

2. AMENDMENT/MODIFICATION <b>M013</b>	3. EFFECTIVE DATE See Block 16.C	4. REQUISITION/PURCHASE REQ. NO. NOPR	5. PROJECT NO. (If applicable)
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6. ISSUED BY U. S. Department of Energy National Nuclear Security Administration P. O. Box 2001 Oak Ridge, TN 37831	7. ADMINISTERED BY (If other than Item 6)
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8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)  BWXT Y-12 P. O. Box 2009 MS 8014 Oak Ridge, TN 37831-8014	(X)	9A. AMENDMENT OF SOLICITATION NO.
FACILITY CODE		9B. DATED (SEE ITEM 11)
		10A. MODIFICATION OF CONTRACT/ORDER DE-AC05-00OR22800
		10B. DATED (SEE ITEM 13) August 31, 2000

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATION**

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers is extended. is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:  
 (a) By completing items 8 and 15, and returning copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOU ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ ORDERS, IT MODIFIES CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<b>X</b>	D. OTHER (Specify type of modification and authority) <b>Public Law 95-91 and Mutual Agreement</b>

**DUPLICATE ORIGINAL**

E. IMPORTANT: Contractor is not, **X** is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/ MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

**Attachment E to the contract is deleted and replaced with the attached Requirements Change Notice NNSA-02 dated 3/30/2001.**

Except as provided herein, all terms and conditions of the document referenced in Item 9A and 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Ron Wessel Director, Contracts	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) William W. Wistrom Contracting Officer
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED 4/6/01
16B. UNITED STATES OF AMERICA BY  (Signature of Contracting Officer)	16C. DATE SIGNED 4/6/01

# REQUIREMENTS CHANGE NOTICE

No.

NNSA-02

Page 1 of 16 Pages

Project

Location

Baseline List of Required Compliance Documents

Oak Ridge, Tennessee

Contractor

BWXT Y-12, L.L.C.

Contract No.

Date of Contract

DE-AC05-00OR22800

I.116 Laws, Regulations, and DOE Directives (June 1997) DEAR 970.5204-78

August 31, 2000

This Requirements Change Notice (RCN) No. NNSA-02 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Section J, Attachment E, are indicated by bold type.

Below is a list of the directives incorporated herein:

DOE N 203.1	DOE M 472.1-1A
DOE N 311.1	DOE N 473.6
DOE O 413.3	DOE N 473.7
DOE N 430.3	DOE O 474.1A
DOE O 443.1	DOE M 474.1-1A
DOE N 470.2	

Delete:

DOE N 430.2	DOE O 474.1
DOE M 472.1-1	DOE M 474.1-1

Update:

DOE O 430.1A - Added a Note reference document

S/RID 19 - SH - Revised Occupational Safety and Health Requirements

DOE Authorizing Signature

Date:



3/21/01

Diane McCarten, Contracting Officer's Representative for Directives

03/30/2001

## OUTLINE OF RCN No. 02 CHANGES

<u>ITEM</u>	<u>DATE</u>	<u>TITLE</u>	<u>CHANGE #</u>	<u>AUTHORIZATION</u>
<u>RD #003</u> DOE N 473.6	09/18/2000	Security Conditions		DOE ltr dated 11/27/2000 BWXT Y-12 ltr dated 12/19/2000
Addition, with a compliance line.				
<u>RD #004</u> DOE O 443.1	05/15/2000	Protection Of Human Subjects		BWXT Y-12 ltr dated 12/20/2000
Addition.				
<u>RD #005</u> DOE O 413.3	10/13/2000	Program And Project Management For The Acquisition Of Capital Assets		DOE ltr dated 11/27/2000 BWXT Y-12 dated 01/09/2001
Addition, with a compliance line, supersedes paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11) and (16); 7d(4) and (8); 7e(3), (10) and (17); and, Attachment 1, Definitions (item 30 - Line Item Project, item 42 - Project, item 48 - Strategic System) and Attachment 2, Contractor Requirements Document (paragraph 1d regarding a project management system) of DOE O 430.1A.				
<u>RD #006</u> DOE O 430.1A	10/14/1998	Life Cycle Asset Management		DOE ltr dated 11/27/2000 BWXT Y-12 dated 01/09/2001
Added "Note" that DOE O 413.3 supersedes paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11) and (16); 7d(4) and (8); 7e(3), (10) and (17); and, Attachment 1, Definitions (item 30 - Line Item Project, item 42 - Project, item 48 - Strategic System) and Attachment 2, Contractor Requirements Document (paragraph 1d regarding a project management system).				
<u>RD #007</u> S/RID 19.0	01/16/2001	Safety and Health (SH)		DOE ltrs dated 12/09/2000 and 01/16/2001 BWXT Y-12 ltr

OUTLINE OF RCN No. 02 CHANGES

<u>ITEM</u>	<u>DATE</u>	<u>TITLE</u>	<u>CHANGE #</u>	<u>AUTHORIZATION</u> dated 12/13/2000
Revised S/RID 19.0 to cancel Chronic Beryllium Disease Prevention Program (CBDPP) S/RID Requirement from DOE N 440.1 and adoption of CBDPP from 10 CFR 850.				
<u>RD #008</u> DOE	N 473.7	10/26/2000	Explosive Detection Program	DOE ltr dated 12/26/2000 BWXT Y-12 ltr dated 01/22/2001
Addition, with a compliance line.				
<u>RD #009</u> DOE	M 472.1-1A	11/16/2000	Personnel Security Program Manual	DOE ltr dated 01/16/2001 BWXT Y-12 ltr dated 01/24/2001
Addition, cancels DOE M 472.1-1.				
<u>RD #010</u> DOE	M 472.1-1	05/22/1998	Personnel Security Program Manual	DOE ltr dated 01/16/2001 BWXT Y-12 dated 01/29/2001
Deletion.				
<u>RD #011</u> DOE	N 311.1	12/21/2000	Extension of DOE Order 311.1A, Equal Employment Opportunity And Diversity Program	DOE ltr dated 01/19/2001 BWXT Y-12 ltr dated 02/13/2001
Addition.				
<u>RD #012</u> DOE	N 470.2	12/15/2000	Reporting Unofficial Foreign Travel	DOE ltr dated 01/19/2001 BWXT Y-12 ltr dated 02/12/2001
Addition.				
<u>RD #013</u> DOE	N 430.3	12/13/2000	Extension of DOE Order 430.2, In-House Energy Management	DOE ltr dated 01/18/2001 BWXT Y-12 02/13/2001
Addition, supersedes DOE N 430.2				
<u>RD #014</u>				

OUTLINE OF RCN No. 02 CHANGES

	<u>ITEM</u>	<u>DATE</u>	<u>TITLE</u>	<u>CHANGE #</u>	<u>AUTHORIZATION</u>
DOE	N 430.2	06/13/2000	Extension Of DOE Order 430.2, In-House Energy Management		DOE ltr dated 01/18/2001 BWXT Y-12 ltr 02/13/2001
Deletion.					
<u>RD #015</u>					
DOE	O 474.1A	11/20/2000	Control and Accountability of Nuclear Materials		DOE ltr dated 01/19/2001 BWXT Y-12 ltr dated 02/15/2001
Addition, with compliance line. Supersedes DOE O 474.1.					
<u>RD #016</u>					
DOE	M 474.1-1A	11/22/2000	Manual for Control and Accountability of Nuclear Materials		DOE ltr dated 01/19/2001 BWXT Y-12 ltr dated 02/15/2001
Addition, with compliance line. Supersedes DOE M 474.1-1.					
<u>RD #017</u>					
DOE	O 474.1	08/11/1999	Control and Accountability of Nuclear Materials		DOE ltr dated 01/19/2001 BWXT Y-12 ltr dated 02/15/2001
Deletion.					
<u>RD #018</u>					
DOE	M 474.1-1	08/11/1999	Manual for Control and Accountability of Nuclear Materials		DOE ltr dated 01/19/2001 BWXT Y-12 ltr dated 02/15/2001
Deletion.					
<u>RD #019</u>					
DOE	N 203.1	10/02/2000	Software Quality Assurance		DOE ltr dated 01/30/2001 BWXT Y-12 ltr dated 02/23/2001
Addition.					

**SECTION J, ATTACHMENT E  
BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**DOE DIRECTIVES**

	<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
DOE	O 110.3 Compliance: Implementation will be in accordance with the Implementation Plan submitted to DOE-ORO on June 1, 2000.	11/3/1999	Conference Management		
DOE	O 130.1	09/29/1995	Budget Formulation Process		
DOE	O 137.1A	08/30/1999	Plan for Operating in the Event of a Lapse in Appropriations		
DOE	M 140.1-1A	01/26/1999	Manual for DOE Interface with Defense Nuclear Facilities Safety Board		
DOE	N 142.1	07/14/1999	Unclassified Foreign Visits And Assignments		3
DOE	O 200.1	09/30/1996	Information Management Program		
DOE	M 200.1-1	03/1997	Telecommunications Security Manual		
DOE	N 203.1	10/02/2000	Software Quality Assurance		
DOE	N 205.1 Compliance: Implementation is in accordance with the LMES Implementation Plan approved by DOE-ORO on August 11, 2000.	07/26/1999	Unclassified Cyber Security Program		3
DOE	N 205.2	11/01/1999	Foreign National Access to DOE Cyber Systems		3
DOE	N 205.3	11/23/1999	Password Generation, Protection, And Use		3
DOE	O 224.1	12/08/1997	Contractor Performance-Based Business Management Process		
DOE	O 241.1	08/17/1998	Scientific and Technical Information Management		
DOE	N 251.37	09/01/2000	Extension Of DOE Notices On Security		
DOE	O 252.1	11/19/1999	Technical Standards Program		
DOE	N 311.1	12/21/2000	Extension of DOE Order 311.1A, Equal Employment Opportunity And Diversity Program		
DOE	O 311.1A	12/30/1996	Equal Employment Opportunity and Diversity Program		

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<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
Compliance: Implementation will be in accordance with an implementation plan accepted by DOE March 31, 1998.				
DOE O 350.1	09/30/1996	Contractor Human Resource Management Programs	Change 1 05/08/1998	
DOE N 350.5	04/15/1999	Use of Facility Contractor Employees for Services to DOE in The Washington D.C., Area		
DOE O 412.1	04/20/1999	Work Authorization System		
DOE N 413.1	12/10/1999	Extension of DOE O 413.1 Management Control Program		
DOE O 413.1	12/06/1995	Management Control Program		
DOE O 413.3	10/13/2000	Program And Project Management For The Acquisition Of Capital Assets		
<p>Note: Supersedes paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11) and (16); 7d(4) and (8); 7e(3), (10) and (17); and, Attachment 1, Definitions (item 30 - Line Item Project, item 42 - Project, item 48 - Strategic System) and Attachment 2, Contractor Requirements Document (paragraph 1d regarding a project management system) of DOE O 430.1A.</p> <p>Compliance: Compliance will be in accordance with approval of an Implementation Plan to be submitted by June 28, 2001.</p>				
DOE O 430.1A	10/14/1998	Life Cycle Asset Management		
<p>Compliance: LMES letter dated March 15, 1999, stated a non-compliance issue related to DOE funding which is under consideration by the COR.</p> <p>Note: DOE O 413.3 supersedes and replaces paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11) and (16); 7d(4) and (8); 7e(3), (10) and (17); and, Attachment 1, Definitions (item 30 - Line Item Project, item 42 - Project, item 48 - Strategic System) and Attachment 2, Contractor Requirements Document (paragraph 1d regarding a project management system).</p>				
DOE N 430.3	12/13/2000	Extension Of DOE Order (O) 430.2, In-House Energy Management		
DOE O 430.2	06/13/1996	In-House Energy Management		
Compliance: Implementation will be in accordance with an implementation plan accepted by DOE July 21, 1997.				
DOE O 442.1	02/01/1999	Department Energy Employee Concerns Program		
DOE O 443.1	05/15/2000	Protection Of Human Subjects		

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DOE	M 452.4-1	07/01/1999	Protection of Use Control Vulnerabilities and Designs		
DOE	N 470.1	09/23/1999	Extension of DOE O 470.1, Safeguards and Security Program		3
DOE	O 470.1	09/28/1995	Safeguards and Security Program	Change 1 06/21/1996	
DOE	N 470.2	12/15/2000	Reporting Unofficial Foreign Travel		
DOE	O 470.2A	03/01/2000	Security And Emergency Management Independent Oversight And Performance Assurance Program		
DOE	M 471.1-1	06/30/2000	Identification and Protection Of Unclassified Controlled Nuclear Information Manual		
DOE	O 471.1A	06/30/2000	Identification and Protection Of Unclassified Controlled Nuclear Information		
DOE	N 471.2	09/23/1999	Extension of DOE O 471.2A, Information Security Program		3
DOE	O 471.2A	03/27/1997	Information Security Program		
DOE	M 471.2-1B	01/06/1999	Classified Matter Protection and Control Manual Compliance: Implementation to be in accordance with an implementation plan submitted to DOE on August 5, 1999.		
DOE	M 471.2-2	08/03/1999	Classified Information Systems Security Manual Note: DOE N 205.3 cancels and replaces Paragraphs 4.j.(2) and (6), Chapter VI, plus Paragraph 12.a.(2)(a), Chapter VII.		
DOE	M 471.2-3	04/20/2000	Special Access Program Policies, Responsibilities, And Procedures Manual		
DOE	O 472.1B	03/24/1997	Personnel Security Activities		
DOE	M 472.1-1A	11/16/2000	Personnel Security Program Manual		
DOE	N 473.4	05/26/2000	Department of Energy Badges		
DOE	N 473.5	06/05/2000	Security Area Vouching And Piggybacking		
DOE	N 473.6	09/18/2000	Security Conditions		

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<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
Compliance: DOE Office of Security and Emergency Operations will allocate funding or provide priority guidance necessary to meet increased Security Condition (SECON) levels imposed by the Notice.				
DOE N 473.7	10/26/2000	Explosive Detection Program		
Compliance: Compliance will be contingent upon allocation of required funding and in accordance with approval of an Implementation plan to be submitted by July 26, 2001.				
DOE O 474.1A	11/20/2000	Control and Accountability of Nuclear Materials		
Compliance: Compliance will be in accordance with approval of a revised Implementation Plan to be submitted by July 19, 2001.				
DOE M 474.1-1A	11/22/2000	Manual for Control and Accountability of Nuclear Materials		
Compliance: Compliance will be in accordance with approval of a revised Implementation Plan to be submitted by July 19, 2001.				
DOE M 474.1-2	02/10/1998	Nuclear Materials Management and Safeguards System Reporting and Data Submission	Change 2 11/16/1998	
DOE M 475.1-1	05/08/1998	Identifying Classified Information		
DOE O 481.1	09/30/1996	Work for Others (Non-Department of Energy Funded Work)		
DOE M 481.1-1	09/30/1996	Reimbursable Work for Non-Federal Sponsors Process Manual		
DOE N 534.1	09/29/1999	Extension of DOE O 534.1, Accounting		
DOE O 534.1	09/29/1995	Accounting		
DOE 1270.2B	06/23/1992	Safeguards Agreement with the Int'l Atomic Energy Agency (IAEA)		1
DOE 1340.1B	01/07/1993	Management of Public Communications & Scientific, Technical, & Engineering Publications		
DOE 1350.1	10/28/1981	Audio Visual & Exhibits Management	Change 1 03/26/1984	
DOE 1450.4	11/12/1992	Consensual Listening-In to or Recording Telephone/Radio Conversations		

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DOE 1500.3	11/10/1986	Foreign Travel Authorization	Change 7 07/06/1994	
<p>Compliance: Implementation is in accordance with DOE letter from Martha Krebs, Director, Office of Energy Research, dated May 24, 1994.</p> <p>Compliance: Implementation will be in accordance with John J. Easton Jr., Assistant Secretary for Energy International Affairs and Energy Emergencies letter dated June 21, 1990.</p>				
DOE 2030.4B	05/18/1992	Reporting Fraud, Waste, & Abuse		
DOE 2100.8A	01/27/1993	Cost Accounting, Cost Recovery, & Interagency Sharing of Informational Technical Facilities		
DOE 2110.1A	07/14/1988	Pricing of Departmental Materials & Services	Change 2 05/18/1992	
<p>Compliance: Change 2 of the Order failed to incorporate interim guidance, "Policy on Waiver of DOE's Added Factor and Depreciation." Subsequent clarification from ORO confirmed the need to continue following this interim guidance.</p> <p>Compliance: Implementation will be in accordance with DOE-ORO letter from J. R. Martin, Director, Finance Division, dated August 3, 1994, regarding the application of the departmental added factor (DAF) based on the source of funds. Effective August 3, 1994 the DAF rate will be applied to any work performed for a non-Federal entity (as opposed to full cost factor and depreciation normally applied to private work) if the work is financed from another Federal entity. Written documentation should be provided from the non-Federal entity or the other Federal agency. This change in policy applies only to new work.</p> <p>Compliance: Implementation will be in accordance with DOE memorandum from Elizabeth E. Smedley, Controller, dated August 25, 1994, regarding the waiver of the added factor and depreciation for small businesses and nonprofit organizations participating in funds-in agreements, effective October 1, 1994. This waiver is valid for one year, through September 30, 1995.</p>				
DOE 2300.1B	06/08/1992	Audit Resolution & Follow-up		
DOE 2320.1C	05/18/1992	Cooperation with the Office of Inspector General		
DOE 2320.2B	05/18/1992	Establishment of Departmental Position on Inspector General Reports		1
DOE 2321.1B	05/14/1992	Auditing of Programs & Operations		1
DOE 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		1

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	<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
DOE	5560.1A	05/08/1985	Priorities & Allocations Program		
AL	56XB, REV 1	02/01/1992	Development and Production Manual		
DOE	5610.2	08/01/1980	Control of Weapons Data	Change 1 09/02/1986	
DOE	5632.1C	07/15/1994	Protection and Control of Safeguards and Security Interests		
DOE	5632.1C-1	07/15/1994	Manual for Protection and Control of Safeguards and Security Interests	Change 1 04/10/1996	
MANUAL					
Note: Chapter XI is canceled by DOE O 470.1.					
Note: Chapter III, paragraphs 1, 2, and 4 through 9 are canceled by DOE O 471.2.					
DOE	5639.8A	07/23/1993	Security of Foreign Intelligence Info & Sensitive Compartmented Information Facilities		
DOE	5660.1B	05/26/1994	Management of Nuclear Materials		
DOE	5670.1A	01/15/1992	Management & Control of Foreign Intelligence Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated June 10, 1992		
DOE	5670.3	09/04/1992	Counterintelligence Program		
SEN-	34-91	08/15/1991	Implementation of the Chief Financial Officers Act of 1990		1

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**ORO DIRECTIVES**

<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
ORO O 130	05/15/1996	<b>BUDGET</b>		
Chapter II	05/15/1996	Shutdown of Departmental Operations Upon Failure of Congress to Enact Appropriations	Change 2 07/28/1999	
ORO O 140	05/15/1996	<b>EXTERNAL RELATIONSHIPS</b>		
Chapter II	05/15/1996	Safeguards Agreement with the International Atomic Energy Agency (IAEA)	Change 1 05/15/1998	
ORO O 220	05/31/1996	<b>ASSESSMENTS</b>		
REV 1	09/30/1996	<b>ASSESSMENTS</b>		
Chapter I	05/31/1996	Reporting Fraud, Waste, and Abuse to the Office of Inspector General	Change 1 07/28/1998	
Chapter II	09/30/1996	Cooperation with the Office of Inspector General	Change 1 01/07/1999	
Chapter III	05/31/1996	Establishment of Departmental Position on Inspector General Reports	Change 1 07/28/1998	
Chapter IV	05/31/1996	Coordination of General Accounting Office Activities	Change 1 07/28/1998	
Chapter VII	05/31/1996	Audit Resolution and Followup	Change 1 07/28/1998	
Chapter IX	09/30/1996	Auditing of Programs and Operations		
ORO O 250	08/13/1996	<b>STANDARDS MANAGEMENT</b>		
REV 1	09/30/1996	<b>STANDARDS MANAGEMENT</b>		
REV 2	03/27/1998	<b>STANDARDS MANAGEMENT</b>		
REV 3	01/06/2000	<b>STANDARDS MANAGEMENT</b>		
Chapter I	03/27/1998	Oak Ridge Operations Standards Management Program Overview		2
Chapter II	12/13/1999	Oak Ridge Operations (ORO) Directives System	Change 2 12/13/1999	

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**ORO DIRECTIVES**

	<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
	Chapter IV	12/13/1999	Contract Appendix And Impact Assessments	Change 1 12/13/1999	
	Chapter V	12/13/1999	Development, Approval and Maintenance of Work Smart Standards (WSSs)	Change 1 12/13/1999	
	Chapter VI	01/06/2000	Implementation Plans	Change 1 01/06/2000	
	Chapter VII	01/06/2000	Maintenance Of Standards/Requirements Identification Documents (S/RIDs)	Change 1 01/06/2000	
ORO	O 350	05/31/1996	<b>CONTRACTOR HUMAN RESOURCE PROGRAMS</b>		
	Chapter III	05/31/1996	Federal Labor Standards	Change 1 04/29/1999	
	Chapter VI	06/28/1996	Workplace Substance Abuse Programs at Oak Ridge Sites	Change 1 09/30/1998	
ORO	O 410	05/31/1996	<b>MANAGEMENT</b>		
REV 1		09/24/1996	<b>MANAGEMENT</b>		
	Chapter I	09/24/1996	Work Authorization System	Change 1 12/01/1998	
	Chapter II	05/31/1996	Management of Nuclear Materials	Change 1 09/21/1998	
ORO	O 430	06/14/1996	<b>LIFE CYCLE FACILITY OPERATIONS</b>		
REV 1		09/30/1996	<b>LIFE CYCLE FACILITY OPERATIONS</b>		
	Chapter I	09/24/1996	Life Cycle Asset Management	Change 5 07/07/1999	
	Chapter II	06/14/1996	In-House Energy Management	Change 1 11/04/1998	

Compliance: Compliance will be in accordance with an Implementation to be submitted by June 3, 1999.

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**ORO DIRECTIVES**

<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
ORO 440	01/24/1997	<b>WORKER PROTECTION</b>		
REV 1	01/24/1997			
REV 2	10/18/2000			
Chapter V	09/30/1996	Employee Concerns Management Program	Change 2 07/07/1999	
ORO O 450	09/30/1996	<b>PROTECTION OF THE PUBLIC AND ENVIRONMENT</b>		
Chapter III	09/30/1996	Cultural Resources Management Program	Change 1 04/09/1999	
ORO O 470	05/15/1996	<b>SAFEGUARDS AND SECURITY</b>		
REV 1	09/30/1996	<b>SAFEGUARDS AND SECURITY</b>		
Chapter I	09/30/1996	Safeguards and Security Program	Change 1 04/16/1999	
Chapter IV	05/15/1996	Control of Weapon Data		
Chapter VII	05/15/1996	Protection and Control of Safeguards and Security Interests	Change 1 07/23/1998	
Chapter IX	05/15/1996	Control and Accountability of Nuclear Materials	Change 1 05/10/1999	
Chapter XI	09/30/1996	Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities	Change 1 04/23/1999	
Chapter XII	05/15/1996	Counterintelligence (CI) Program	Change 1 07/22/1998	
ORO N 471.2	03/05/1996	Technical Surveillance Countermeasures (TSCM) Program - Use of Telephone Lineman Handsets or Items Similar in Purpose, Use, or Effect on DOE-owned or -Leased Property	Change 2 04/15/1999	

**SECTION J, ATTACHMENT E  
BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**ORO DIRECTIVES**

	<u>REQUIRED COMPLIANCE</u>	<u>DATE</u>	<u>TITLE</u>	<u>THROUGH CHANGE</u>	<u>NOTES (*)</u>
ORO	O 530	06/18/1996	<b>ACCOUNTING</b>		
	Chapter III	06/18/1996	Accounting	Change 1 09/02/1998	
ORO	O 560	05/15/1996	<b>TELECOMMUNICATIONS AND DATA SYSTEMS</b>		
	Chapter I	05/15/1996	Unclassified Computer Security Program	Change 1 08/27/1998	
ORIG	4300.2B	12/23/1992	Non-DOE Funded Work		
	Compliance: Implementation will be in accordance with MMES letter dated March 5, 1996				

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\* Notes

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document is not directly applicable to the Contractor; however, it is included in this list of applicable documents because an associated document in the same series is applicable (e.g., because a DOE Order is applicable, the OR Order or ORIG is made applicable) or because the policies listed in the order are part of the Contractor's good business practices.
- (3) This Notice was extended until December 31, 2000, by DOE N 251.37, Extension Of DOE Notices On Security, dated September 1, 2000.

**SECTION J, ATTACHMENT E  
BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**STANDARDS REQUIREMENTS IDENTIFICATION DOCUMENT (S/RID)**

<b><u>Functional Area</u></b>	<b><u>Title</u></b>	<b><u>Revision Number</u></b>	<b><u>Date of ORO Approval Letter</u></b>
1.0	MS - Management Systems	5	08/10/1999
2.0	QA - Quality Assurance	4	08/22/2000
3.0	CM - Configuration Management	2	08/23/1996
4.0	TQ - Training & Qualification	4	05/26/2000
5.0	EM - Emergency Management	5	08/28/2000
9.0	OP - Operations	2	03/16/1999
10.0	MA - Maintenance	3	08/22/2000
11.0	RP - Radiation Protection	3	08/17/2000
12.0	FP - Fire Protection	3	04/23/1998
13.0	TR - Packaging and Transportation	5	05/18/2000
18.0	FS - Facility (Nuclear) Safety	6	04/28/1999
19.0	SH - Occupational Safety and Health	4	01/16/2001
20.0	EP - Environmental Protection	5	05/01/2000

**SECTION J, ATTACHMENT E  
BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**WORK SMART STANDARDS (WSS)**

<u>Functional Area/Title</u>	<u>Revision Number</u>	<u>Date of ORO Approval Letter</u>
Engineering Design and Construction	0	03/25/1997