

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE AC	PAGE OF PAGES 1 1
2. AMENDMENT/MODIFICATION NO. M086	3. EFFECTIVE DATE See Block 16.c	4. REQUISITION/PURCHASE REQ. NO. NOPR	5. PROJECT NO. (If applicable)
5. ISSUED BY U. S. Department of Energy National Nuclear Security Administration P. O. Box 2001 Oak Ridge, TN 37831		7. ADMINISTERED BY (If other than Item 6)	

3. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) BWXT Y-12, L.L.C. P.O. Box 2009 MS 8014 Oak Ridge, TN 37831-8014	<input type="checkbox"/>	9A. AMENDMENT OF SOLICITATION NO.
	<input type="checkbox"/>	9B. DATED (SEE ITEM 11)
	<input checked="" type="checkbox"/>	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800
		10B. DATED (SEE ITEM 13) August 31, 2000

11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

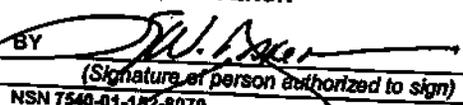
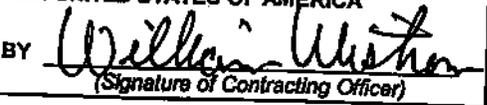
CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
<input type="checkbox"/>	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
<input type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<input checked="" type="checkbox"/>	D. OTHER (Specify type of modification and authority) P.L. 95-91 and Mutual Agreement

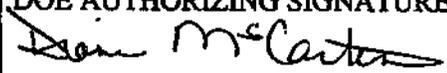
E. IMPORTANT: Contractor is not, X is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Attachment E to the contract is deleted and replaced with the attached Requirements Change Notice NNSA-14 dated 4/7/04.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Scott Baker, Manager Contracts and Special Initiatives Division	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) William W. Wistrom Contracting Officer
15B. CONTRACT/OFFEROR BY  (Signature of person authorized to sign)	16B. UNITED STATES OF AMERICA BY  (Signature of Contracting Officer)
15C. DATE SIGNED 4-22-04	16C. DATE SIGNED 4/23/04

DOE Form (04/1991) U.S. Department of Energy Requirements Change Notice	No.: NNSA-14 Page 1 of 41 Pages																		
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee																		
CONTRACTOR: BWXT Y-12, L. L. C.																			
CONTRACT NO.: DE-AC05-00OR22800, L116, Laws, Regulations, and DOE Directives (June 1997), DEAR 970.5204-78	DATE OF CONTRACT: August 31, 2000																		
<p>This Requirements Change Notice (RCN) No. NNSA-13 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Section J, Attachment E are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p>																			
<p><u>ADDITIONS:</u></p>																			
AL-SD 56XB, Ch 48 IBP-404, Issue B ORO O 250, Ch IV, Chg 3 ORO O 250, Ch VII, Chg 3 TBP-101, Issue E TBP-404, Issue E	<table border="0"> <tr> <td>AL-SD 56XB, Ch 49</td> <td>DOE O 350.2A</td> <td>DOE O 551.1B</td> </tr> <tr> <td>ORO O 220, Ch II, Chg 3</td> <td>ORO O 250, Ch I, Chg 3</td> <td>ORO O 250, Ch II, Chg 4</td> </tr> <tr> <td>ORO O 250, Ch VI, Chg 3</td> <td>ORO O 250, Ch VI, Chg 4</td> <td></td> </tr> <tr> <td>ORO O 250, VIII, Chg 1</td> <td>ORO O 250, Ch X</td> <td>TBP-100, Issue F</td> </tr> <tr> <td>TBP-301, Issue F</td> <td>TBP-304, Issue E</td> <td>TBP-402, Issue E</td> </tr> <tr> <td>TBP-602, Issue D</td> <td>TBP-700, Issue E</td> <td></td> </tr> </table>	AL-SD 56XB, Ch 49	DOE O 350.2A	DOE O 551.1B	ORO O 220, Ch II, Chg 3	ORO O 250, Ch I, Chg 3	ORO O 250, Ch II, Chg 4	ORO O 250, Ch VI, Chg 3	ORO O 250, Ch VI, Chg 4		ORO O 250, VIII, Chg 1	ORO O 250, Ch X	TBP-100, Issue F	TBP-301, Issue F	TBP-304, Issue E	TBP-402, Issue E	TBP-602, Issue D	TBP-700, Issue E	
AL-SD 56XB, Ch 49	DOE O 350.2A	DOE O 551.1B																	
ORO O 220, Ch II, Chg 3	ORO O 250, Ch I, Chg 3	ORO O 250, Ch II, Chg 4																	
ORO O 250, Ch VI, Chg 3	ORO O 250, Ch VI, Chg 4																		
ORO O 250, VIII, Chg 1	ORO O 250, Ch X	TBP-100, Issue F																	
TBP-301, Issue F	TBP-304, Issue E	TBP-402, Issue E																	
TBP-602, Issue D	TBP-700, Issue E																		
<p><u>DELETIONS:</u></p>																			
DOE O 350.2 ORO O 250, Ch II, Chg 3 ORO O 250, Ch VI, Chg 3 TBP-404, Issue D	<table border="0"> <tr> <td>DOE O 551.1A</td> <td>IBP-404, Issue A</td> <td>ORO O 250, Ch I, Chg 2</td> </tr> <tr> <td>ORO O 250, Ch IV, Chg 2</td> <td>ORO O 250, Ch VI, Chg 2</td> <td></td> </tr> <tr> <td>ORO O 250, Ch VII, Chg 2</td> <td>ORO O 250, Ch VIII</td> <td>ORO O 430, Ch I, Chg 5</td> </tr> </table>	DOE O 551.1A	IBP-404, Issue A	ORO O 250, Ch I, Chg 2	ORO O 250, Ch IV, Chg 2	ORO O 250, Ch VI, Chg 2		ORO O 250, Ch VII, Chg 2	ORO O 250, Ch VIII	ORO O 430, Ch I, Chg 5									
DOE O 551.1A	IBP-404, Issue A	ORO O 250, Ch I, Chg 2																	
ORO O 250, Ch IV, Chg 2	ORO O 250, Ch VI, Chg 2																		
ORO O 250, Ch VII, Chg 2	ORO O 250, Ch VIII	ORO O 430, Ch I, Chg 5																	
<p><u>COMPLIANCE LINE UPDATES:</u></p>																			
DOE M 473.1-1, modified compliance line DOE M 474.1-1B, deleted compliance line	DOE O 473.1, modified compliance line																		
<p><u>UPDATES:</u></p>																			
S/RID 1.0 - Revised Occurrence Reporting Section of the Management Systems Requirements S/RID 4.0 - Revised Training and Qualification Requirements S/RID 5.0 - Revised Emergency Management Requirements S/RID 18.0 - Revised Facility (Nuclear) Safety Requirements																			
<p><u>ADMINISTRATIVE CHANGES:</u></p>																			
Add (OUO) after <i>Baseline Security Manual</i> . Add section listing approved S/RID source documents.																			
DOE AUTHORIZING SIGNATURE:  Diane McCarten, Contracting Officer's Representative for Directives	DATE: 4/7/04																		

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-297</u> ----- TBP-304	03/11/2003	Defining Commercial Product in the Engineering Drawing System	Issue E	DOE ltr dtd 11/03/2003 BWXT Y-12 ltr dtd 12/01/2003
<i>Addition</i>				
<u>RD-298</u> ----- TBP-402	01/23/2003	Product Control	Issue E	DOE ltr dtd 11/03/2003 BWXT Y-12 ltr dtd 12/01/2003
<i>Addition</i>				
<u>RD-299</u> ----- TBP-602	03/11/2003	Interpretation of Procurement Index	Issue D	DOE ltr dtd 11/03/2003 BWXT Y-12 ltr dtd 12/01/2003
<i>Addition</i>				
<u>RD-300</u> ----- TBP-700	03/11/2003	Product Acceptance and Control of Nonconformance	Issue E	DOE ltr dtd 11/03/2003 BWXT Y-12 e-ltr dtd 12/01/2003
<i>Addition</i>				
<u>RD-301</u> ----- ORO O 220 Chapter II	09/30/1996	Cooperation with the Office of Inspector General	3 10/23/2003	DOE ltr dtd 11/12/2003 BWXT Y-12 ltr dtd 12/05/2003
<i>Addition</i>				
<u>RD-302</u> ----- AL-SD 56XB	02/01/1992	Development and Production Manual	49 11/07/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/17/2003
<i>Addition</i>				
<u>RD-303</u> ----- DOE O 551.1B	08/19/2003	Official Foreign Travel		DOE ltr dtd 12/04/2003 BWXT Y-12 ltr dtd 12/23/2003
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-304</u> ----- DOE O 551.1A	11/08/2002	Official Foreign Travel		DOE ltr dtd 12/04/2003 BWXT Y-12 ltr dtd 12/23/2003
<i>Deletion</i>				
<u>RD-305</u> ----- S/RID 18.0	12/04/2003	FACILITY (NUCLEAR) SAFETY		DOE Type 2 S/RID Change Comment/Concurrence, form dtd 12/04/2003, BWXT Y-12 ltrs dtd 10/13/2003 and 12/30/2003
<i>Revised S/RID to correct inconsistency between the current Implementation Assumption and the processes implemented in BWXT Y-12 procedures for identifying chemically hazardous facilities as documented in YSO Monthly Report #YSO-03-07.</i>				
<u>RD-306</u> ----- S/RID 18.0	12/09/2003	FACILITY (NUCLEAR) SAFETY		DOE Type 2 S/RID Change Comment/Concurrence form dtd 12/09/2003, BWXT Y-12 ltr dtd 11/05/2003
<i>Revised S/RID to incorporate a new Implementation Assumption to adopt DOE STD 3011-2002.</i>				
<u>RD-307</u> ----- S/RID 1.0	12/22/2003	MANAGEMENT SYSTEMS		DOE Type 2 S/RID Change Comment/Concurrence Form dtd 12/22/2003 BWXT Y-12 ltr dtd 11/14/2003
<i>Revised S/RID to replace requirements taken from DOE O 232.1, that are related to occurrence reporting, with requirements taken from the Contractor Requirements Document of DOE M 231.1-2.</i>				
<u>RD-308</u> ----- ORO O 250 Chapter I	08/13/1996	ORO Standards Management Process Overview	3 10/31/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-309</u> ----- ORO O 250 Chapter I	08/13/1996	Oak Ridge Operations (ORO) Standards Management Program Overview	2 04/25/2001	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Deletion</i>				
<u>RD-310</u> ----- ORO O 250 Chapter II	08/13/1996	ORO Directives System	4 10/31/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				
<u>RD-311</u> ----- ORO O 250 Chapter II	08/13/1996	Oak Ridge Operations Directives System	3 04/25/2001	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Deletion</i>				
<u>RD-312</u> ----- ORO O 250 Chapter IV	08/13/1996	Impact Assessments	3 10/31/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				
<u>RD-313</u> ----- ORO O 250 Chapter IV	08/13/1996	Impact Assessments	2 04/26/2001	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Deletion</i>				
<u>RD-314</u> ----- ORO O 250 Chapter VI	09/30/1996	Implementation Plans and Exemption Requests	3 10/31/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-315</u> ----- ORO O 250 Chapter VI	08/13/1996	Directive Implementation Plans and Exemption Requests	2 04/26/2001	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Deletion</i>				
<u>RD-316</u> ----- ORO O 250 Chapter VII	08/13/1996	Maintenance of Standards/Requirements Identification Documents	3 10/31/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				
<u>RD-317</u> ----- ORO O 250 Chapter VII	08/13/1996	Maintenance of Standards/Requirements Identification Documents	2 04/27/2001	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Deletion</i>				
<u>RD-318</u> ----- ORO O 250 Chapter VIII	04/27/2001	Requirements Change Notices	1 10/31/2003	DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				
<u>RD-319</u> ----- ORO O 250 Chapter VIII	04/27/2001	Requirements Change Notices		DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Deletion</i>				
<u>RD-320</u> ----- ORO O 250 Chapter X	10/31/2003	DOE Directives System		DOE ltr dtd 11/17/2003 BWXT Y-12 ltr dtd 12/18/2003
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-321</u> ----- DOE O 350.2A	10/29/2003	Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in the Washington, DC Area		DOE ltr dtd 11/19/2003 BWXT Y-12 ltr dtd 12/19/2003
<i>Addition</i>				
<u>RD-322</u> ----- DOE O 350.2	07/12/2001	Use of Facility Contractor Employees for Services to DOE in the Washington, DC Area		DOE ltr dtd 11/19/2003 BWXT Y-12 ltr dtd 12/19/2003
<i>Deletion</i>				
<u>RD-323</u> ----- ORO O 250 Chapter VI	08/13/1996	Implementation Plans and Exemption Requests	4 12/03/2003	DOE ltr dtd 12/10/2003 BWXT Y-12 ltr dtd 01/07/2004
<i>Addition</i>				
<u>RD-324</u> ----- ORO O 250 Chapter VI	08/13/1996	Implementation Plans and Exemption Requests	3 10/31/2003	DOE ltr dtd 12/10/2003 BWXT Y-12 ltr dtd 01/07/2004
<i>Deletion</i>				
<u>RD-325</u> ----- TBP-301	12/03/2003	Methods of Definition	Issue F	DOE ltr dtd 12/17/2003 BWXT Y-12 ltr dtd 01/08/2003
<i>Addition</i>				
<u>RD-326</u> ----- S/RID 5.0	01/23/2004	EMERGENCY MANAGEMENT		BWXT Y-12 ltr dtd 01/09/2004 and e-mail message dtd 01/30/2004
<i>Type 1 revision to change the source document from DOE O 151.1A to DOE O 151.1B.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-327</u> ----- S/RID 4.0	01/23/2004	TRAINING AND QUALIFICATION		BWXT Y-12 e-mail message dtd 01/30/2004
<i>Type 1 change to change the source document (29 CFR) location from 1926.58 to 1926.1101.</i>				
<u>RD-328</u> ----- TBP-404	12/17/2003	Engineering Authorization System	Issue E	DOE ltr dtd 01/16/2004 BWXT Y-12 ltr dtd 02/04/2004
<i>Addition</i>				
<u>RD-329</u> ----- TBP-404	05/02/2003	Engineering Authorization System	Issue D	DOE ltr dtd 01/16/2004 BWXT Y-12 ltr dtd 02/04/2004
<i>Deletion</i>				
<u>RD-330</u> ----- IBP-404	12/17/2003	Engineering Authorization System	Issue B	DOE ltr dtd 01/16/2004 BWXT Y-12 ltr dtd 02/04/2004
<i>Addition</i>				
<u>RD-331</u> ----- IBP-404	04/29/2003	Engineering Authorization System	Issue A	DOE ltr dtd 01/16/2004 BWXT Y-12 ltr dtd 02/04/2004
<i>Deletion</i>				
<u>RD-332</u> ----- AL-SD-56XB	02/01/1992	Development and Production Manual	48 08/06/2003	DOE ltr dtd 01/16/2004 BWXT Y-12 ltr dtd 02/11/2004
<i>Addition</i>				
<u>RD-333</u> ----- DOE M 473.1-1	12/23/2003	Physical Protection Program Manual		DOE ltr dtd 11/05/2003 BWXT Y-12 ltr dtd 12/04/2003
<i>Modify compliance line to read: Compliance will be contingent upon approval of a revised Implementation Plan submitted to DOE on 12/04/2003.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-334 ----- DOE O 473.1	12/23/2003	Physical Protection Program		DOE ltr dtd 11/05/2003 BWXT Y-12 ltr dtd 12/04/2003
<i>Modify compliance line to read: Compliance will be contingent upon approval of a revised Implementation Plan submitted to DOE on 12/04/2003.</i>				
RD-335 ----- TBP-100	12/17/2003	Concurrent Qualification	Issue F	DOE ltr dtd 02/09/2004 BWXT Y-12 ltr dtd 02/24/2004
<i>Addition</i>				
RD-336 ----- TBP-101	12/08/2003	Engineering Evaluation Process	Issue E	DOE ltr dtd 02/09/2004 BWXT Y-12 ltr dtd 02/24/2004
<i>Addition</i>				
RD-337 ----- ORO O 430 Chapter I	09/30/1996	Life Cycle Asset Management	5 07/07/1999	DOE ltr dtd 11/05/2003 BWXT Y-12 ltr dtd 12/04/2003
<i>Deletion</i>				
RD-338 ----- DOE M 474.1-1B	06/13/2003	Manual for Control and Accountability of Nuclear Materials		BWXT Y-12 ltr dtd 01/05/2004
<i>Deletion of compliance line.</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3	11/03/1999	CONFERENCE MANAGEMENT		
DOE O 130.1	09/29/1995	BUDGET FORMULATION PROCESS		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE N 142.1	07/14/1999	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS		This Notice was inadvertently extended by DOE N 251.47. DOE N 251.53 cancelled that extension based on the fact that this Notice was originally approved without an expiration date.
DOE P 142.1	07/14/1999	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS		
DOE N 153.1	02/26/2001	CONNECTIVITY TO ATMOSPHERIC RELEASE ADVISORY CAPABILITY		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE M 200.1-1	03/01/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICAL USE ONLY)		

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DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1	03/21/2003	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
DOE N 205.2	11/01/1999	FOREIGN NATIONAL ACCESS TO DOE CYBER SYSTEMS		Extended until 02/16/2004 by DOE N 205.5.
DOE N 205.3	11/23/1999	PASSWORD GENERATION, PROTECTION, AND USE		Extended until 02/16/2004 by DOE N 205.5.
DOE N 205.4	03/18/2002	HANDLING CYBER SECURITY ALERTS AND ADVISORIES AND REPORTING CYBER SECURITY INCIDENTS		Cancels Chapter III, Section 8, <i>Incident Reporting</i> , of DOE M 471.2-2. Extended until 06/04/2004 by DOE N 205.6.
DOE N 205.5	02/14/2003	EXTENSION OF DOE DIRECTIVES		Extends DOE N 205.2 and DOE N 205.3 until 02/16/2004. Eliminates expiration date for DOE N 205.1.
DOE N 205.6	06/04/2003	EXTENSION OF DOE DIRECTIVE ON CYBER SECURITY		Extends DOE N 205.4 until 06/04/2004.
DOE O 221.1	03/22/2001	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2	03/22/2001	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		

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DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1 DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3 DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.47	08/14/2002	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE O 470.1, DOE O 471.2A, and DOE O 472.1B until 05/14/2003.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.53	05/14/2003	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE O 470.1, Change 1, and DOE O 471.2A until 05/14/2004. Eliminates expiration date for DOE N 142.1.
DOE N 251.54	07/08/2003	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 471.3, and DOE N 473.8 until 07/08/2004.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 311.1A	12/30/1996	EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		Extended until 03/30/2003 by DOE N 311.3.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/31/1998.</i>				
DOE N 311.3	12/30/2002	EXTENSION OF DOE O 311.1A, EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		Extends DOE O 311.1A until 03/30/2003.
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2A	07/12/2001	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, DC AREA		
DOE O 412.1	04/20/1999	WORK AUTHORIZATION SYSTEM		

**BASELINE LIST OF
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List B - List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 413.1A	04/18/2002	MANAGEMENT CONTROL PROGRAM		
DOE O 413.3	10/13/2000	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		Cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10) and (17); and Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A.
DOE M 413.3-1	03/28/2003	PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
DOE O 430.1B	09/24/2003	REAL PROPERTY MANAGEMENT		
DOE O 430.2A	4/15/2002	DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT		Cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16) of DOE O 430.1A.
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1	05/15/2000	PROTECTION OF HUMAN SUBJECTS		
DOE M 452.4-1	07/01/1999	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 470.1	09/28/1995	SAFEGUARDS AND SECURITY PROGRAM	I 06/21/1996	Extended until 05/14/2004 by DOE N 251.53.
DOE M 470.1-1	10/02/2002	SAFEGUARDS AND SECURITY AWARENESS PROGRAM		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	
DOE O 471.2A	03/27/1997	INFORMATION SECURITY PROGRAM		Extended until 05/14/2004 by DOE N 251.53.
DOE M 471.2-1B	01/06/1999	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Canceled by DOE M 471.2-1C except for Chapter III, paragraphs 1 and 2, and Chapter IV, which remain in effect.
DOE M 471.2-1C	04/17/2001	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Cancels and replaces DOE M 471.2-1B except Chapter III, paragraphs 1 and 2, and Chapter IV.

Compliance: Compliance will be in accordance with a Deviation Request (Variance) approved by DOE on 07/19/2002.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6); and Chapter VII, paragraph 12a(2)(a) canceled by DOE N 205.3. Chapter III, Section 8, <i>Incident Reporting</i> , canceled by DOE N 205.4.
DOE M 471.2-3A	07/11/2002	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES MANUAL (OFFICIAL USE ONLY)		
DOE N 471.3	04/13/2001	REPORTING INCIDENTS OF SECURITY CONCERN		Extended until 07/08/2004 by DOE N 251.54.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 472.1C	03/25/2003	PERSONNEL SECURITY ACTIVITIES		Errata Sheet distributed 06/02/2003.
DOE M 472.1-1B	07/12/2001	PERSONNEL SECURITY PROGRAM MANUAL		
DOE O 473.1	12/23/2002	PHYSICAL PROTECTION PROGRAM		
<p><i>Compliance: Compliance will be contingent upon approval of a revised Implementation Plan submitted to DOE on 12/04/2003.</i></p>				

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REQUIRED COMPLIANCE DOCUMENTS**

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 473.1-1	12/23/2002	PHYSICAL PROTECTION PROGRAM MANUAL		
<i>Compliance: Compliance will be contingent upon approval of a revised Implementation Plan submitted to DOE on 12/04/2003.</i>				
DOE N 473.8	08/07/2002	SECURITY CONDITIONS		Extended until 07/08/2004 by DOE N 251.54.
DOE O 474.1A	11/20/2000	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		Errata Sheet distributed 01/31/2002.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 07/03/2003.</i>				
DOE M 474.1-1B	06/13/2003	MANUAL FOR CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
DOE M 474.1-2A	08/19/2003	MANUAL FOR NUCLEAR MATERIALS MANAGEMENT AND SAFEGUARDS SYSTEM REPORTING AND DATA SUBMISSION		
DOE M 475.1-1A	02/26/2001	IDENTIFYING CLASSIFIED INFORMATION		
DOE N 481.1A	04/21/2003	REIMBURSABLE WORK FOR DEPARTMENT OF HOMELAND SECURITY		
DOE O 481.1B	09/28/2001	WORK FOR OTHERS (NON-DEPARTMENT OF ENERGY FUNDED WORK)		

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REQUIRED COMPLIANCE DOCUMENTS**

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
<i>Compliance: Compliance will be contingent upon allocation of required funding and an Implementation Plan approved by DOE 10/31/2001.</i>				
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 534.1B	01/06/2003	ACCOUNTING		
DOE O 551.1B	08/19/2003	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE 1270.2B	06/23/1992	SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)		See Footnote (1)
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1350.1	10/28/1981	AUDIO VISUAL AND EXHIBITS MANAGEMENT	1 03/26/1984	

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2110.1A	07/14/1988	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES	2 05/18/1992	
<p><i>Compliance: Change 2 of the Order failed to incorporate interim guidance, "Policy on Waiver of DOE's Added Factor and Depreciation." Subsequent clarification from ORO confirmed the need to continue following this interim guidance.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE-ORO letter from J. R. Martin, Director, Finance Division, dated 08/03/1994, regarding the application of the departmental added factor (DAF) based on the source of funds. Effective 08/03/1994, the DAF rate will be applied to any work performed for a non-Federal entity (as opposed to full cost factor and depreciation normally applied to private work) if the work is financed from another Federal entity. Written documentation should be provided from the non-Federal entity or the other Federal agency. This change in policy applies only to new work.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE memorandum from Elizabeth E. Smedley, Controller, dated 08/25/1994, regarding the waiver of the added factor and depreciation for small businesses and non-profit organizations participating in funds-in agreements, effective 10/01/1994. This waiver is valid for one year through 09/30/1995.</i></p>				
DOE 2300.1B	06/08/1992	AUDIT RESOLUTION AND FOLLOWUP		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
----- <i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				
DOE 5670.3	09/04/1992	COUNTERINTELLIGENCE PROGRAM		

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ALBUQUERQUE DIRECTIVES				
ALs can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 1	02/01/1992	DEVELOPMENT AND PRODUCTION MANUAL	49 11/07/2003	
IBP-202	04/29/2003	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
TBP-DEF	05/02/2003	NUCLEAR WEAPONS COMPLEX ABBREVIATIONS, ACRONYMS, AND DEFINITIONS	Issue H	
TBP-100	12/17/2003	CONCURRENT QUALIFICATION	Issue F	
TBP-101	12/08/2003	ENGINEERING EVALUATION PROCESS	Issue E	
TBP-301	12/03/2003	METHODS OF DEFINITION	Issue F	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-402	01/23/2003	PRODUCT CONTROL	Issue E	
TBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue E	

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ALBUQUERQUE DIRECTIVES				
ALs can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	

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ORO DIRECTIVES				
<p>ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
<p>ORO O 130 Chapter II</p>	05/15/1996	<p>BUDGET SHUTDOWN OF DEPARTMENTAL OPERATIONS UPON FAILURE OF CONGRESS TO ENACT APPROPRIATIONS</p>	<p>3 06/26/2003</p>	
<p>ORO O 140 Chapter II</p>	05/15/1996	<p>EXTERNAL RELATIONSHIPS SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)</p>	<p>3 03/12/2003</p>	
<p>ORO O 220 Chapter II</p>	05/31/1996	<p>ASSESSMENTS COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL</p>	<p>3 10/23/2003</p>	
<p>Chapter III</p>	05/31/1996	<p>ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS</p>	<p>3 04/02/2002</p>	
<p>Chapter IV</p>	05/31/1996	<p>COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES</p>	<p>3 06/25/2003</p>	
<p>Chapter VII</p>	05/31/1996	<p>AUDIT RESOLUTION AND FOLLOWUP</p>	<p>3 06/25/2003</p>	
<p>ORO O 250 Chapter I</p>	08/13/1996	<p>STANDARDS MANAGEMENT ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW</p>	<p>3 10/31/2003</p>	

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ORO DIRECTIVES				
<p>ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 250		STANDARDS MANAGEMENT		
Chapter II	08/13/1996	ORO DIRECTIVES SYSTEM	4 10/31/2003	
Chapter IV	08/13/1996	IMPACT ASSESSMENTS	3 10/31/2003	
Chapter VI	09/30/1996	IMPLEMENTATION PLANS AND EXEMPTION REQUESTS	4 12/03/2003	
Chapter VII	08/13/1996	MAINTENANCE OF STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS	3 10/31/2003	
Chapter VIII	04/27/2001	REQUIREMENTS CHANGE NOTICES	1 10/31/2003	
Chapter X	10/31/2003	DOE DIRECTIVES SYSTEM		
ORO O 350		CONTRACTOR HUMAN RESOURCE PROGRAMS		
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	2 04/15/2002	
ORO O 410		MANAGEMENT		
Chapter I	09/24/1996	WORK AUTHORIZATION SYSTEM	2 02/06/2002	
Chapter II	05/31/1996	MANAGEMENT OF NUCLEAR MATERIALS	2 07/16/2001	
<p><i>Compliance: Compliance will be contingent upon allocation of required funding.</i></p>				

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ORO DIRECTIVES				
<p>ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 440 Chapter V	09/30/1996	WORKER PROTECTION EMPLOYEE CONCERNS MANAGEMENT SYSTEM	3 07/25/2003	
ORO O 470 Chapter I	09/30/1996	SAFEGUARDS AND SECURITY SAFEGUARDS AND SECURITY PROGRAM	2 07/31/2002	
Chapter VII	05/15/1996	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	3 11/13/2002	
Chapter IX	05/15/1996	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS	1 05/10/1999	
Chapter XI	09/30/1996	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES	1 04/23/1999	
ORO O 530 Chapter III	06/18/1996	ACCOUNTING ACCOUNTING	4 02/04/2003	
<p><i>Compliance: BWXT Y-12 is in compliance with this directive provided that, in lieu of Item 2 of the Contractor Requirements Document, BWXT Y-12 will prepare a Cost Accounting Disclosure Statement in accordance with the format and content as established by the Cost Accounting Standards Board, and submit it to the Director, Business Management, National Nuclear Security Administration, Y-12 Site Office, for review and approval.</i></p>				
ORO O 550 Chapter II	09/26/2001	TRAVEL AND TRANSPORTATION FOREIGN TRAVEL AUTHORIZATION		

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
PROCEDURE	08/31/2001	OAK RIDGE OPERATIONS OFFICE PROCEDURES FOR THE CONDUCT OF INQUIRIES INTO INCIDENTS OF SECURITY CONCERN		See Footnote (2)
MANUAL	01/01/2003	BASELINE SECURITY MANUAL (OUO)		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 05/19/2003.</i>				
DOE-HQ MEMORANDUM	12/13/1999	IMPLEMENTATION OF DOE N 142.1, UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS, DATED JULY 14, 1999		
DOE-HQ MEMORANDUM	12/10/2002	MANAGEMENT PANEL APPROVAL PROCESS: REQUESTS FOR VISITS AND ASSIGNMENT OF FOREIGN NATIONALS FROM COUNTRIES IDENTIFIED AS STATE SPONSORS OF TERRORISM		
DOE-HQ MEMORANDUM	12/17/2002	EXTENSION OF RELIEF FROM INDICES CHECK REQUIREMENTS		
DOE-HQ MEMORANDUM	12/17/2002	INTERIM GUIDANCE FOR IMPLEMENTATION OF THE DEPARTMENT'S UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM		
NAP 14.1	09/12/2003	NNSA CYBER SECURITY PROGRAM		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.2	09/12/2003	BASELINE CYBER SECURITY REQUIREMENTS		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NAP 14.3	09/12/2003	NNSA PROTECTION PROFILE AND SECURITY TARGET REQUIREMENTS		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.4	09/12/2003	OPEN PUBLIC UNRESTRICTED ACCESS INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.5	09/12/2003	UNCLASSIFIED PROTECTED INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.6	09/12/2003	UNCLASSIFIED MANDATORY PROTECTION INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.7	09/12/2003	CONFIDENTIAL NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.8	09/12/2003	SECRET RESTRICTED NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NAP 14.9	09/12/2003	CONFIDENTIAL RESTRICTED DATA, SIGMAS 1 THROUGH 13 INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.10	09/12/2003	SECRET RESTRICTED DATA, SIGMAS 1 THROUGH 13 INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				
NAP 14.11	09/12/2003	SECRET RESTRICTED DATA, SIGMAS 14 AND 15 INFORMATION GROUP PROTECTION PROFILE		See Footnote (3)
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE by 04/12/2004.</i>				

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STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/ORO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	9	12/22/2003	
2.0	QA - Quality Assurance	7	05/13/2003	
3.0	CM - Configuration Management	3	03/26/2003	Type 1 Change
4.0	TQ - Training and Qualification	9	01/23/2004	Type 1 Change
5.0	EM - Emergency Management	7	01/23/2004	Type 1 Change
7.0	EG - Engineering Program	1	03/26/2003	Type 1 Change
8.0	CP - Construction Program	1	03/26/2003	Type 1 Change
9.0	OP - Operations	3	11/19/2002	Type 1 Change
10.0	MA - Maintenance	8	05/23/2003	
11.0	RP - Radiation Protection	3	08/17/2000	
12.0	FP - Fire Protection	6	01/22/2003	
13.0	TR - Packaging and Transportation	10	05/29/2003	Type 1 Change
18.0	FS - Facility (Nuclear) Safety	13	12/09/2003	
19.0	SH - Occupational Safety and Health	10	05/30/2003	
20.0	EP - Environmental Protection	8	10/14/2003	
21.0	CS - Nuclear Criticality Safety	1	11/19/2002	Type 1 Change

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APPROVED S/RID SOURCE DOCUMENTS⁴				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
1.0 MANAGEMENT SYSTEMS				
10 CFR	01/24/1997	ENERGY		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		
DE-AC05-00OR22800	08/31/2000	BWXT Y-12 L.L.C. CONTRACT WITH DOE/NNSA		
DOE O 151.1A	11/01/2000	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
QC-1	02/05/1998	DOE/AL QUALITY CRITERIA		
2.0 QUALITY ASSURANCE (QA) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
3.0 CONFIGURATION MANAGEMENT (CM) FUNCTIONAL AREA				
29 CFR	04/23/1998	LABOR		
4330.4B	02/10/1994	MAINTENANCE MANAGEMENT PROGRAM		
DOE O 430.1	10/14/1998	LIFE-CYCLE ASSET MANAGEMENT		

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APPROVED S/RID SOURCE DOCUMENTS⁴				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
3.0 CONFIGURATION MANAGEMENT (CM) FUNCTIONAL AREA (Cont.)				
DOE-STD-1073-93		GUIDE FOR OPERATIONAL CONFIGURATION MANAGEMENT PROGRAMS		
4.0 TRAINING AND QUALIFICATION (TQ) FUNCTIONAL AREA				
29 CFR	04/23/1998	LABOR		
4330.4B	02/10/1994	MAINTENANCE MANAGEMENT PROGRAM		
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		
5480.3	07/09/1985	SAFETY REQUIREMENTS FOR THE PACKAGING AND TRANSPORTATION OF HAZARDOUS MATERIALS, HAZARDOUS SUBSTANCES, AND HAZARDOUS WASTES		
5632.11	03/08/1989	PHYSICAL PROTECTION OF UNCLASSIFIED, IRRADIATED REACTOR FUEL IN TRANSIT	1	
5700.6C	05/10/1996	QUALITY ASSURANCE		
5820.2A	09/26/1988	RADIOACTIVE WASTE MANAGEMENT		
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		

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APPROVED S/RID SOURCE DOCUMENTS⁴				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
5.0 EMERGENCY MANAGEMENT (EM) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
49 CFR	10/01/2000	TRANSPORTATION		
5530.1A	09/20/1991	RESPONSE TO ACCIDENTS AND SIGNIFICANT INCIDENTS INVOLVING NUCLEAR WEAPONS		
5530.2	09/20/1991	NUCLEAR EMERGENCY SEARCH TEAM		
5530.3	01/14/1992	RADIOLOGICAL ASSISTANCE PROGRAM	1	
DOE N 153.2	08/11/2003	CONNECTIVITY TO NATIONAL ATMOSPHERIC RELEASE ADVISORY CENTER (NARAC)		
DOE O 151.1B	10/29/2003	COMPREHENSIVE EMERGENCY MANAGEMENT		
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		
TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		

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7.0 ENGINEERING (EG) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
4330.4B	02/10/1994	MAINTENANCE MANAEGMENT PROGRAM		
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	
DOE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		
DOE G 440.1-5	09/30/1995	IMPLEMENTATION GUIDE FOR USE WITH DOE ORDERS 420.1 AND 440.1 FIRE SAFETY PROGRAM		
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		
DOE O 151.1B	10/29/2003	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		

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7.0 ENGINEERING (EG) FUNCTIONAL AREA (CONT.)				
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE O 231.1	09/30/1995	ENVIRONMENT, SAFETY, AND HEALTH REPORTING	2	
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
DOE O 430.1	10/14/1998	LIFE-CYCLE ASSET MANAGEMENT		
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		
DOE-STD-1073-93		GUIDE FOR OPERATIONAL CONFIGURATION MANAGEMENT PROGRAMS		
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		
ORO O 420 Chapter XI, Rev. 7	04/04/2000	AUTHORIZATION AGREEMENTS		

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7.0 ENGINEERING (EG) FUNCTIONAL AREA (CONT.)				
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		
QC-1	02/05/1998	DOE/AL QUALITY CRITERIA		
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		
YSO-CRD-02-01	06/20/2002	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		
8.0 CONSTRUCTION (CP) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
33 CFR		NAVIGATION AND NAVIGABLE WATERS		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
4330.4B	02/10/1994	MAINTENANCE MANAGEMENT PROGRAM		
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
8.0 CONSTRUCTION (CP) FUNCTIONAL AREA (CONT.)				
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	
DE-AC05-00OR22800	08/31/2000	BWXT Y-12 L.L.C. CONTRACT WITH DOE/NNSA		
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		
DOE O 151.1B	10/29/2003	COMPREHENSIVE EMERGENCY MANAGEMENT		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT SYSTEM		
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE O 231.1	09/30/1995	ENVIRONMENT, SAFETY, AND HEALTH REPORTING	2	
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
DOE O 430.1	10/14/1998	LIFE-CYCLE ASSET MANAGEMENT		
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		
DOE-STD-1073-93		GUIDE FOR OPERATIONAL CONFIGURATION MANAGEMENT PROGRAMS		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
8.0 CONSTRUCTION (CP) FUNCTIONAL AREA (CONT.)				
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		
ORO O 420 Chapter XI, Rev. 7	04/04/2000	AUTHORIZATION AGREEMENTS		
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		
STANDARD BUILDING CODE (SBC)		SOUHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		
YSO-CRD-02-01	06/20/2002	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		
9.0 OPERATIONS (OP) FUNCTIONAL AREA				
5480.19	07/09/1990	CONDUCTION OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
10.0 MAINTENANCE (MA) FUNCTIONAL AREA				
4330.4B	02/10/1994	MAINTENANCE MANAGEMENT PROGRAM		
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		

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11.0 RADIOLOGICAL PROTECTION (RP) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
12.0 FIRE PROTECTION (FP) FUNCTIONAL AREA				
29 CFR	04/23/1998	LABOR		
DOE G 440.1-5	09/30/1995	IMPLEMENTATION GUIDE FOR USE WITH DOE ORDERS 420.1 AND 440.1, FIRE SAFETY PROGRAM		
DOE M 231.1-1	04/23/1998	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2	
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		
ORO FPP GUIDE	04/23/1998	OAK RIDGE OPERATIONS OFFICE FIRE PREVENTION AND PROTECTION IMPLEMENTATION GUIDE, 8 TH EDITION	8	
TARS (Tennessee Association of Rescue Standards)	04/23/1998	VEHICLE EXTRICATION TRAINING		
TENNESSEE RULES	04/23/1998	EMERGENCY MEDICAL SERVICES		

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13.0 PACKAGING AND TRANSPORTATION (TR) FUNCTIONAL AREA				
40 CFR		PROTECTION OF THE ENVIRONMENT		
49 CFR		TRANSPORTATION		
5632.1C-1	04/06/2000	MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	1	
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY		
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		
DOE O 460.2	10/26/1995	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT	1	
DOE O 461.1	09/29/2000	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		
18.0 FACILITY (NUCLEAR) SAFETY (FS) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
40 CFR		PROTECTION OF THE ENVIRONMENT		

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18.0 FACILITY (NUCLEAR) SAFETY (FS) FUNCTIONAL AREA (Cont.)				
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		
ORO O 420 Chapter XI, Rev. 7	04/04/2000	AUTHORIZATION AGREEMENTS		
YSO-CRD-02-01	06/20/2002	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		
19.0 OCCUPATIONAL SAFETY AND HEALTH (SH) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE N 231.1	01/15/2002	ENVIRONMENT, SAFETY, AND HEALTH REPORTING NOTICE		
DOE O 231.1	09/30/1995	ENVIRONMENT, SAFETY, AND HEALTH REPORTING	2	
DOE O 440.1A	03/27/1998	WORK PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		
ORO O 450 Chapter V	12/31/2002	ORO INTEGRATED SAFETY MANAGEMENT PROGRAM	2	
ORO O 450 Chapter VI	12/31/2002	ORO ASSESSMENT PROGRAM		
20.0 ENVIRONMENTAL PROTECTION (EP) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		

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20.0 ENVIRONMENTAL PROTECTION (EP) FUNCTIONAL AREA (Cont.)				
33 CFR		NAVIGATION AND NAVIGABLE WATERS		
40 CFR		PROTECTION OF THE ENVIRONMENT		
43 CFR		PUBLIC LANDS: INTERIOR		
50 CFR		WILDLIFE AND FISHERIES		
5400.1		GENERAL ENVIRONMENTAL PROTECTION PROGRAM	1	
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	
DOE M 435.1-1	07/09/1990	RADIOACTIVE WASTE MANAGEMENT MANUAL		
DOE O 231.1	09/30/1995	ENVIRONMENT, SAFETY, AND HEALTH REPORTING	2	
DOE O 450.1	08/25/2003	ENVIRONMENTAL PROTECTION FUNCTIONAL AREA		
EO 12898		ENVIRONMENTAL JUSTICE		
EO 13101	09/16/1998	GREENING THE GOVERNMENT THROUGH WASTE PREVENTION, RECYCLING, AND FEDERAL ACQUISITION		
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORENATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		

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20.0 ENVIRONMENTAL PROTECTION (EP) FUNCTIONAL AREA (CONT.)				
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	
TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		
21.0 NUCLEAR CRITICALITY SAFETY (CS) FUNCTIONAL AREA				
DOE O 420.1A	05/20/2002	FACILITY SAFETY		

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This procedure incorporates changes to DOE N 471.3, REPORTING INCIDENTS OF SECURITY CONCERN, dated 04/13/2001.
- (3) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1, CYBER SECURITY MANAGEMENT PROGRAM, dated 03/21/2003.
- (4) These documents are ES&H related and appear in one or more of the current Standards/Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.