

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CL	TRACT ID CODE AC	PAGE OF PAGES 1 1	
2. AMENDMENT/MODIFICATION NO. M138	3. EFFECTIVE DATE See Block 16.c	4. REQUISITION/PURCHASE REQ. NO. NOPR		5. PROJECT NO. (If applicable)	
6. ISSUED BY U. S. Department of Energy National Nuclear Security Administration P. O. Box 2050 Oak Ridge, TN 37831	CODE	7. ADMINISTERED BY (If other than Item 6)		CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) BWXT Y-12, L.L.C. P.O. Box 2009 Attn: Scott Baker MS 8004 Oak Ridge, TN 37831-8014		9A. AMENDMENT OF SOLICITATION NO.		9B. DATED (SEE ITEM 11)	
CODE		FACILITY CODE		10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800	
		X		10B. DATED (SEE ITEM 13) August 31, 2000	

11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

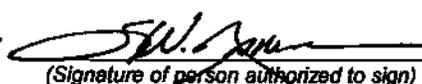
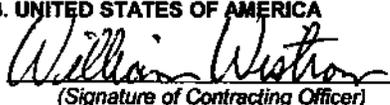
CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
<input type="checkbox"/>	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
<input type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) P.L. 95-91and Mutual Agreement

E. IMPORTANT: Contractor is not, X is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

- The current Attachment D "Key Personnel" is deleted and replaced with the enclosed Attachment D correcting a name spelling.
- Attachment E to the contract is deleted and replaced with the enclosed Requirements Change Notice NNSA-23 dated 7/24/06.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Scott Baker, Director Business Services		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) William W. Wistrom Contracting Officer	
15B. CONTRACT/OFFEROR	15C. DATE SIGNED 8-9-06	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED 8/9/06
BY  (Signature of person authorized to sign)		BY  (Signature of Contracting Officer)	

SECTION J – ATTACHMENT D

KEY PERSONNEL

General Manager	George E. Dials
Deputy General Manager, Defense Programs	Steven D. Liedle
Deputy General Manager, Operations	Darrel P. Kohlhorst
Chief Financial Officer	Robert M. Gifford
Assistant General Manager, National Security & Development Programs	Randy J. Spickard
Director, Business Services	Scott W. Baker
Division Manager, Facilities, Infrastructure & Services	Larry E. Cumberland
Division Manager, Environment, Safety & Health	Michelle M. Reichert
Division Manager, Safeguards & Security	Willis L. Clements
Program Manager, Uranium Processing Facility	John P. Howanitz
Division Manager, Human Resources	Debra J. Shecterle

Effective as of August 8, 2006.

DOE Form (04/1991) U.S. Department of Energy Requirements Change Notice	No.: NNSA-23 <hr/> Page 1 of 39 Pages																
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee																
CONTRACTOR: BWXT Y-12, L L C.																	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000																
<p>This Requirements Change Notice (RCN) No. NNSA-23 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><u>ADDITIONS:</u></p> <table border="0"> <tr> <td>AL-SD 56XB, Rev. 2, Chg. 6</td> <td>Primary Standards Laboratory Memorandum dated February 16, 2006</td> </tr> <tr> <td>DOE M 470.4-1, Chg. 1</td> <td>DOE M 470.4-2, Chg. 1 DOE M 470.4-3, Chg. 1</td> </tr> <tr> <td>DOE N 351.1</td> <td>DOE O 251.1A DOE O 580.1</td> </tr> </table> <p><u>DELETIONS:</u></p> <table border="0"> <tr> <td>DOE N 251.60</td> <td>DOE N 251.63</td> <td>DOE O 470.1</td> <td>DOE O 471.4</td> <td>DOE O 472.1C</td> </tr> <tr> <td>DOE O 473.1</td> <td>DOE O 474.1A</td> <td></td> <td></td> <td></td> </tr> </table> <p><u>COMPLIANCE LINE UPDATES:</u></p> <p>OMB Circular A-123, Modified Compliance Line</p> <p><u>UPDATES:</u></p> <ul style="list-style-type: none"> S/RID 2.0 - Revised Quality Assurance Requirements S/RID 5.0 - Revised Emergency Management Requirements S/RID 7.0 - Revised Engineering Program Requirements S/RID 8.0 - Revised Construction Program Requirements S/RID 10.0 - Revised Maintenance and Property Management Requirements S/RID 19.0 - Revised Occupational Safety and Health Requirements 		AL-SD 56XB, Rev. 2, Chg. 6	Primary Standards Laboratory Memorandum dated February 16, 2006	DOE M 470.4-1, Chg. 1	DOE M 470.4-2, Chg. 1 DOE M 470.4-3, Chg. 1	DOE N 351.1	DOE O 251.1A DOE O 580.1	DOE N 251.60	DOE N 251.63	DOE O 470.1	DOE O 471.4	DOE O 472.1C	DOE O 473.1	DOE O 474.1A			
AL-SD 56XB, Rev. 2, Chg. 6	Primary Standards Laboratory Memorandum dated February 16, 2006																
DOE M 470.4-1, Chg. 1	DOE M 470.4-2, Chg. 1 DOE M 470.4-3, Chg. 1																
DOE N 351.1	DOE O 251.1A DOE O 580.1																
DOE N 251.60	DOE N 251.63	DOE O 470.1	DOE O 471.4	DOE O 472.1C													
DOE O 473.1	DOE O 474.1A																
DOE AUTHORIZING SIGNATURE: Diane McCarten, Contracting Officer's Representative for Directives	DATE:																

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-562</u> ----- S/RID 19.0, Rev. 20	03/01/2006	OCCUPATIONAL SAFETY AND HEALTH		DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-07-SH dtd 03/01/2006 BWXT Y-12 ltr dtd 01/24/2006
----- <i>Type 2 change to add a new requirement taken from DOE P 456.1 that addresses the DOE nanoscale safety policy.</i>				
<u>RD-563</u> ----- AL-SD 56XB, Rev. 2	03/31/2004	Development and Production Manual	6 02/08/2006	DOE ltr dtd 02/13/2006 BWXT Y-12 ltr dtd 03/02/2006
----- <i>Addition</i>				
<u>RD-564</u> ----- OMB Circular A-123	12/21/2004	Management's Responsibility for Internal Control		NNSA/YSO Comment/Concurrence Form, Implementation Plan Approval, dtd 03/06/2006 BWXT Y-12 ltr dtd 02/07/2006
----- <i>Modification of Compliance Line to read: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/06/2006.</i>				
<u>RD-565</u> ----- S/RID 2.0, Rev. 11	03/27/2006	QUALITY ASSURANCE		DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-05-QA dtd 03/27/2006 BWXT Y-12 ltr dtd 01/05/2006
----- <i>Type 2 change to add a new requirement taken from DOE O 226.1 that addresses contractor assurance.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-566</u> ----- S/RID 10.0, Rev. 11	03/27/2006	MAINTENANCE		DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-08-MA dtd 03/27/2006 BWXT Y-12 ltr dtd 02/20/2006.
<i>Type 2 change to consolidate and clarify requirements, and remove duplications of requirements found in other functional areas.</i>				
<u>RD-567</u> ----- SRID 8.0, Rev. 16	03/29/2006	CONSTRUCTION PROGRAM		BWXT Y-12 e-mail message dtd 03/30/2006 DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-08-MA dtd 03/27/2006
<i>Type 1 change to replace RUID #11201 with RUID #11467 to align with approved change in the lead functional area.</i>				
<u>RD-568</u> ----- S/RID 7.0, Rev. 15	03/29/2006	ENGINEERING PROGRAM		BWXT Y-12 e-mail message dtd 03/30/2006 DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-08-MA dtd 03/27/2006
<i>Type 1 change to consolidate requirements and remove duplications to align with approved changes in the lead functional area.</i>				
<u>RD-569</u> ----- S/RID 10.0, Rev. 12	03/30/2006	PROPERTY MANAGEMENT SECTION OF THE MAINTENANCE FUNCTIONAL AREA		BWXT Y-12 e-mail message dtd 03/30/2006 DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-08-MA dtd 03/27/2006
<i>Type 1 change to replace RUID #11239 with RUID #11493 to reflect remapping to another sub-element of the revised Program Description in accordance with the approved change in the lead functional area.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-570</u> S/RID 5.0, Rev. 13	04/03/2006	EMERGENCY MANAGEMENT		DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-09-EM dtd 04/03/2006 BWXT Y-12 ltr dtd 03/02/2006
<i>Type 2 change to replace requirements taken from DOE O 151.1B, "Comprehensive Emergency Management System," dated 10/29/2003, with requirements taken from DOE O 151.1C, "Comprehensive Emergency Management System," dated 11/02/2005.</i>				
<u>RD-571</u> S/RID 7.0, Rev. 16	04/03/2006	ENGINEERING PROGRAM		BWXT Y-12 e-mail message dtd 04/03/2006 DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-09-EM dtd 04/03/2006
<i>Type 1 change to replace RUID #11039 with RUID #11508 to align with approved change in the lead functional area.</i>				
<u>RD-572</u> S/RID 8.0, Rev. 17	04/03/2006	CONSTRUCTION PROGRAM		BWXT Y-12 e-mail message dtd 04/03/2006 DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-09-EM dtd 04/03/2006
<i>Type 1 change to replace RUID #11039 with RUID #11508 to align with approved change in the lead functional area.</i>				
<u>RD-573</u> PSLM	02/16/2006	Primary Standards Laboratory Memorandum		DOE ltr dtd 03/16/2006 BWXT Y-12 ltr dtd 04/10/2006
<i>Addition with the following compliance line: Compliance will be in accordance with an Implementation Plan that will be submitted to DOE by 07/14/2006.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-574</u> ----- DOE O 470.1	09/28/1995	Safeguards and Security Program		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Cancelled and replaced by DOE O 470.4. Although DOE O 470.4 does not contain a Contractor Requirements Document and is not included in the contract baseline, the requirements contained in DOE O 470.1 are covered by DOE Manuals enacted by DOE O 470.4, which are included in the contract.</i>				
<u>RD-575</u> ----- DOE O 471.4	03/17/2004	Incidents of Security Concern		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Cancelled and replaced by DOE O 470.4. Although DOE O 470.4 does not contain a Contractor Requirements Document and is not included in the contract baseline, the requirements contained in DOE O 471.4 are covered by DOE Manuals enacted by DOE O 470.4, which are included in the contract.</i>				
<u>RD-576</u> ----- DOE O 472.1C	03/25/2003	Personnel Security Activities		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Cancelled and replaced by DOE O 470.4. Although DOE O 470.4 does not contain a Contractor Requirements Document and is not included in the contract baseline, the requirements contained in DOE O 472.1C are covered by DOE Manuals enacted by DOE O 470.4, which are included in the contract.</i>				
<u>RD-577</u> ----- DOE O 473.1	12/23/2002	Physical Protection Program		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Cancelled and replaced by DOE O 470.4. Although DOE O 470.4 does not contain a Contractor Requirements Document and is not included in the contract baseline, the requirements contained in DOE O 473.1 are covered by DOE Manuals enacted by DOE O 470.4, which are included in the contract.</i>				
<u>RD-578</u> ----- DOE O 474.1A	11/20/2000	Control and Accountability of Nuclear Materials		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Cancelled and replaced by DOE O 470.4. Although DOE O 470.4 does not contain a Contractor Requirements Document and is not included in the contract baseline, the requirements contained in DOE O 474.1A are covered by DOE Manuals enacted by DOE O 470.4, which are included in the contract.</i>				
<u>RD-579</u> ----- DOE N 251.60	11/19/2004	Extension of DOE Directives		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Extended DOE O 474.1A until 11/19/2005.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-580 ----- DOE N 251.63	05/11/2005	Extension of DOE Directives		DOE ltr dtd 04/24/2006 BWXT Y-12 ltr dtd 03/09/2006
<i>Deletion: Extended DOE O 470.1, DOE O 471.2A, DOE M 471.2-1B, and DOE M 471.2-1C until 05/11/2006. Requirements contained in DOE O 470.1 are covered in DOE Manuals enacted by DOE O 474.1, which are included in the contract. DOE O 471.2A, DOE M 471.2-1B, and DOE M 471.2-1C were cancelled and replaced by DOE M 470.4-4.</i>				
RD-581 ----- DOE M 470.4-3	08/26/2005	Protective Force	1 03/07/2006	DOE ltrs dtd 04/03/2006 and 07/17/2006 BWXT Y-12 ltr dtd 05/01/2006 and e-mail message dtd 07/20/2006
<i>Modification of Manual to include Change 1, dated 03/07/2006 with the following compliance line: BWXT Y-12 compliance requirement with respect to DOE M 470.4-3, Change 1, is limited to Section A, Chapter I, Paragraphs 1.a.(1)(c) and 4a (Sentences 1, 2 and 3); and Chapter VI, Paragraphs 1.b.(16)(a) and 1.b.(16)(b)1; and Paragraphs 2.a.(1) through 2.a.(5) only.</i>				
RD-582 ----- DOE M 470.4-1	08/26/2005	Safeguards and Security Program Planning	1 03/07/2006	DOE ltr dtd 04/03/2006 BWXT Y-12 ltr dtd 05/02/2006
<i>Modification of Manual to include Change 1 dated 03/07/2006 with the following compliance line: Compliance with Section G will be in accordance with an implementation plan previously submitted to and approved by DOE on 02/16/2006.</i>				
RD-583 ----- DOE M 470.4-2	08/26/2005	Physical Protection	1 03/07/2006	DOE ltr dtd 04/03/2006 BWXT Y-12 ltr dtd 05/02/2006
<i>Modification of Manual to include Change 1 dated 03/07/2006 with the following compliance line: Compliance will be in accordance with the DOE approved Y-12 Design Basis Threat Implementation Plan.</i>				
RD-584 ----- DOE O 251.1A	01/30/1998	Directives System		DOE ltr dtd 04/26/2006 BWXT Y-12 ltr dtd 05/04/2006
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-585</u> ----- DOE O 580.1	12/07/2005	Department of Energy Personal Property Management Program		DOE ltr dtd 01/12/2006 DOE e-mail message dtd 05/02/2006 BWXT Y-12 ltr dtd 05/10/2006
Addition with the following compliance line: Compliance will be in accordance with a deviation request directed at a graded approach to implementing requirements contained in Attachment 2, Paragraphs 2.d.(1) through 2.d.(4), 2.e.(1)(b)3, 2.e.(2), and 2.o. to be submitted by 06/12/2006; and an implementation plan to be submitted by 08/30/2006.				
<u>RD-586</u> ----- S/RID 19.0, Rev. 21	05/23/2006	OCCUPATIONAL SAFETY AND HEALTH		BWXT Y-12 e-mail message dtd 05/24/2006 DOE NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg No. 2006-02-SH dtd 01/09/2006
Type 1 change to cite primary source document location that was omitted from Type 2 change approved by DOE on 01/09/2006.				
<u>RD-587</u> ----- DOE N 351.1	04/27/2006	Contractor Employee Pension and Medical Benefits Policy		DOE ltr dtd 05/01/2006 BWXT Y-12 ltr dtd 05/30/2006
Addition with the following compliance line: Implementation of this Notice has been suspended until 06/19/2007 as directed by the Secretary of Energy in a memorandum dated 06/19/2006.				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3	11/03/1999	CONFERENCE MANAGEMENT		
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE O 142.2	01/07/2004	SAFEGUARDS AGREEMENT AND PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE M 200.1-1	03/01/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 205.1	03/21/2003	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
DOE N 206.3	11/22/2005	PERSONAL IDENTITY VERIFICATION		
DOE O 221.1	03/22/2001	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2	03/22/2001	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE P 226.1	06/10/2005	DEPARTMENT OF ENERGY OVERSIGHT POLICY		
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	
DOE O 251.1A	01/30/1998	DIRECTIVES SYSTEM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.61	03/03/2005	EXTENSION OF DOE M 475.1-1A		Extends DOE M 475.1-1A until 03/03/2006.
DOE N 251.62	04/21/2005	EXTENSION OF DOE N 481.1A		Extends DOE N 481.1A until 04/21/2006.
DOE N 251.64	07/07/2005	EXTENSION OF DOE DIRECTIVES		Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 311.1A	12/30/1996	EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		Extended until 03/30/2003 by DOE N 311.3.
DOE N 311.3	12/30/2002	EXTENSION OF DOE O 311.1A, EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		Extends DOE O 311.1A until 03/30/2003.
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE N 351.1	04/27/2006	CONTRACTOR EMPLOYEE PENSION AND MEDICAL BENEFITS POLICY		
<i>Compliance: Implementation of this Notice has been suspended until 06/19/2007 as directed by the Secretary of Energy in a memorandum dated 06/19/2006.</i>				
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1A	04/18/2002	MANAGEMENT CONTROL PROGRAM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 413.3	10/13/2000	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 01/03/2005	Cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10) and (17); and Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A.
DOE M 413.3-1	03/28/2003	PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		
DOE O 430.2A	4/15/2002	DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT		Cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16) of DOE O 430.1A.
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1	05/15/2000	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		

**BASELINE LIST OF
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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3A	11/29/2005	DESIGN BASIS THREAT POLICY		
<i>Compliance: Compliance will be in accordance with the Safeguards and Security Annual Operating Plan, Level I and II Milestones.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance with Section G will be in accordance with an Implementation Plan previously submitted to and approved by DOE on 02/16/2006.</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the DOE approved Y-12 Design Basis Threat Implementation Plan.</i>				

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-3	08/26/2005	PROTECTIVE FORCE	1 03/07/2006	
<i>Compliance: BWXT Y-12 compliance requirement with respect to DOE M 470.4-3, Change 1, is limited to Section A, Chapter I, Paragraphs 1.a.(1)(c) and 4a (Sentences 1, 2 and 3); and Chapter VI, Paragraph 1.b.(16)(a) and 1.b.(16)(b)I; and Paragraphs 2.a.(1) through 2.a.(5) only.</i>				
DOE M 470.4-4	08/26/2005	INFORMATION SECURITY		Cancels DOE M 471.2-4 except for the classified annex.
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY		
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) canceled by DOE N 205.3. Chapter III, Section 8, Incident Reporting, canceled by DOE N 205.4.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.2-3A	07/11/2002	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1A	02/26/2001	IDENTIFYING CLASSIFIED INFORMATION		Extended until 03/03/2005 by DOE N 251.61.
DOE N 481.1A	04/21/2003	REIMBURSABLE WORK FOR DEPARTMENT OF HOMELAND SECURITY		Extended until 04/21/2006 by DOE N 251.62.
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: BWXT Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved BWXT Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
DOE O 551.1B	08/19/2003	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with a deviation request directed at a graded approach to implementing requirements contained in Attachment 2, Paragraphs 2.d.(1) through 2.d.(4), 2.e.(1)(b)3, 2.e.(2), and 2.o., to be submitted to DOE by June 12, 2006; and an implementation plan to be submitted to DOE by August 30, 2006.</i>				
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2300.1B	06/08/1992	AUDIT RESOLUTION AND FOLLOWUP		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		

Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.lanl.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	6 02/08/2006	
IBP-202	04/29/2003	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-DEF	08/26/2005	NUCLEAR WEAPONS COMPLEX ABBREVIATIONS, ACRONYMS, AND DEFINITIONS	Issue J	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-100	12/17/2003	CONCURRENT QUALIFICATION	Issue F	
TBP-101	12/08/2003	ENGINEERING EVALUATION PROCESS	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-301	12/08/2003	METHODS OF DEFINITION	Issue F	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-401	09/22/2004	DEFINITION CONTROL	Issue D	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	

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REQUIRED COMPLIANCE DOCUMENTS****List B – List of Applicable Directives**

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address:				
http://prp.lanl.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue E	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-702	08/26/2005	NONCONFORMING MATERIAL	Issue E	

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ORO DIRECTIVES				
ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 130 Chapter II	05/15/1996	BUDGET SHUTDOWN OF DEPARTMENTAL OPERATIONS UPON FAILURE BY CONGRESS TO ENACT APPROPRIATIONS	4 06/01/2005	
ORO O 220 Chapter II	05/31/1996	ASSESSMENTS COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL	4 09/06/2005	
Chapter III	05/31/1996	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS	4 04/07/2004	
Chapter IV	05/31/1996	COORDINATION OF GOVERNMENT ACCOUNTABILITY OFFICE ACTIVITIES	4 04/29/2005	
Chapter VII	05/31/1996	AUDIT RESOLUTION AND FOLLOW-UP	4 04/29/2005	
ORO O 250 Chapter I	08/13/1996	STANDARDS MANAGEMENT ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW	4 03/10/2004	
Chapter II	08/13/1996	ORO DIRECTIVES SYSTEM	5 12/03/2003	
Chapter IV	08/13/1996	IMPACT ASSESSMENTS	3 10/31/2003	

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ORO DIRECTIVES				
ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 250		STANDARDS MANAGEMENT		
Chapter VI	09/30/1996	IMPLEMENTATION PLANS AND EXEMPTION REQUESTS	4 12/03/2003	
Chapter VII	08/13/1996	MAINTENANCE OF STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS	3 10/31/2003	
Chapter VIII	04/27/2001	REQUIREMENTS CHANGE NOTICES	1 10/31/2003	
Chapter X	10/31/2003	DOE DIRECTIVES SYSTEM		
ORO O 350		CONTRACTOR HUMAN RESOURCE PROGRAMS		
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	3 01/26/2004	
ORO O 410		MANAGEMENT		
Chapter I	09/24/1996	WORK AUTHORIZATION SYSTEM	4 08/04/2005	
ORO O 440		WORKER PROTECTION		
Chapter V	09/30/1996	EMPLOYEE CONCERNS MANAGEMENT SYSTEM	3 07/25/2003	

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ORO DIRECTIVES				
ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 530 Chapter III	06/18/1996	ACCOUNTING ACCOUNTING	5 02/02/2005	
<i>Compliance: BWXT Y-12 is in compliance with this directive provided that, in lieu of Item 2, sentence 2, of the "Contractor Requirements Document," BWXT Y-12 will prepare a Cost Accounting Disclosure Statement in accordance with the format and content as established by the Cost Accounting Standards Board, and submit it to the Director, Business Management, National Nuclear Security Administration, Y-12 Site Office, for review and approval.</i>				
ORO O 550 Chapter II	12/16/2004	TRAVEL AND TRANSPORTATION - FOREIGN TRAVEL AUTHORIZATION	1 12/16/2004	

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
MANUAL	01/01/2003	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1	09/12/2003	NNSA CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.2	09/12/2003	BASELINE CYBER SECURITY REQUIREMENTS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.3	09/12/2003	NNSA PROTECTION PROFILE AND SECURITY TARGET REQUIREMENTS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.4	09/12/2003	OPEN PUBLIC UNRESTRICTED ACCESS INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.5	09/12/2003	UNCLASSIFIED PROTECTED INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.6	09/12/2003	UNCLASSIFIED MANDATORY PROTECTION INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.7	09/12/2003	CONFIDENTIAL NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NAP 14.8	09/12/2003	SECRET RESTRICTED NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.9	09/12/2003	CONFIDENTIAL RESTRICTED DATA, SIGMAS 1 THROUGH 13 INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.10	09/12/2003	SECRET RESTRICTED DATA, SIGMAS 1 THROUGH 13 INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
NAP 14.11	09/12/2003	SECRET RESTRICTED DATA, SIGMAS 14 AND 15 INFORMATION GROUP PROTECTION PROFILE		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/26/2004.</i>				
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/06/2006.</i>				
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan to be submitted to DOE on or before 07/14/2006.</i>				

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STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/ORO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	13	03/31/2005	
2.0	QA - Quality Assurance	11	03/27/2006	
3.0	CM - Configuration Management	5	05/08/2005	
4.0	TQ - Training and Qualification	10	01/20/2006	
5.0	EM - Emergency Management	13	04/30/2006	
7.0	EG - Engineering Program	16	04/03/2006	Type 1 Change
8.0	CP - Construction Program	17	04/03/2006	Type 1 Change
9.0	OP - Operations	3	11/19/2002	Type 1 Change
10.0	MA - Maintenance	12	03/30/2006	Type 1 Change
11.0	RP - Radiation Protection	6	05/04/2005	Type 1 Change
12.0	FP - Fire Protection	9	06/16/2005	
13.0	TR - Packaging and Transportation	13	01/25/2006	
18.0	FS - Facility (Nuclear) Safety	15	08/23/2005	
19.0	SH - Occupational Safety and Health	21	05/23/2006	Type 1 Change
20.0	EP - Environmental Protection	14	09/20/2005	Type 1 Change
21.0	CS - Nuclear Criticality Safety	1	11/19/2002	Type 1 Change

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
1.0 MANAGEMENT SYSTEMS (MS) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		
DE-AC05-00OR22800	08/31/2000	BWXT Y-12 L.L.C. CONTRACT WITH DOE/NNSA		
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT PROGRAM		
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		
YSO-CRD-03-01	02/02/2004	START-UP AND RESTART OF FACILITIES AT Y-12		
2.0 QUALITY ASSURANCE (QA) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
DOE O 226.1	09/15/2005	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
2.0 QUALITY ASSURANCE (QA) FUNCTIONAL AREA (Cont.)				
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		
3.0 CONFIGURATION MANAGEMENT (CM) FUNCTIONAL AREA				
29 CFR	04/23/1998	LABOR		
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT PROGRAM		
4.0 TRAINING AND QUALIFICATION (TQ) FUNCTIONAL AREA				
29 CFR	04/23/1998	LABOR		
4330.4B	02/10/1994	MAINTENANCE MANAGEMENT PROGRAM		
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
4.0 TRAINING AND QUALIFICATION (TQ) FUNCTIONAL AREA (Cont.)				
5480.3	07/09/1985	SAFETY REQUIREMENTS FOR THE PACKAGING AND TRANSPORTATION OF HAZARDOUS MATERIALS, HAZARDOUS SUBSTANCES, AND HAZARDOUS WASTES		
5632.11	03/08/1989	PHYSICAL PROTECTION OF UNCLASSIFIED, IRRADIATED REACTOR FUEL IN TRANSIT	1	
5700.6C	05/10/1996	QUALITY ASSURANCE		
5820.2A	09/26/1988	RADIOACTIVE WASTE MANAGEMENT		
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		
5.0 EMERGENCY MANAGEMENT (EM) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
49 CFR	10/01/2000	TRANSPORTATION		
5530.1A	09/20/1991	ACCIDENT RESPONSE GROUP		
5530.2	09/20/1991	NUCLEAR EMERGENCY SEARCH TEAM		
5530.3	01/14/1992	RADIOLOGICAL ASSISTANCE PROGRAM	1	

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
5.0 EMERGENCY MANAGEMENT (EM) FUNCTIONAL AREA (Cont.)				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT		
DOE N 153.2	08/11/2003	CONNECTIVITY TO NATIONAL ATMOSPHERIC RELEASE ADVISORY CENTER (NARAC)		
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		
TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		
7.0 ENGINEERING (EG) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
7.0 ENGINEERING (EG) FUNCTIONAL AREA (Cont.)				
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		
DOE G 440.1-5	09/30/1995	IMPLEMENTATION GUIDE FOR USE WITH DOE ORDERS 420.1 AND 440.1 FIRE SAFETY PROGRAM		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	1 09/09/2004	
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES		
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
7.0 ENGINEERING (EG) FUNCTIONAL AREA (Cont.)				
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		
ORO O 420 Chapter XI, Rev. 7	04/04/2000	AUTHORIZATION AGREEMENTS		
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		
YSO-CRD-02-01, Rev. 1	06/20/2002	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		
8.0 CONSTRUCTION (CP) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
33 CFR		NAVIGATION AND NAVIGABLE WATERS		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT	40 CFR	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
8.0 CONSTRUCTION (CP) FUNCTIONAL AREA (Cont.)				
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	
DE-AC05-00OR22800	08/31/2000	BWXT Y-12 L.L.C. CONTRACT WITH DOE/NNSA		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	1 09/09/2004	
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES		

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
8.0 CONSTRUCTION (CP) FUNCTIONAL AREA (Cont.)				
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		
ORO O 420 Chapter XI, Rev. 7	04/04/2000	AUTHORIZATION AGREEMENTS		
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		
YSO-CRD-02-01, Rev. 1	06/20/2002	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		
9.0 OPERATIONS (OP) FUNCTIONAL AREA				
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
10.0 MAINTENANCE (MA) FUNCTIONAL AREA				
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
11.0 RADIATION PROTECTION (RP) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	1 09/09/2004	
12.0 FIRE PROTECTION (FP) FUNCTIONAL AREA				
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		
29 CFR	04/23/1998	LABOR		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
DOE G 440.1-5	09/30/1995	IMPLEMENTATION GUIDE FOR USE WITH DOE ORDERS 420.1 AND 440.1, FIRE SAFETY PROGRAM		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	1 09/09/2004	
DOE O 420.1A	05/20/2002	FACILITY SAFETY		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES*		
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		
ORO FPP GUIDE	04/23/1998	OAK RIDGE OPERATIONS OFFICE FIRE PREVENTION AND PROTECTION IMPLEMENTATION GUIDE, 8TH EDITION	8	
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		

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13.0 PACKAGING AND TRANSPORTATION (TR) FUNCTIONAL AREA				
40 CFR		PROTECTION OF THE ENVIRONMENT		
49 CFR		TRANSPORTATION		
DOE M 473.1-1	12/23/2002	PHYSICAL PROTECTION PROGRAM MANUAL		
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY		
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		
18.0 FACILITY (NUCLEAR) SAFETY (FS) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
29 CFR	04/23/1998	LABOR		
40 CFR		PROTECTION OF THE ENVIRONMENT		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
18.0 FACILITY (NUCLEAR) SAFETY (FS) FUNCTIONAL AREA (Cont.)				
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		
ORO O 420 Chapter XI, Rev. 7	04/04/2000	AUTHORIZATION AGREEMENTS.		
YSO-CRD-02-01, Rev. 1	06/20/2002	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		
19.0 OCCUPATIONAL SAFETY AND HEALTH (SH) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	1 09/09/2004	
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES		
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE POLICY		
ORO O 450 Chapter V	12/31/2002	ORO INTEGRATED SAFETY MANAGEMENT PROGRAM		
20.0 ENVIRONMENTAL PROTECTION (EP) FUNCTIONAL AREA				
10 CFR	01/24/1997	ENERGY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
20.0 ENVIRONMENTAL PROTECTION (EP) FUNCTIONAL AREA (Cont.)				
33 CFR		NAVIGATION AND NAVIGABLE WATERS		
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		
40 CFR		PROTECTION OF THE ENVIRONMENT		
43 CFR		PUBLIC LANDS: INTERIOR		
50 CFR		WILDLIFE AND FISHERIES		
5400.1		GENERAL ENVIRONMENTAL PROTECTION PROGRAM	1	
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	1 09/09/2004	
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		
DOE O 450.1	08/25/2003	ENVIRONMENTAL PROTECTION PROGRAM	1 01/24/2005	
EO 12898		ENVIRONMENTAL JUSTICE		
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORENATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		

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20.0 ENVIRONMENTAL PROTECTION (EP) FUNCTIONAL AREA (Cont.)				
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		
TN 1200-5-8		WATER REGISTRATION REQUIREMENTS		
TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		

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21.0 NUCLEAR CRITICALITY SAFETY (CS) FUNCTIONAL AREA				
DOE O 420.1A	05/20/2002	FACILITY SAFETY		

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT PROGRAM, dated 03/21/2003.
- (3) These documents are ES&H related and appear in one or more of the current Standards/Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.