of 29 Pages
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-31 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><u>ADDITIONS:</u></p> <p>DOE O 142.3, Chg. 1 DOE O 221.3A DOE O 430.1B, Chg. 1 DOE O 430.2B</p> <p><u>DELETIONS:</u></p> <p>DOE O 221.3 DOE O 430.2A TBP-DEF, Issue J</p> <p><u>MODIFICATIONS:</u></p> <p>DOE O 413.3A, Deleted compliance line NAP 14.16, Modified of compliance line</p> <p><u>UPDATES:</u></p> <p>S/RID 7.0 - Revised Engineering Program Functional Area Requirements S/RID 8.0 - Revised Construction Program Functional Area Requirements S/RID 12.0 - Revised Fire Protection Functional Area Requirements S/RID 18.0 - Revised Facility (Nuclear) Safety Functional Area Requirements S/RID 19.0 - Revised Occupational Safety and Health Functional Area Requirements S/RID 20.0 - Revised Environmental Protection Requirements</p>	
DOE AUTHORIZING SIGNATURE: Jill Y. Albaugh, Contracting Officer	DATE:

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-821</u> <hr style="border-top: 1px dashed black;"/> S/RID 20.0 Rev. 17	03/26/2008	ENVIRONMENTAL PROTECTION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-13-EP dtd 03/26/2008 B&W Y-12 ltr dtd 02/28/2008
<i>Type 2 change to incorporate a new requirement taken from Executive Order 13423, "Strengthening Federal Environmental, Energy and Transportation Management," dated 01/26/2007.</i>				
<u>RD-822</u> <hr style="border-top: 1px dashed black;"/> DOE O 430.1B	09/24/2003	Real Property Asset Management	1 02/08/2008	DOE ltr dtd 03/05/2008 B&W Y-12 ltr dtd 04/02/2008
<i>Modification of DOE O 430.1B to incorporate Change 1.</i>				
<u>RD-823</u> <hr style="border-top: 1px dashed black;"/> TBP-DEF	08/26/2005	Nuclear Weapons Complex Abbreviations, Acronyms, and Definitions	Issue J	B&W Y-12 ltr dtd 04/08/2008
<i>Deletion: Rendered inactive by Issue K., dated 08/23/2006. Information addressed by this document is currently maintained in the NWC PRP online Glossary.</i>				
<u>RD-824</u> <hr style="border-top: 1px dashed black;"/> S/RID 12.0 Rev. 15	04/10/2008	FIRE PROTECTION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-11-FP dtd 04/10/2008 B&W Y-12 ltrs dtd 03/13/2008 and 01/23/2008
<i>Type 2 change to replace two requirements taken from DOE G 440.1-5, "Implementation Guide for Use with DOE Orders 420.1 and 440.1 Fire Safety Program," with two requirements taken from DOE G 420.1-3, "Implementation Guide for DOE Fire Protection and Emergency Services Programs for Use with DOE O 420.1B, Facility Safety;" delete one requirement taken from DOE G 440.1-5, which is not included in DOE G 420.1-3; and replace five requirements taken from DOE O 420.1B, "Facility Safety," to update the associated implementation assumptions.</i>				
<u>RD-825</u> <hr style="border-top: 1px dashed black;"/> DOE O 430.2B	02/27/2008	Departmental Energy, Renewable Energy and Transportation Management		DOE ltr dtd 03/20/2008 B&W Y-12 ltr dtd 04/17/2008
<i>Addition with the following compliance line: Compliance: This Order is only one part of the total program directed at strengthening federal environmental, energy and transportation management. Other components of that program include Executive Order 13423 and DOE O 450.1A, "Environmental Protection Program." DOE O 450.1A remains in draft and has not been issued by DOE as of this date. An implementation plan to address the total program elements, including those of DOE O 430.2B will be necessary. The required implementation plan is currently under development based on the overarching requirements of Executive Order 13423 and pending final issue of DOE O 450.1A.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-826 ----- DOE O 430.2A	04/15/2002	Departmental Energy and Utilities Management		DOE ltr dtd 03/20/2008 B&W Y-12 ltr dtd 04/17/2008
<i>Deletion: Cancelled by DOE O 430.2B.</i>				
RD-827 ----- S/RID 7.0 Rev. 28	04/22/2008	ENGINEERING PROGRAM		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-11-FP dtd 04/10/2008 B&W Y-12 e-mail message dtd 04/22/2008 and B&W Y-12 ltrs dtd 03/13/2008 and 01/23/2008
<i>Type 1 change to align with approved change in lead functional area, which resulted in replacement of one requirement taken from DOE G 440.1-5, "Implementation guide for Use with DOE Orders 420.1 and 440.1 Fire Safety Program," with one requirement taken from DOE G 420.1-3, "Implementation Guide for DOE Fire Protection and Emergency Services Programs for Use with DOE O 420.1B, Facility Safety;" and replacement of four requirements taken from DOE O 420.1B, "Facility Safety," to update the associated implementation assumptions.</i>				
RD-828 ----- DOE O 142.3	06/18/2004	Unclassified Foreign Visits and Assignments Program	1 02/28/2008	DOE ltr dtd 04/02/2008 B&W Y-12 ltr dtd 04/24/2008
<i>Modification to incorporate Change 1.</i>				
RD-829 ----- SRID 19.0 Rev. 31	04/24/2008	OCCUPATIONAL SAFETY AND HEALTH		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-14-SH/OSH dtd 04/24/2008 B&W Y-12 ltr dtd 02/04/2008
<i>Type 2 change to incorporate a requirement taken from DOE-STD-1090-2007, "Hoisting and Rigging," dated 08/2007.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-830</u> <hr/> SRID 18.0 Rev. 19	05/21/2008	FACILITY (NUCLEAR) SAFETY		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-15-FS dtd 05/21/2008 B&W Y-12 ltr dtd 05/14/2008
<i>Type 2 change to replace requirements taken from YSO-CRD-02-01, "Identification, Evaluation, and Control of Non-Nuclear Chemical Hazards," Revision 1, dated 06/20/2002, with requirements taken from YSO-CRD-02-01, "Identification, Evaluation, and Control of Non-Nuclear Chemical Hazards," Revision 2, dated 02/15/2008, and update associated implementation assumptions; replace requirements taken from YSO-CRD-07-01, "Authorization Agreements," Revision 0, dated 06/06/2007, with requirements taken from YSO-CRD-07-01, "Authorization Agreements, Revision 1, dated 02/15/2008, and update associated implementation assumptions; and update implementation assumptions associated with 10 CFR 830, 29 CFR 1910, and 40 CFR 68.</i>				
<u>RD-831</u> <hr/> NAP 14.16	08/09/2006	Clearing, Sanitizing, and Destroying Information System Storage Media, Memory Devices and Other Related Hardware		B&W Y-12 ltr dtd 05/22/2008
<i>Modification of Compliance line: Compliance will be contingent upon approval of a revised Implementation Plan submitted to DOE on 05/22/2008.</i>				
<u>RD-832</u> <hr/> DOE O 221.3A	04/19/2008	Establishment of Management Decisions on Office of Inspector General Reports		B&W Y-12 ltr dtd 05/29/2008
<i>Addition with the following footnote: Footnote (1): This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.</i>				
<u>RD-833</u> <hr/> DOE O 221.3	12/17/2001	Establishment of Management Decisions on Office of Inspector General Reports		B&W Y-12 ltr dtd 05/29/2008
<i>Deletion: Cancelled by DOE O 221.3A.</i>				
<u>RD-834</u> <hr/> DOE O 413.3A	07/28/2006	Program and Project Management for the Acquisition of Capital Assets		DOE ltr dtd 4/17/2008 B&W Y- 12 ltr dtd 05/14/2008
<i>Deletion of Compliance line</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-835</u> <hr style="border-top: 1px dashed black;"/> SRID 7.0 Rev. 29	06/02/2008	ENGINEERING PROGRAM		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-15-FS dtd 05/21/2008 B&W Y-12 e-mail message dtd 06/02/2008 and ltr dtd 05/14/2008
<p><i>Type 1 change to align with approved change in the Facility (Nuclear) Safety lead functional area to replace requirements taken from YSO-CRD-02-01, "Identification, Evaluation, and Control of Non-Nuclear Chemical Hazards," Revision 1, dated 06/20/2002, with requirements taken from YSO-CRD-02-01, "Identification, Evaluation, and Control of Non-Nuclear Chemical Hazards," Revision 2, dated 02/15/2008; replace requirements taken from YSO-CRD-07-01, "Authorization Agreements," Revision 0, dated 06/06/2007, with requirements taken from YSO-CRD-07-01, "Authorization Agreements," Revision 1, dated 02/15/2008; and update implementation assumptions associated with 10 CFR 830.</i></p>				
<u>RD-836</u> <hr style="border-top: 1px dashed black;"/> SRID 8.0 Rev. 26	06/02/2008	CONSTRUCTION PROGRAM		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-15-FS dtd 05/21/2008 B&W Y-12 e-mail message dtd 06/02/2008 and ltr dtd 05/14/2008
<p><i>Type 1 change to align with approved change in the Facility (Nuclear) Safety lead functional area to delete requirements taken from YSO-CRD-02-01, "Identification, Evaluation, and Control of Non-Nuclear Chemical Hazards," Revision 1, dated 06/20/2002, that are no longer included in YSO-CRD-02-01, "Identification, Evaluation, and Control of Non-Nuclear Chemical Hazards," Revision 2, dated 02/15/2008; replace requirements taken from YSO-CRD-07-01, "Authorization Agreements," Revision 0, dated 06/06/2007, with requirements taken from YSO-CRD-07-01, "Authorization Agreements," Revision 1, dated 02/15/2008; and update an implementation assumption associated with 10 CFR 830.</i></p>				
<u>RD-837</u> <hr style="border-top: 1px dashed black;"/> SRID 20.0 Rev. 18	06/02/2008	ENVIRONMENTAL PROTECTION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-15-FS dtd 05/21/2008 B&W Y-12 e-mail message dtd 06/02/2008 and ltr dtd 05/14/2008
<p><i>Type 1 change to align with approved change in the Facility (Nuclear) Safety lead functional area to update implementation assumptions associated with 40 CFR 68.</i></p>				

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1	03/22/2001	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2	03/22/2001	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE P 251.1A	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM POLICY		
DOE O 251.1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2,

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
				DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.64	07/07/2005	EXTENSION OF DOE DIRECTIVES		Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
<p>DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA	DOE O 350.2A	10/29/2003
DOE N 351.1	04/27/2006	CONTRACTOR EMPLOYEE PENSION AND MEDICAL BENEFITS POLICY		
<p><i>Compliance: Implementation of this Notice has been suspended until 06/19/2007 as directed by the Secretary of Energy in a memorandum dated 06/19/2006.</i></p>				
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1A	04/18/2002	MANAGEMENT CONTROL PROGRAM		
DOE O 413.3	10/13/2000	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 01/03/2005	Cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10) and (17); and Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A.
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
DOE M 413.3-1	03/28/2003	PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<p><i>Compliance: This Order is only one part of the total program directed at strengthening federal environmental, energy and transportation management. Other components of that program include Executive Order 13423 and DOE O 450.1A, "Environmental Protection Program." DOE O 450.1A remains in draft and has not been issued by DOE as of this date. An implementation plan to address the total program elements, including those parts of DOE O 430.2B will be necessary. The required implementation plan is currently under development based on the overarching requirements of Executive Order 13423 and pending final issuance of DOE O 450.1A.</i></p>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
<p><i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/04/2007.</i></p>				
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
<p><i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 02/27/2007.</i></p>				

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE		

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
		ONLY)		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 02/27/2007.</i>				
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3A	11/29/2005	DESIGN BASIS THREAT POLICY		
<i>Compliance: Compliance will be in accordance with the Safeguards and Security Annual Operating Plan, Level I and II Milestones.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance with Section G will be in accordance with an Implementation Plan previously submitted to and approved by DOE on 02/16/2006.</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the DOE approved Y-12 Design Basis Threat Implementation Plan.</i>				

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-3	08/26/2005	PROTECTIVE FORCE	1 03/07/2006	
<i>Compliance: B&W Y-12 compliance requirement with respect to DOE M 470.4-3, Change 1, is limited to Section A, Chapter I, Paragraphs 1.a.(1)(c) and 4a (Sentences 1, 2 and 3); and Chapter VI, Paragraph 1.b.(16)(a) and 1.b.(16)(b)1; and Paragraphs 2.a.(1) through 2.a.(5) only.</i>				
DOE M 470.4-4	08/26/2005	INFORMATION SECURITY	1 06/29/2007	Cancels DOE M 471.2-4 except for the classified annex.
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with a Corrective Action Plan approved by DOE 07/25/2006.</i>				
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3. Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 02/25/2008.</i>				
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 02/25/2008.</i>				
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL	DOE M 483.1-1	01/12/2001

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS

BASELINE LIST OF REQUIRED COMPLIANCE DOCUMENTS

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: BWXT Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved BWXT Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 5, 8, 12, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1B	08/19/2003	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be contingent upon approval of a Deviation Request submitted to DOE on 07/31/2006 that is directed at a graded approach to implementing requirements contained in Attachment 2, Paragraphs 2.d.(1), 2.d.(3), 2.e.(1)(b)3, 2.e.(2), and 2.o(1); and an Implementation Plan submitted to DOE 08/30/2006.</i>				
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPETANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address:				
<u>http://prp.sandia.gov/</u>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ORO DIRECTIVES				
<p>ORO Directives can be found at the following Internet address: <u>http://www.ornl.gov/doe/doe_oro_dmg/oro_chklist.htm</u></p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 220		ASSESSMENTS		
Chapter III	05/31/1996	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS	4 04/07/2004	
Chapter IV	05/31/1996	COORDINATION OF GOVERNMENT ACCOUNTABILITY OFFICE ACTIVITIES	4 04/29/2005	
ORO O 350		CONTRACTOR HUMAN RESOURCE PROGRAMS		
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	3 01/26/2004	
ORO O 550		TRAVEL AND TRANSPORTATION		
Chapter II	12/16/2004	FOREIGN TRAVEL AUTHORIZATION	1 12/16/2004	

BASELINE LIST OF REQUIRED COMPLIANCE DOCUMENTS

List B – List of Applicable Directives

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-B	09/27/2006	NNSA CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan Addendum approved by DOE on 04/13/2007.</i>				
NAP 14.2-B	09/27/2006	BASELINE CYBER SECURITY REQUIREMENTS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan Addendum approved by DOE on 04/13/2007.</i>				
NAP 14.3-A	04/05/2006	NNSA PROTECTION PROFILE AND SECURITY TARGET REQUIREMENTS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.4-A	04/05/2006	OPEN PUBLIC UNRESTRICTED ACCESS INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NAP 14.5-A	04/05/2006	UNCLASSIFIED PROTECTED INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.6-A	04/05/2006	UNCLASSIFIED MANDATORY PROTECTION INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.7-A	04/05/2006	CONFIDENTIAL/SECRET NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.8-A	04/05/2006	SECRET RESTRICTED NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.9-A	04/05/2006	CONFIDENTIAL RESTRICTED DATA, SIGMAS 1 THROUGH 13 INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.10-A	04/05/2006	SECRET RESTRICTED DATA, SIGMAS 1 - 13 AND 15 INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.11-A	04/05/2006	SECRET RESTRICTED DATA, SIGMA 14 INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NAP 14.12	04/05/2006	NNSA CONTINGENCY PLANNING AND OPERATIONS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.13	04/05/2006	TRANSMISSION OF RESTRICTED AND FORMERLY RESTRICTED DATA OVER THE SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.14	08/09/2006	TOP SECRET INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
NAP 14.15	08/09/2006	TOP SECRET RESTRICTED DATA INFORMATION GROUP PROTECTION PROFILE		
<i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>				
NAP 14.16	08/09/2006	CLEARING, SANITIZING, AND DESTROYING INFORMATION SYSTEM STORAGE MEDIA, MEMORY DEVICES, AND OTHER RELATED HARDWARE		
<i>Compliance: Compliance will be contingent upon approval of a revised Implementation Plan submitted to DOE on 05/22/2008.</i>				
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/06/2006.</i>				
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 1	07/06/2007	DIRECTIVES SYSTEM PROCESS		

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/ORO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	16	10/31/2006	
2.0	QA - Quality Assurance	12	01/28/2008	
3.0	CM - Configuration Management	6	06/13/2006	Type 1 Change
4.0	TQ - Training and Qualification	13	01/16/2008	
5.0	EM - Emergency Management	19	01/10/2008	
7.0	EG - Engineering Program	29	06/02/2008	Type 1 Change
8.0	CP - Construction Program	26	06/02/2008	Type 1 Change
9.0	OP - Operations	4	06/05/2006	
10.0	MA - Maintenance	15	12/18/2007	
11.0	RP - Radiation Protection	7	11/13/2007	
12.0	FP - Fire Protection	15	04/10/2008	
13.0	TR - Packaging and Transportation	14	03/19/2007	Type 1 Change
18.0	FS - Facility (Nuclear) Safety	19	05/21/2008	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	18	06/02/2008	Type 1 Change
21.0	CS - Nuclear Criticality Safety	3	05/09/2007	

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	CM, TQ, EG, CP, OP
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE N 153.2	08/11/2003	CONNECTIVITY TO NATIONAL ATMOSPHERIC RELEASE ADVISORY CENTER (NARAC)		EM
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		MS, EG, CP
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG, TQ
DOE O 433.1A	02/13/2007	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY, AND HEALTH		SH
DOE O 450.1	08/25/2003	ENVIRONMENTAL PROTECTION PROGRAM	1 01/24/2005	EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE M 473.1-1	12/23/2002	PHYSICAL PROTECTION PROGRAM MANUAL		TQ, TR
DOE-STD-1032-92	12/1992	GUIDE TO GOOD PRACTICES FOR OPERATIONS		MS

SECTION J, ATTACHMENT E

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
		ORGANIZATION AND ADMINISTRATION		
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT PROGRAM		MS, CM, EG, CP
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
EO 13423	01/26/2007	STRENGTHENING FEDERAL ENVIRONMENTAL, ENERGY AND TRANSPORTATION MANAGEMENT		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORENATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG