

DOE Form
(04/1991)

**U.S. Department of Energy
Requirements Change Notice**

No.:
NNSA-08

Page 1 of 23 Pages

PROJECT:
Baseline List of Required Compliance Documents

LOCATION:
Oak Ridge, Tennessee

CONTRACTOR: BWXT Y-12, L. L. C.

CONTRACT NO.: DE-AC05-00OR22800, I.116, Laws, Regulations, and
DOE Directives (June 1997), DEAR 970.5204-78

DATE OF CONTRACT:
August 31, 2000

This Requirements Change Notice (RCN) No. NNSA-08 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Section J, Attachment E are indicated by bold type.

Below is a list of the directives incorporated herein:

ADDITIONS:

DOE N 205.4 DOE N 251.44 DOE O 413.1A ORO O 220, Chapter III, Change 3
DOE O 430.2A ORO O 350, Chapter III, Change 2 ORO N 471.2, Change 4
ORO O 470, Chapter I, Change 2

DELETIONS:

DOE O 224.1 DOE O 413.1 DOE N 413.1 DOE O 430.2 DOE N 430.3
DOE M 471.2-2, Chapter III, Sect. 8, only ORO O 220, Chapter III, Change 2
ORO O 350, Chapter III, Change 1 ORO O 350, Chapter VI, Change 1
ORO N 471.2, Change 3 ORO O 470, Chapter I, Change 1
DOE O 430.1A, Paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16) only DOE M 471.2-1B, compliance line only
ORO O 470, Chapter XII, Change 1, Administrative Correction; deleted by RCN NNSA-06 and inadvertently re-inserted on RCN NNSA-07.

COMPLIANCE LINE UPDATES:

DOE N 473.7 DOE M 471.2-1C

EXPIRATION DATES EXTENDED BY DOE N 251.44

DOE N 205.1 DOE N 205.2 DOE N 205.3 DOE N 471.3 DOE N 473.6

UPDATES:

S/RID 12.0 - FP - Revised Fire Protection Requirements
S/RID 18.0 - FS - Revised Facility (Nuclear) Safety Requirements
S/RID 19.0 - SH - Revised Occupational Medical Section Requirements

DOE AUTHORIZING SIGNATURE:



Diane McCarten, Contracting Officer's Representative for Directives

DATE:

10/7/02

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD #143</u> ----- DOE N 205.4	03/18/2002	Handling Cyber Security Alerts and Advisories and Reporting Cyber Security Incidents		DOE ltr dtd 04/29/2002 BWXT Y-12 ltr dtd 05/30/2002
<i>Addition of DOE N 205.4 and deletion of Chapter III, Section 8, of DOE M 471.2-2.</i>				
<u>RD #144</u> ----- DOE O 430.2A	04/15/2002	Departmental Energy and Utilities Management		DOE ltr dtd 04/29/2002 BWXT Y-12 ltr dtd 06/06/2002
<i>Addition of DOE O 430.2A and deletion of paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16) of DOE O 430.1A</i>				
<u>RD #145</u> ----- DOE O 430.2	06/13/1996	In-House Energy Management		DOE ltr dtd 04/29/2002 BWXT Y-12 ltr dtd 06/06/2002
<i>Deletion</i>				
<u>RD #146</u> ----- DOE N 430.3	12/13/2000	Extension of DOE O 430.2, In-House Energy Management		DOE ltr dtd 04/29/2002 BWXT Y-12 ltr dtd 06/06/2002
<i>Deletion</i>				
<u>RD #147</u> ----- ORO O 350 Chapter III	05/31/1996	Federal Labor Standards	2 04/15/2002	DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/14/2002
<i>Addition</i>				
<u>RD #148</u> ----- ORO O 350 Chapter III	05/31/1996	Federal Labor Standards	1 04/29/1999	DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/14/2002
<i>Deletion</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD #149</u> ----- ORO O 220 Chapter III	05/31/1996	Establishment of Management Decisions on Office of Inspector General Reports	3 04/02/2002	DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/14/2002
<i>Addition</i>				
<u>RD #150</u> ----- ORO O 220 Chapter III	05/31/1996	Establishment of Departmental Position on Inspector General Reports	2 05/11/2001	DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/14/2002
<i>Deletion</i>				
<u>RD #151</u> ----- DOE O 413.1A	04/18/2002	Management Control Program		DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/26/2002
<i>Addition</i>				
<u>RD #152</u> ----- DOE O 413.1	12/06/1995	Management Control Program		DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/26/2002
<i>Deletion</i>				
<u>RD #153</u> ----- DOE N 413.1	12/10/1999	Extension of DOE O 413.1, Management Control Program		DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 06/26/2002
<i>Deletion</i>				
<u>RD #154</u> ----- DOE N 251.44	05/06/2002	Extension of DOE Directives on Security		DOE ltr dtd 05/21/2002 BWXT Y-12 ltr dtd 07/10/2002 Extends DOE N 205.1, DOE N 205.2, DOE 205.3, DOE N 471.3, DOE N 473.6 until 12/31/2002
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD #155</u> ----- ORO N 471.2	03/05/1996	Technical Surveillance Counter-Measures (TSCM) Program - Use of Telephone Lineman-Type Handsets or Items Similar in Purpose, Use, or Effect on DOE-Owned or -Leased Property	4 05/31/2002	DOE ltr dtd 07/11/2002 BWXT Y-12 ltr dtd 07/26/2002
<i>Addition: Extends ORO N 471.2, Change 3.</i>				
<u>RD #156</u> ----- ORO N 471.2	03/05/1996	Technical Surveillance Counter-Measures (TSCM) Program - Use of Telephone Lineman-Type Handsets or Items Similar in Purpose, Use, or Effect on DOE-Owned or -Leased Property	3 02/12/2001	DOE ltr dtd 07/11/2002 BWXT Y-12 ltr dtd 07/26/2002
<i>Deletion</i>				
<u>RD #157</u> ----- S/RID 12.0	07/18/2002	FIRE PROTECTION (FP)		DOE ltr dtd 07/18/2002 BWXT Y-12 ltr dtd 05/30/2002
<i>Revised S/RID to update requirements to reflect current directives and technical standards, and to effect a graded approach to implementing those requirements.</i>				
<u>RD #158</u> ----- S/RID 19.0	07/18/2002	OCCUPATIONAL SAFETY AND HEALTH (SH)		DOE ltr dtd 07/18/2002 BWXT Y-12 ltr dtd 05/30/2002
<i>Revised S/RID to update the Occupational Medical Section to reflect the requirements in DOE N 231.1, "Environment, Safety, and Health Reporting Notice," and to clarify the term "health assessment."</i>				
<u>RD #159</u> ----- DOE N 473.7	10/26/2000	Explosive Detection Program		DOE ltr dtd 10/09/2001 BWXT ltr dtd 07/24/2001
<i>Modification of compliance line to read: Compliance will be in accordance with an Implementation Plan approved by DOE on 10/09/2001.</i>				
<u>RD #160</u> ----- DOE O 224.1	12/08/1997	Contractor Performance-Based Business Management Process		DOE ltr dtd 06/12/2002
<i>Deletion</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD #161</u> ----- ORO O 350 Chapter VI	06/28/1996	Workplace Substance Abuse Programs at Oak Ridge Sites	1 09/30/1998	DOE ltr dtd 08/09/2002
<i>Deletion</i>				
<u>RD #162</u> ----- S/RID 18.0	06/20/2002	FACILITY (NUCLEAR) SAFETY (FS)		DOE ltr dtd 6/20/2002 BWXT Y-12 ltrs dtd 08/31/2001 and 08/12/2002
<i>Revised S/RID to delete requirements derived from DOE Orders 5480.21, 5480.22, 5480.23, 5480.24, 5481.1B, 5820.2A, 6430.1A, and DOE Std-1027, and replace them with new requirements derived from 10 CFR 830, Nuclear Safety Management Subpart B, Safety Basis Requirements, and existing requirements from 29 CFR 1910.119 and 40 CFR 68. The Nuclear Criticality Safety Section has also been removed from this Chapter.</i>				
<u>RD #163</u> ----- ORO O 470 Chapter I	09/30/1996	Safeguards and Security Program	2 07/31/2002	DOE ltr dtd 08/09/2002 BWXT Y-12 ltr dtd 08/28/2002
<i>Addition</i>				
<u>RD #164</u> ----- ORO O 470 Chapter I	09/30/1996	Safeguards and Security Program	1 04/16/1999	DOE ltr dtd 08/09/2002 BWXT Y-12 ltr dtd 08/28/2002
<i>Deletion</i>				
<u>RD #165</u> ----- DOE M 471.2-1B	01/06/1999	Classified Matter Protection and Control Manual		DOE Closure Concurrence Form dtd 07/01/2002
<i>Deletion of Compliance Line</i>				
<u>RD #166</u> ----- DOE M 471-2-1C	04/17/2001	Classified Matter Protection and Control Manual		DOE ltr dtd 07/19/2002 BWXT Y-12 ltr dtd 05/31/2002
<i>Modification of compliance line to read: Compliance will be in accordance with a Deviation Request (Variance) approved by DOE on 07/19/2002.</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3	11/03/1999	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 07/25/2001.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION PROCESS		
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE N 142.1	07/14/1999	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS		See Footnote (4)
DOE N 153.1	02/26/2001	CONNECTIVITY TO ATMOSPHERIC RELEASE ADVISORY CAPABILITY		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE M 200.1-1	03/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		See Footnote (2)
DOE N 205.1	07/26/1999	UNCLASSIFIED CYBER SECURITY PROGRAM		See Footnote (5)
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 08/11/2000.</i>				
DOE N 205.2	11/01/1999	FOREIGN NATIONAL ACCESS TO DOE CYBER SYSTEMS		See Footnote (5)

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 205.3	11/23/1999	PASSWORD GENERATION, PROTECTION, AND USE		See Footnote (5)
DOE N 205.4	03/18/2002	HANDLING CYBER SECURITY ALERTS AND ADVISORIES AND REPORTING CYBER SECURITY INCIDENTS		Cancels Chapter III, Section 8, <i>Incident Reporting</i> , of DOE M 471.2-2.
DOE O 221.1	03/22/2001	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2	03/22/2001	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		
DOE N 251.43	02/15/2002	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE O 470.1, DOE O 471.2A, and DOE O 472.1B until 08/15/2002.
DOE N 251.44	05/06/2002	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE N 471.3, and DOE N 473.6 until 12/31/2002

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE N 311.1	12/21/2000	EXTENSION OF DOE O 311.1A, EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		
DOE O 311.1A	12/30/1996	EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/31/1998.</i>				
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2	07/12/2001	USE OF FACILITY CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, DC AREA		
DOE N 350.6	01/12/2001	ACCEPTANCE OF VALID WORKERS' COMPENSATION CLAIMS		Errata Sheet distributed 04/24/2001.
<i>Compliance: Compliance will be contingent upon allocation of required funding in support and maintenance of new requirements, and in accordance with an Implementation Plan approved by DOE 03/04/2002.</i>				
DOE O 412.1	04/20/1999	WORK AUTHORIZATION SYSTEM		
DOE O 413.1A	04/18/2002	MANAGEMENT CONTROL PROGRAM		

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DOE O 413.3	10/13/2000	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		Cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10) and (17); and Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 10/09/1998.</i>				
DOE O 430.1A	10/14/1998	LIFE CYCLE ASSET MANAGEMENT		DOE O 413.3 cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10), and (17); and Attachment 1 Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system). DOE O 430.2A cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16).
<i>Compliance: LMES letter dated 03/15/1999 stated a non-compliance issue related to DOE funding which is under consideration by the COR.</i>				
DOE O 430.2A	4/15/2002	DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT		Cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16) of DOE O 430.1A.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1	05/15/2000	PROTECTION OF HUMAN SUBJECTS		
DOE M 452.4-1	07/01/1999	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 470.1	09/28/1995	SAFEGUARDS AND SECURITY PROGRAM	1 06/21/1996	See Footnote (4).
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		See Footnote (2).
DOE O 470.2A	03/01/2000	SECURITY AND EMERGENCY MANAGEMENT INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	
DOE O 471.2A	03/27/1997	INFORMATION SECURITY PROGRAM		See Footnote (4).
DOE M 471.2-1B	01/06/1999	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Canceled by DOE M 471.2-1C except for Chapter III, paragraphs 1 and 2, and Chapter IV, which remain in effect.

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DOE M 471.2-1C	04/17/2001	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Cancels and replaces DOE M 471.2-1B except Chapter III, paragraphs 1 and 2, and Chapter IV.
<i>Compliance: Compliance will be in accordance with a Deviation Request (Variance) approved by DOE on 07/19/2002.</i>				
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6); and Chapter VII, paragraph 12a(2)(a) canceled by DOE N 205.3 Chapter III, Section 8, <i>Incident Reporting</i> , canceled by DOE N 205.4.
DOE M 471.2-3	04/20/2000	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES MANUAL (OFFICIAL USE ONLY)		
DOE N 471.3	04/13/2001	REPORTING INCIDENTS OF SECURITY CONCERN		See Footnote (5)
DOE O 472.1B	03/24/1997	PERSONNEL SECURITY ACTIVITIES		See Footnote (4).
DOE M 472.1-1B	07/12/2001	PERSONNEL SECURITY PROGRAM MANUAL		
DOE N 473.4	05/26/2000	DEPARTMENT OF ENERGY BADGES		See Footnote (2).
DOE N 473.5	06/05/2000	SECURITY AREA VOUCHING AND PIGGYBACKING		See Footnote (2).

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DOE N 473.6	09/18/2000	SECURITY CONDITION		See Footnote (5)
<i>Compliance: DOE Office of Security and Emergency Operations will allocate funding or provide priority guidance necessary to meet increased Security Condition (SECON) levels imposed by the Notice.</i>				
DOE N 473.7	10/26/2000	EXPLOSIVE DETECTION PROGRAM		See Footnote (2).
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 10/09/2001.</i>				
DOE N 474.1	02/01/2002	Extension of DOE M 474.1-2		
DOE O 474.1A	11/20/2000	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/31/2001.</i>				
DOE M 474.1-1A	11/20/2000	MANUAL FOR CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/31/2001.</i>				
DOE M 474.1-2	02/10/1998	NUCLEAR MATERIALS MANAGEMENT AND SAFEGUARDS SYSTEM REPORTING AND DATA SUBMISSION	2 11/16/1998	
DOE M 475.1-1A	02/26/2001	IDENTIFYING CLASSIFIED INFORMATION		
DOE O 481.1B	09/28/2001	WORK FOR OTHERS (NON-DEPARTMENT OF ENERGY FUNDED WORK)		

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DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
<i>Compliance: Compliance will be contingent upon allocation of required funding and an Implementation Plan approved by DOE 10/31/2001.</i>				
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 534.1A	07/05/2001	ACCOUNTING		
DOE O 551.1A	08/25/2000	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE 1270.2B	06/23/1992	SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)		See Footnote (1)
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1350.1	10/28/1981	AUDIO VISUAL AND EXHIBITS MANAGEMENT	1 03/26/1984	

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DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2100.8A	01/27/1993	COST ACCOUNTING, COST RECOVERY, AND INTERAGENCY SHARING OF INFORMATIONAL TECHNICAL FACILITIES		
DOE 2110.1A	07/14/1988	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES	2 05/18/1992	
<p><i>Compliance: Change 2 of the Order failed to incorporate interim guidance, "Policy on Waiver of DOE's Added Factor and Depreciation." Subsequent clarification from ORO confirmed the need to continue following this interim guidance.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE-ORO letter from J. R. Martin, Director, Finance Division, dated 08/03/1994, regarding the application of the departmental added factor (DAF) based on the source of funds. Effective 08/03/1994, the DAF rate will be applied to any work performed for a non-Federal entity (as opposed to full cost factor and depreciation normally applied to private work) if the work is financed from another Federal entity. Written documentation should be provided from the non-Federal entity or the other Federal agency. This change in policy applies only to new work.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE memorandum from Elizabeth E. Smedley, Controller, dated 08/25/1994, regarding the waiver of the added factor and depreciation for small businesses and non-profit organizations participating in funds-in agreements, effective 10/01/1994. This waiver is valid for one year through 09/30/1995.</i></p>				
DOE 2300.1B	06/08/1992	AUDIT RESOLUTION AND FOLLOWUP		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1).
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	

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DOE 5632.1C	07/15/1994	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS		
DOE M 5632.1C-1	07/15/1994	MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	1 04/10/1996	DOE O 470.1 canceled Chapter XI. DOE O 471.2 canceled Chapter III, paragraphs 1, 2, and 4 through 9.
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				
DOE 5670.3	09/04/1992	COUNTERINTELLIGENCE PROGRAM		

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ALBUQUERQUE DIRECTIVES				
ALs can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL 56XB, Revision 1	02/01/1992	NUCLEAR WEAPON DEVELOPMENT AND PRODUCTION MANUAL		

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ORO DIRECTIVES				
<p>ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 130 Chapter II	05/15/1996	BUDGET SHUTDOWN OF DEPARTMENTAL OPERATIONS UPON FAILURE OF CONGRESS TO ENACT APPROPRIATIONS	2 07/28/1999	
ORO O 140 Chapter II	05/15/1996	EXTERNAL RELATIONSHIPS SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)	2 01/26/2001	
ORO O 220 Chapter II	09/30/1996	ASSESSMENTS COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL	1 01/07/1999	
Chapter III	05/31/1996	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS	3 04/02/2002	
Chapter IV	05/31/1996	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES	2 06/18/2001	
Chapter VII	05/31/1996	AUDIT RESOLUTION AND FOLLOWUP	2 06/19/2001	
ORO O 250 Chapter I	08/13/1996	STANDARDS MANAGEMENT ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW	2 04/25/2001	

**BASELINE LIST OF
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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 250		STANDARDS MANAGEMENT		
Chapter II	08/13/1996	OAK RIDGE OPERATIONS DIRECTIVES SYSTEM	3 04/25/2001	
Chapter IV	08/13/1996	IMPACT ASSESSMENTS	2 04/26/2001	
Chapter V	08/13/1996	DEVELOPMENT, APPROVAL, AND MAINTENANCE OF WORK SMART STANDARDS	3 06/19/2001	
Chapter VI	09/30/1996	DIRECTIVE IMPLEMENTATION PLANS AND EXEMPTION REQUESTS	2 04/26/2001	
Chapter VII	08/13/1996	MAINTENANCE OF STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS	2 04/27/2001	
Chapter VIII	04/27/2001	REQUIREMENTS CHANGE NOTICES		
ORO O 350		CONTRACTOR HUMAN RESOURCE PROGRAMS		
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	2 04/15/2002	
ORO O 410		MANAGEMENT		
Chapter I	09/24/1996	WORK AUTHORIZATION SYSTEM	2 02/06/2002	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 410 Chapter II	05/31/1996	MANAGEMENT MANAGEMENT OF NUCLEAR MATERIALS	2 07/16/2001	
<i>Compliance: Compliance will be contingent upon allocation of required funding.</i>				
ORO O 430 Chapter I	09/30/1996	LIFE CYCLE FACILITY OPERATIONS LIFE CYCLE ASSET MANAGEMENT	5 07/07/1999	
ORO O 440 Chapter V	09/30/1996	WORKER PROTECTION EMPLOYEE CONCERNS MANAGEMENT SYSTEM	2 07/07/1999	
ORO O 450 Chapter III	09/30/1996	PROTECTION OF THE PUBLIC AND ENVIRONMENT CULTURAL RESOURCES MANAGEMENT PROGRAM	1 04/09/1999	
ORO O 470 Chapter I	09/30/1996	SAFEGUARDS AND SECURITY SAFEGUARDS AND SECURITY PROGRAM	2 07/31/2002	
Chapter VII	05/15/1996	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	2 08/20/1999	Attachment 2 does not apply to contractor.
Chapter IX	05/15/1996	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS	1 05/10/1999	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

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<p>ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 470 Chapter XI	09/30/1996	SAFEGUARDS AND SECURITY SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES	1 04/23/1999	
ORO N 471.2	03/05/1996	TECHNICAL SURVEILLANCE COUNTERMEASURES (TSCM) PROGRAM - Use of Telephone Lineman-Type Handsets or Items Similar in Purpose, Use, or Effect on DOE-Owned or -Leased Property	4 05/31/2002	
ORO O 530 Chapter III	06/18/1996	ACCOUNTING ACCOUNTING	3 08/08/2001	
<p><i>Compliance: BWXT Y-12 is in compliance with this directive provided that, in lieu of Item 2 of the Contractor Requirements Document, BWXT Y-12 will prepare a Cost Accounting Disclosure Statement in accordance with the format and content as established by the Cost Accounting Standards Board, and submit it to the Director, Business Management, National Nuclear Safety Administration, Y-12 Site Office, for review and approval.</i></p>				
ORO O 550 Chapter II	09/26/2001	TRAVEL AND TRANSPORTATION FOREIGN TRAVEL AUTHORIZATION		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS****List B - List of Applicable Directives**

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
PROCEDURE	08/31/2001	OAK RIDGE OPERATIONS OFFICE PROCEDURES FOR THE CONDUCT OF INQUIRIES INTO INCIDENTS OF SECURITY CONCERN		See Footnote (3)

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/ORO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	7	02/22/2002	
2.0	QA - Quality Assurance	5	09/13/2001	Type 1 Change
3.0	CM - Configuration Management	2	08/23/1996	
4.0	TQ - Training and Qualification	5	10/17/2001	
5.0	EM - Emergency Management	6	10/19/2001	
9.0	OP - Operations	2	03/16/1999	
10.0	MA - Maintenance	7	01/11/2002	
11.0	RP - Radiation Protection	3	08/17/2000	
12.0	FP - Fire Protection	4	07/18/2002	
13.0	TR - Packaging and Transportation	7	05/03/2002	Type 1 Change
18.0	FS - Facility (Nuclear) Safety	9	06/20/2002	
19.0	SH - Occupational Safety and Health	6	07/18/2002	
20.0	EP - Environmental Protection	7	10/15/2001	
21.0	CS - Nuclear Criticality Safety	0	07/19/2001	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

WORK SMART STANDARDS (WSS) SET				
REQUIRED COMPLIANCE DOCUMENT	DOE/ORO APPROVAL DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
WSS Set	03/25/1997	ENGINEERING DESIGN AND CONSTRUCTION (ED&C) WSS SET		This ED&C WSS Set will be cancelled when the requirements have been converted into an S/RID.

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This directive was extended until 12/30/2001, by DOE N 251.40, EXTENSION OF DOE NOTICES ON SECURITY, dated 05/03/2001.
- (3) This procedure incorporates changes to DOE N 471.3, REPORTING INCIDENTS OF SECURITY CONCERN, dated April 13, 2001.
- (4) This directive was extended until 08/15/2002 by DOE N 251.43, EXTENSION OF DOE DIRECTIVES ON SECURITY, dated 02/15/2002.
- (5) This directive was extended until 12/31/2002 by DOE N 251.44, EXTENSION OF DOE DIRECTIVES ON SECURITY.