

**AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT**

1. CONTRACT ID CODE  
**AC** PAGE 1 of 2 PAGES

2. AMENDMENT/MODIFICATION NO. **A167** 3. EFFECTIVE DATE **See block 16C** 4. REQUISITION/PURCHASE REQ. NO. **NOPR** 5. PROJECT NO. (If applicable)

6. ISSUED BY **U.S. Department of Energy  
National Nuclear Security Administration  
P.O. Box 2050  
Oak Ridge, TN 37831** CODE 7. ADMINISTERED BY (If other than Item 6) CODE

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, state, ZIP Code)  
**Babcock & Wilcox Technical Services Y-12, LLC  
P.O. Box 2009  
MS 8014  
Oak Ridge, TN 37831-8014**

9A. AMENDMENT OF SOLICITATION NO.  
9B. DATED (SEE ITEM 11)  
10A. MODIFICATION OF CONTRACT/ORDER NO.  
**DE-AC05-00OR22800**  
10B. DATED (SEE ITEM 13)  
**August 31, 2000**

CODE FACILITY CODE

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation as amended, by one of the following methods:  
(a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required): This action obligating \$422,162,322.93

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS;  
IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

- A. THIS CHANGE ORDER IS ISSUED PURSUANT TO (Specify authority): THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
- B. THE ABOVE-NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (Such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
- C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
- D. OTHER (Specify type of modification and authority):  
Clauses I.144 Obligation of Funds and I.85 Laws, Regulations, and DOE Directives

E. IMPORTANT: Contractor is not required to sign this document and return \_\_\_\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to add incremental funding and Requirements Change Notice No. NNSA-29.

See Page 2

Except as provided herein, all terms and conditions of the document referenced in Items 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

|                                                                                 |                  |                                                                                                              |                                    |
|---------------------------------------------------------------------------------|------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------|
| 15A. NAME AND TITLE OF SIGNER (Type or print)                                   |                  | 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)<br><b>Jill Y. Albaugh<br/>Contracting Officer</b> |                                    |
| 15B. CONTRACTOR/OFFEROR<br>BY _____<br>(Signature of person authorized to sign) | 15C. DATE SIGNED | 16B. UNITED STATES OF AMERICA<br>BY <u>Jill Y. Albaugh</u><br>(Signature of Contracting Officer)             | 16C. DATE SIGNED<br><u>1/31/08</u> |

1. Pursuant to the provisions of Clause I.144, the first sentence of paragraph (a) is hereby changed to read as follows: "The amount presently obligated by the Government with respect to this contract is \$5,790,642,303.43.

This represents an increase of \$422,162,322.93 from \$5,368,479,980.50 to \$5,790,642,303.43 (\$3,416,296,389.13 obligated by Oak Ridge Office and \$2,374,345,914.30 obligated by the NNSA Service Center). \$3,100,000.00 of the total obligated amount is for transition activities. This change is the result of a net increase by the NNSA Service Center on FY 2008 Approved Funding Programs No. 6, 7, and 8.

2. Attachment E, Requirements Change Notice Number NNSA-28 is deleted and replaced with the attached Baseline List of Required Compliance Documents, List B – List of Applicable Directives. The attached List B – List of Applicable Directives incorporates B&W Y-12 Requirements Change Notice Number NNSA-29.

All other terms and conditions remain unchanged.

End of Modification

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                             |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|
| DOE Form<br>(04/1991)<br><br><b>U.S. Department of Energy<br/>Requirements Change Notice</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | No.:<br>NNSA-29<br><hr/> Page 1 of 36 Pages |
| <b>PROJECT:</b><br>Baseline List of Required Compliance Documents                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>LOCATION:</b><br>Oak Ridge, Tennessee    |
| <b>CONTRACTOR:</b> Babcock & Wilcox Technical Services Y-12, LLC                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                             |
| <b>CONTRACT NO.:</b> DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>DATE OF CONTRACT:</b><br>August 31, 2000 |
| <p>This Requirements Change Notice (RCN) No. NNSA-29 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><b><u>ADDITIONS:</u></b></p> <p>DOE M 470.4-4, Chg. 1            DOE M 475.1-1B            DOE O 475.2</p> <p><b><u>DELETIONS:</u></b></p> <p>DOE M 475.1-1A            DOE N 251.61            ORO O 220, Ch. II, Chg. 4<br/>         ORO O 410, Ch. I, Chg. 4</p> <p><b><u>MODIFICATIONS:</u></b></p> <p>DOE O 110.3A, modified compliance line            DOE M 470.4-4, deleted compliance line<br/>         DOE O 534.1B, modified compliance line</p> <p><b><u>UPDATES:</u></b></p> <p>S/RID 2.0    - Revised Quality Assurance Requirements<br/>         S/RID 4.0    - Revised Training and Qualification Requirements<br/>         S/RID 5.0    - Revised Emergency Management Requirements<br/>         S/RID 7.0    - Revised Engineering Program Requirements<br/>         S/RID 8.0    - Revised Construction Program Requirements<br/>         S/RID 10.0   - Revised Maintenance Requirements<br/>         S/RID 11.0   - Revised Radiation Protection Requirements<br/>         S/RID 12.0   - Revised Fire Protection Requirements<br/>         S/RID 18.0   - Revised Facility (Nuclear) Safety Requirements<br/>         S/RID 19.0   - Revised Occupational Health Program Section and Occupational Safety and Health Requirements<br/>         S/RID 20.0   - Revised Environmental Protection Requirements</p> |                                             |
| <b>DOE AUTHORIZING SIGNATURE:</b><br><br>Jill Y. Albaugh, Contracting Officer                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>DATE:</b><br><br>JAN 28, 2008            |

## OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | DATE       | TITLE                     | THROUGH CHANGE  | AUTHORIZATION                                                                                                                                    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| RD-779<br>S/RID 18.0<br>Rev. 18                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 09/10/2007 | FACILITY (NUCLEAR) SAFETY |                 | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-15-FS dtd<br>09/10/2007<br><br>BWXT Y-12 ltr dtd<br>08/27/2007                   |
| <i>Type 2 change to replace requirements and implementation assumptions taken from ORO O 420, Chapter XI, "Authorization Agreements," Change 1, dated 01/18/2005, with requirements taken from YSO-CRD-07-01, "Authorization Agreements," dated 06/06/2007; and add an implementation assumption to a requirement taken from 10 CFR 830.202(b), to reflect the "Supplemental Guidance for DOE Standard 1027-92, Hazard Categorization and Accident Analysis Techniques for Compliance with DOE Order 5480.23, Nuclear Safety Analysis Reports to Address Areas in Need of Clarification and Improvement," dated 05/2007.</i> |            |                           |                 |                                                                                                                                                  |
| RD-780<br>DOE M 470.4-4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 08/26/2005 | Information Security      | 1<br>06/29/2007 | DOE ltr dtd 08/29/2007<br>BWXT Y-12 ltr dtd<br>09/13/2007                                                                                        |
| <i>Modification of Manual to incorporate Change 1.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |            |                           |                 |                                                                                                                                                  |
| RD-781<br>DOE M 470.4-4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 08/26/2005 | Information Security      |                 | DOE ltr dtd 08/29/2007<br>BWXT Y-12 ltr dtd<br>09/13/2007                                                                                        |
| <i>Deletion of compliance line.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                           |                 |                                                                                                                                                  |
| RD-782<br>S/RID 12.0<br>Rev. 13                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 09/17/2007 | FIRE PROTECTION           |                 | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-16-FP<br>dtd 09/17/2007<br><br>BWXT Y-12 ltr dtd<br>09/06/2007                   |
| <i>Type 2 change to delete requirements taken from ORO Fire Prevention and Protection Implementation Guide, which is a source document that no longer exists.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                            |            |                           |                 |                                                                                                                                                  |
| RD-783<br>DOE O 110.3A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 01/25/2007 | Conference Management     |                 | NNSA/YSO Comment/<br>Concurrence Form,<br>Implementation Plan<br>Approval, dtd 09/20/2007<br><br>BWXT Y-12 ltrs dtd<br>07/25/2007 and 04/24/2007 |
| <i>Modification of Compliance Line: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                           |                 |                                                                                                                                                  |

## OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | DATE       | TITLE                | THROUGH CHANGE | AUTHORIZATION                                                                                                                                                                                |
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| RD-784<br>-----<br>S/RID 8.0<br>Rev. 23                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 09/25/2007 | CONSTRUCTION PROGRAM |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-15-FS dtd<br>09/10/2007<br><br>BWXT Y-12 email<br>message dtd 09/27/2007<br>and BWXT Y-12 ltr dtd<br>08/27/2007              |
| <i>Type 1 change to align with lead functional area by replacing requirements and implementation assumptions taken from ORO O 420, Chapter XI, "Authorization Agreements," Change 1, dated 01/18/2005, with requirements taken from YSO-CRD-07-01, "Authorization Agreements," dated 06/06/2007.</i>                                                                                                                                                                                                                                                                                                                                                          |            |                      |                |                                                                                                                                                                                              |
| RD-785<br>-----<br>S/RID 7.0<br>Rev. 24                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 09/25/2007 | ENGINEERING PROGRAM  |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-15-FS dtd<br>09/10/2007<br><br>BWXT Y-12 email<br>message dtd 09/27/2007<br>and BWXT Y-12 ltr dtd<br>08/27/2007              |
| <i>Type 1 change to align with lead functional area by replacing requirements and implementation assumptions taken from ORO O 420, Chapter XI, "Authorization Agreements," Change 1, dated 01/18/2005, with requirements taken from YSO-CRD-07-01, "Authorization Agreements," dated 06/06/2007; and add an implementation assumption to a requirement taken from 10 CFR 830.202(b), to reflect "Supplemental Guidance for DOE Standard 1027-92, Hazard Categorization and Accident Analysis Techniques for Compliance with DOE Order 5480.23, Nuclear Safety Analysis Reports to Address Areas in Need of Clarification and Improvement," dated 05/2007.</i> |            |                      |                |                                                                                                                                                                                              |
| RD-786<br>-----<br>S/RID 10.0<br>Rev. 14                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 10/03/2007 | MAINTENANCE          |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-14-MA<br>dtd 10/03/2007 and DOE<br>ltr dtd 08/30/2007<br><br>BWXT Y-12 ltrs dtd<br>07/25/2007, 09/19/2007,<br>and 10/30/2007 |
| <i>Type 2 change to update the source document and requirement locations for requirements taken from DOE O 433.1, "Maintenance Management Program for DOE Nuclear Facilities," dated 06/01/2001, to reflect DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007; and revise associated implementation assumptions.</i>                                                                                                                                                                                                                                                                                                |            |                      |                |                                                                                                                                                                                              |

OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                        | DATE       | TITLE                                            | THROUGH CHANGE  | AUTHORIZATION                                             |
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| RD-787<br>-----<br>ORO O 220<br>Chapter II                                                                                                                                                                                                                                                                                                                          | 05/31/1996 | Cooperation with the Office of Inspector General | 4<br>09/06/2005 | DOE ltr dtd 09/14/2007<br>BWXT Y-12 ltr dtd<br>10/09/2007 |
| <i>Deletion: Applicable requirements contained in this document are covered by DOE O 221.2, "Cooperation with the Office of Inspector General," dated 03/22/2001, which is currently on the contract baseline.</i>                                                                                                                                                  |            |                                                  |                 |                                                           |
| RD-788<br>-----<br>DOE O 534.1B                                                                                                                                                                                                                                                                                                                                     | 01/06/2003 | Accounting                                       |                 | DOE ltr dtd 09/14/2007<br>BWXT Y-12 ltr dtd<br>10/09/2007 |
| <i>Modification of compliance line: Compliance with this Order includes compliance with Chapters 5, 8, 12, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i> |            |                                                  |                 |                                                           |
| RD-789<br>-----<br>ORO O 410<br>Chapter I                                                                                                                                                                                                                                                                                                                           | 09/24/1996 | Work Authorization System                        | 4<br>08/04/2005 | DOE ltr dtd 09/20/2007<br>BWXT Y-12 ltr dtd<br>10/15/2007 |
| <i>Deletion: Requirements contained in this document are covered by DOE O 412.1A, "Work Authorization System," dated 04/21/2005, which is currently on the contract baseline.</i>                                                                                                                                                                                   |            |                                                  |                 |                                                           |
| RD-790<br>-----<br>S/RID 5.0<br>Rev. 18                                                                                                                                                                                                                                                                                                                             | 10/15/2007 | EMERGENCY MANAGEMENT                             |                 | BWXT Y-12 e-mail<br>message dtd 10/17/2007                |
| <i>Type I change to correct the sub-element mapping for RUID #11074 from 11.10, "Accidents and Emergencies," to 11.11, "Emergency Exposure Situations," as reflected in the approved revised Radiation Protection Functional Area of the S/RID Program Description.</i>                                                                                             |            |                                                  |                 |                                                           |
| RD-791<br>-----<br>DOE M 475.1-1B                                                                                                                                                                                                                                                                                                                                   | 08/28/2007 | Manual for Identifying Classified Information    |                 | DOE ltr dtd 10/10/2007<br>BWXT Y-12 ltr dtd<br>10/31/2007 |
| <i>Addition with compliance line: Compliance will be contingent upon approval of an implementation plan to be submitted to DOE by 02/07/2008.</i>                                                                                                                                                                                                                   |            |                                                  |                 |                                                           |
| RD-792<br>-----<br>DOE M 475.1-1A                                                                                                                                                                                                                                                                                                                                   | 05/08/1998 | Identifying Classified Information               |                 | DOE ltr dtd 10 10/2007<br>BWXT Y-12 ltr dtd<br>10 31/2007 |
| <i>Deletion: This was cancelled by DOE M 475.1-1B.</i>                                                                                                                                                                                                                                                                                                              |            |                                                  |                 |                                                           |

## OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                                     | DATE       | TITLE                              | THROUGH CHANGE | AUTHORIZATION                                                                                                                                                                                                   |
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| RD-793<br>DOE O 475.2                                                                                                                                                                                                                                                                                                                                                            | 08/28/2007 | Identifying Classified Information |                | DOE ltr dtd 10/10/2007<br>BWXT Y-12 ltr dtd 10/31/2007                                                                                                                                                          |
| <i>Addition with compliance line: Compliance will be contingent upon approval of an implementation plan to be submitted to DOE by 02/07/2008.</i>                                                                                                                                                                                                                                |            |                                    |                |                                                                                                                                                                                                                 |
| RD-794<br>DOE N 251.61                                                                                                                                                                                                                                                                                                                                                           | 03/03/2005 | Extension of DOE M 475.1-1A        |                | DOE ltr dtd 10/10/2007<br>BWXT Y-12 ltr dtd 10/31/2007                                                                                                                                                          |
| <i>Deletion: DOE M 475.1-1A was cancelled and replaced by DOE M 475.1-1B.</i>                                                                                                                                                                                                                                                                                                    |            |                                    |                |                                                                                                                                                                                                                 |
| RD-795<br>SRID 8.0<br>Rev. 24                                                                                                                                                                                                                                                                                                                                                    | 11/08/2007 | CONSTRUCTION PROGRAM               |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-14-MA<br>dtd 10/03/2007<br><br>BWXT Y-12 email<br>message dtd 11/08/2007<br>and BWXT Y-12 ltrs dtd<br>07/25/2007, 09/19/2007,<br>and 10/30/2007 |
| <i>Type I change to update the source document, requirement location, and requirement text for a requirement taken from DOE O 433.1, "Maintenance Management Program for DOE Nuclear Facilities," dated 06/01/2001, to reflect DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007.</i>                                                  |            |                                    |                |                                                                                                                                                                                                                 |
| RD-796<br>S/RID 7.0<br>Rev. 25                                                                                                                                                                                                                                                                                                                                                   | 11/08/2007 | ENGINEERING PROGRAM                |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2007-14-MA<br>dtd 10/03/2007<br><br>BWXT Y-12 email<br>message dtd 11/08/2007<br>and BWXT Y-12 ltrs dtd<br>07/25/2007, 09/19/2007,<br>and 10/30/2007 |
| <i>Type I change to update the source document, requirement location, and requirement text for requirements taken from DOE O 433.1, "Maintenance Management Program for DOE Nuclear Facilities," dated 06/01/2001, to reflect DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007; and revise associated implementation assumptions.</i> |            |                                    |                |                                                                                                                                                                                                                 |

## OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                 | DATE       | TITLE                          | THROUGH CHANGE | AUTHORIZATION                                                                                                                         |
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| RD-797<br>S/RID 11.0<br>Rev. 7                                                                                                                                                                                                                                                                                                                               | 11/13/2007 | RADIATION PROTECTION           |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2008-01-RP<br>dtd 11/13/2007<br><br>BWXT Y-12 ltr dtd<br>10/24/2007        |
| <i>Type 2 change to update source document and requirement locations for requirements taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, to reflect Change 2, dated 06/12/2007.</i>                                                                                                                   |            |                                |                |                                                                                                                                       |
| RD-798<br>SRID 20.0<br>Rev. 16                                                                                                                                                                                                                                                                                                                               | 11/16/2007 | ENVIRONMENTAL PROTECTION       |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2008-05-EP<br>dtd 11/16/2007<br><br>BWXT Y-12 ltr dtd<br>11/05/2007        |
| <i>Type 2 change to update source document and requirement locations for requirements taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, to reflect Change 2, dated 06/12/2007.</i>                                                                                                                   |            |                                |                |                                                                                                                                       |
| RD-799<br>S/RID 12.0<br>Rev. 14                                                                                                                                                                                                                                                                                                                              | 11/20/2007 | FIRE PROTECTION                |                | NNSA/YSO Comment/<br>Concurrence Form, S/RID<br>Chg. Pkg. #2008-03-FP<br>dtd 11/20/2007<br><br>BWXT Y-12 ltr dtd<br>10/24/2007        |
| <i>Type 2 change to update source document and requirement locations for requirements taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, to reflect Change 2, dated 06/12/2007.</i>                                                                                                                   |            |                                |                |                                                                                                                                       |
| RD-800<br>S/RID 19.0<br>Rev. 28                                                                                                                                                                                                                                                                                                                              | 11/26/2007 | OCCUPATIONAL SAFETY AND HEALTH |                | NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-02-SH/OSH dtd<br>11/26/2007<br><br>BWXT Y-12 ltr dtd<br>10/24/2007 |
| <i>Type 2 change to the Occupational Health Program Section to update the source document and requirement locations for requirements taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, to reflect DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 2, dated 06/12/2007.</i> |            |                                |                |                                                                                                                                       |

## OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                           | DATE       | TITLE                          | THROUGH CHANGE | AUTHORIZATION                                                                                                                                                                       |
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| <u>RD-801</u><br>S/RID 19.0<br>Rev. 29                                                                                                                                                                                                                                                                                                                 | 11/26/2007 | OCCUPATIONAL SAFETY AND HEALTH |                | NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-04-SH/OSH dtd<br>11/26/2007,<br><br>BWXT Y-12 ltr dtd<br>10/30/2007                                              |
| <i>Type 2 change to replace requirements taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, with requirements taken from reflect DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 2, dated 06/12/2007; and update source document and requirement locations.</i>       |            |                                |                |                                                                                                                                                                                     |
| <u>RD-802</u><br>S/RID 8.0<br>Rev. 25                                                                                                                                                                                                                                                                                                                  | 11/29/2007 | CONSTRUCTION PROGRAM           |                | NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-04-SH/OSH dtd<br>11/26/2007<br><br>B&W Y-12 e-mail message<br>dtd 12/03/2007 and BWXT<br>Y-12 ltr dtd 10/30/2007 |
| <i>Type 1 change to align with lead functional area by updating the source document and requirement location for a requirement taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, to reflect DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 2, dated 06/12/2007.</i> |            |                                |                |                                                                                                                                                                                     |
| <u>RD-803</u><br>S/RID 7.0<br>Rev. 26                                                                                                                                                                                                                                                                                                                  | 11/26/2007 | ENGINEERING PROGRAM            |                | NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-04-SH/OSH dtd<br>11/26/2007<br><br>B&W Y-12 e-mail message<br>dtd 12/03/2007 and BWXT<br>Y-12 ltr dtd 10/30/2007 |
| <i>Type 1 change to align with lead functional area by updating the source document and requirement location for a requirement taken from DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 1, dated 09/09/2004, to reflect DOE M 231.1-1A, "Environment, Safety and Health Reporting Manual," Change 2, dated 06/12/2007.</i> |            |                                |                |                                                                                                                                                                                     |

## OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                               | DATE       | TITLE                      | THROUGH CHANGE | AUTHORIZATION                                                                                                                                                                                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <u>RD-804</u><br>S/RID 2.0<br>Rev. 12                                                                                                                                                                                                                                                                                                                                      | 01/28/2008 | QUALITY ASSURANCE          |                | NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-07-QA, Rev. 1, dtd<br>01/28/2008,<br>NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-07-QA dtd<br>01/16/2008<br>B&W Y-12 ltr dtd<br>01/28/2008<br>B&W Y-12 ltr dtd<br>12/06/2007 |
| <i>Type 2 change to replace a requirement taken from DOE O 226.1, "Implementation of Department of Energy Oversight Policy," dated September 15, 2005, with a requirement taken from DOE O 226.1A, "Implementation of Department of Energy Oversight Policy," dated July 31, 2007, in order to update the source document, requirement text, and requirement location.</i> |            |                            |                |                                                                                                                                                                                                                                                                        |
| <u>RD-805</u><br>S/RID 4.0<br>Rev. 13                                                                                                                                                                                                                                                                                                                                      | 01/16/2008 | TRAINING AND QUALIFICATION |                | NNSA/YSO Comment/<br>Concurrence Form,<br>S/RID Chg. Pkg.<br>#2008-09-TQ dtd<br>01/16/2008<br>B&W Y-12 ltr dtd<br>12/13/2007                                                                                                                                           |
| <i>Type 2 change to replace a requirement taken from DOE O 226.1, "Implementation of Department of Energy Oversight Policy," dated September 15, 2005, with a requirement taken from DOE O 226.1A, "Implementation of Department of Energy Oversight Policy," dated July 31, 2007, in order to update the source document, requirement text, and requirement location.</i> |            |                            |                |                                                                                                                                                                                                                                                                        |

**BASELINE LIST OF  
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**List B – List of Applicable Directives**

| <b>DOE DIRECTIVES</b>                                                                                                                         |            |                                                                                                          |                |                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| DOE Directives can be found at the following Internet address:<br><a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a> |            |                                                                                                          |                |                                                                                                                                                              |
| REQUIRED COMPLIANCE DOCUMENT                                                                                                                  | DATE       | TITLE                                                                                                    | THROUGH CHANGE | NOTES AND COMMENTS                                                                                                                                           |
| DOE O 110.3A                                                                                                                                  | 01/25/2007 | CONFERENCE MANAGEMENT                                                                                    |                |                                                                                                                                                              |
| <i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>                                |            |                                                                                                          |                |                                                                                                                                                              |
| DOE O 130.1                                                                                                                                   | 09/29/1995 | BUDGET FORMULATION                                                                                       |                | Extended until 05/01/2003 by DOE N 251.45.                                                                                                                   |
| DOE O 137.1A                                                                                                                                  | 08/30/1999 | PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS                                             |                |                                                                                                                                                              |
| DOE M 140.1-1B                                                                                                                                | 03/30/2001 | INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD                                               |                |                                                                                                                                                              |
| DOE O 142.1                                                                                                                                   | 01/13/2004 | CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS                                                            |                | Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information. |
| DOE O 142.2A                                                                                                                                  | 12/15/2006 | VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY |                |                                                                                                                                                              |
| DOE O 142.3                                                                                                                                   | 06/18/2004 | UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM                                                      |                |                                                                                                                                                              |
| DOE O 200.1                                                                                                                                   | 09/30/1996 | INFORMATION MANAGEMENT PROGRAM                                                                           |                |                                                                                                                                                              |
| DOE N 203.1                                                                                                                                   | 10/02/2000 | SOFTWARE QUALITY ASSURANCE                                                                               |                | Extended until 12/31/2001 by DOE N 251.40.                                                                                                                   |

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| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                                                  | DATE       | TITLE                                                                        | THROUGH CHANGE  | NOTES AND COMMENTS |
| DOE O 205.1A                                                                                                                                                                                                                                                                                                                                                                  | 12/04/2006 | DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT                               |                 |                    |
| <i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>                                                                                                                                                                 |            |                                                                              |                 |                    |
| DOE M 205.1-3                                                                                                                                                                                                                                                                                                                                                                 | 04/17/2006 | TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)                       |                 |                    |
| DOE M 205.1-4                                                                                                                                                                                                                                                                                                                                                                 | 03/08/2007 | NATIONAL SECURITY SYSTEM MANUAL                                              |                 |                    |
| <i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i> |            |                                                                              |                 |                    |
| DOE N 206.4                                                                                                                                                                                                                                                                                                                                                                   | 06/29/2007 | PERSONAL IDENTITY VERIFICATION                                               |                 |                    |
| DOE O 210.2                                                                                                                                                                                                                                                                                                                                                                   | 06/12/2006 | DOE CORPORATE OPERATING EXPERIENCE PROGRAM                                   |                 |                    |
| DOE O 221.1                                                                                                                                                                                                                                                                                                                                                                   | 03/22/2001 | REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL         |                 |                    |
| DOE O 221.2                                                                                                                                                                                                                                                                                                                                                                   | 03/22/2001 | COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL                             |                 |                    |
| DOE O 221.3                                                                                                                                                                                                                                                                                                                                                                   | 12/17/2001 | ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS |                 | See Footnote (1)   |
| DOE O 224.3                                                                                                                                                                                                                                                                                                                                                                   | 01/24/2005 | AUDIT RESOLUTION AND FOLLOW-UP PROGRAM                                       |                 | See Footnote (4)   |
| DOE O 241.1A                                                                                                                                                                                                                                                                                                                                                                  | 04/09/2001 | SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT                              | 1<br>10/14/2003 |                    |

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| <b>DOE DIRECTIVES</b>                                                                                                                         |             |                                          |                       |                                                                                                                                                                                                                                     |
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| DOE Directives can be found at the following Internet address:<br><a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a> |             |                                          |                       |                                                                                                                                                                                                                                     |
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                                           | <b>DATE</b> | <b>TITLE</b>                             | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b>                                                                                                                                                                                                           |
| DOE M 251.1-1B                                                                                                                                | 08/16/2006  | DEPARTMENTAL DIRECTIVES PROGRAM MANUAL   |                       |                                                                                                                                                                                                                                     |
| DOE P 251.1A                                                                                                                                  | 08/16/2006  | DEPARTMENTAL DIRECTIVES PROGRAM POLICY   |                       |                                                                                                                                                                                                                                     |
| DOE O 251.1B                                                                                                                                  | 08/16/2006  | DEPARTMENTAL DIRECTIVES PROGRAM          |                       |                                                                                                                                                                                                                                     |
| DOE N 251.40                                                                                                                                  | 05/03/2001  | EXTENSION OF DOE DIRECTIVES ON SECURITY  |                       | Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001. |
| DOE N 251.45                                                                                                                                  | 05/29/2002  | EXTENSION OF DOE O 130.1 AND DOE O 135.1 |                       | Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.                                                                                                                                                                               |
| DOE N 251.64                                                                                                                                  | 07/07/2005  | EXTENSION OF DOE DIRECTIVES              |                       | Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.                                                                                                                                      |
| DOE O 252.1                                                                                                                                   | 11/19/1999  | TECHNICAL STANDARDS PROGRAM              |                       |                                                                                                                                                                                                                                     |

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| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                                                           | <b>DATE</b> | <b>TITLE</b>                                                                                                                        | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b>                 |
| DOE O 311.1A                                                                                                                                                  | 12/30/1996  | EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM                                                                                  |                       | Extended until 03/30/2003 by DOE N 311.3. |
| DOE N 311.3                                                                                                                                                   | 12/30/2002  | EXTENSION OF DOE O 311.1A, EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM                                                       |                       | Extends DOE O 311.1A until 03/30/2003.    |
| DOE O 350.1                                                                                                                                                   | 09/30/1996  | CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS                                                                                       | 1<br>05/08/1998       |                                           |
| DOE O 350.2A                                                                                                                                                  | 10/29/2003  | USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA |                       |                                           |
| DOE N 351.1                                                                                                                                                   | 04/27/2006  | CONTRACTOR EMPLOYEE PENSION AND MEDICAL BENEFITS POLICY                                                                             |                       |                                           |
| <i>Compliance: Implementation of this Notice has been suspended until 06/19/2007 as directed by the Secretary of Energy in a memorandum dated 06/19/2006.</i> |             |                                                                                                                                     |                       |                                           |
| DOE O 412.1A                                                                                                                                                  | 04/21/2005  | WORK AUTHORIZATION SYSTEM                                                                                                           |                       |                                           |
| DOE O 413.1A                                                                                                                                                  | 04/18/2002  | MANAGEMENT CONTROL PROGRAM                                                                                                          |                       |                                           |

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| <b>DOE DIRECTIVES</b>                                                                                                                                                                                                                                               |            |                                                                                                |                 |                                                                                                                                                                                                                                                                          |
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| DOE Directives can be found at the following Internet address:<br><a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>                                                                                                                       |            |                                                                                                |                 |                                                                                                                                                                                                                                                                          |
| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                        | DATE       | TITLE                                                                                          | THROUGH CHANGE  | NOTES AND COMMENTS                                                                                                                                                                                                                                                       |
| DOE O 413.3                                                                                                                                                                                                                                                         | 10/13/2000 | PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS                           | 1<br>01/03/2005 | Cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10) and (17); and Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A. |
| DOE O 413.3A                                                                                                                                                                                                                                                        | 07/28/2006 | PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS                           |                 |                                                                                                                                                                                                                                                                          |
| <i>Compliance: Compliance requirement with respect to CD-1, as it relates to Hazard Category 1, 2, and 3 nuclear facility safety requirements, will be contingent upon the issuance of DOE-STD-1189-2006 based on Paragraph 4, "Implementation," of this Order.</i> |            |                                                                                                |                 |                                                                                                                                                                                                                                                                          |
| DOE M 413.3-1                                                                                                                                                                                                                                                       | 03/28/2003 | PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS                                       |                 |                                                                                                                                                                                                                                                                          |
| DOE O 430.1B                                                                                                                                                                                                                                                        | 09/24/2003 | REAL PROPERTY ASSET MANAGEMENT                                                                 |                 |                                                                                                                                                                                                                                                                          |
| DOE O 430.2A                                                                                                                                                                                                                                                        | 04/15/2002 | DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT                                                   |                 | Cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16) of DOE O 430.1A.                                                                                                                                                                                                 |
| DOE P 442.1                                                                                                                                                                                                                                                         | 11/16/2006 | DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH |                 |                                                                                                                                                                                                                                                                          |
| DOE O 442.1A                                                                                                                                                                                                                                                        | 06/06/2001 | DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM                                                 |                 |                                                                                                                                                                                                                                                                          |

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| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                                           | <b>DATE</b> | <b>TITLE</b>                                                         | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b>                  |
| DOE O 443.1                                                                                                                                   | 05/15/2000  | PROTECTION OF HUMAN SUBJECTS                                         |                       |                                            |
| DOE P 450.7                                                                                                                                   | 08/02/2004  | DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS     |                       |                                            |
| DOE O 452.3                                                                                                                                   | 06/08/2005  | MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX       |                       |                                            |
| DOE M 452.4-1A                                                                                                                                | 03/11/2004  | PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS                |                       |                                            |
| <i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 04/04/2007.</i>                                |             |                                                                      |                       |                                            |
| DOE O 457.1                                                                                                                                   | 02/07/2006  | NUCLEAR COUNTERTERRORISM                                             |                       |                                            |
| <i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 02/27/2007.</i>                                |             |                                                                      |                       |                                            |
| DOE M 457.1-1                                                                                                                                 | 08/10/2006  | CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY) |                       |                                            |
| <i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 02/27/2007.</i>                                |             |                                                                      |                       |                                            |
| DOE P 470.1                                                                                                                                   | 05/08/2001  | INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY          |                       |                                            |
| DOE N 470.2                                                                                                                                   | 12/15/2000  | REPORTING UNOFFICIAL FOREIGN TRAVEL                                  |                       | Extended until 12/31/2001 by DOE N 251.40. |

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| <b>DOE DIRECTIVES</b>                                                                                                                                                                                                                                                                      |            |                                                         |                 |                                                                                                                                                                            |
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| DOE Directives can be found at the following Internet address:<br><a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>                                                                                                                                              |            |                                                         |                 |                                                                                                                                                                            |
| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                               | DATE       | TITLE                                                   | THROUGH CHANGE  | NOTES AND COMMENTS                                                                                                                                                         |
| DOE O 470.2B                                                                                                                                                                                                                                                                               | 10/31/2002 | INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM |                 | Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001. |
| DOE O 470.3A                                                                                                                                                                                                                                                                               | 11/29/2005 | DESIGN BASIS THREAT POLICY                              |                 |                                                                                                                                                                            |
| <i>Compliance: Compliance will be in accordance with the Safeguards and Security Annual Operating Plan, Level I and II Milestones.</i>                                                                                                                                                     |            |                                                         |                 |                                                                                                                                                                            |
| DOE M 470.4-1                                                                                                                                                                                                                                                                              | 08/26/2005 | SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT | 1<br>03/07/2006 |                                                                                                                                                                            |
| <i>Compliance: Compliance with Section G will be in accordance with an Implementation Plan previously submitted to and approved by DOE on 02/16/2006.</i>                                                                                                                                  |            |                                                         |                 |                                                                                                                                                                            |
| DOE M 470.4-2                                                                                                                                                                                                                                                                              | 08/26/2005 | PHYSICAL PROTECTION                                     | 1<br>03/07/2006 |                                                                                                                                                                            |
| <i>Compliance: Compliance will be in accordance with the DOE approved Y-12 Design Basis Threat Implementation Plan.</i>                                                                                                                                                                    |            |                                                         |                 |                                                                                                                                                                            |
| DOE M 470.4-3                                                                                                                                                                                                                                                                              | 08/26/2005 | PROTECTIVE FORCE                                        | 1<br>03/07/2006 |                                                                                                                                                                            |
| <i>Compliance: BWXT Y-12 compliance requirement with respect to DOE M 470.4-3, Change 1, is limited to Section A, Chapter I, Paragraphs 1.a.(1)(c) and 4a (Sentences 1, 2 and 3); and Chapter VI, Paragraph 1.b.(16)(a) and 1.b.(16)(b)1; and Paragraphs 2.a.(1) through 2.a.(5) only.</i> |            |                                                         |                 |                                                                                                                                                                            |

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| REQUIRED COMPLIANCE DOCUMENT                                                                                                                  | DATE       | TITLE                                                                                 | THROUGH CHANGE  | NOTES AND COMMENTS                                                                                                                                                                           |
| DOE M 470.4-4                                                                                                                                 | 08/26/2005 | INFORMATION SECURITY                                                                  | 1<br>06/29/2007 | Cancels DOE M 471.2-4 except for the classified annex.                                                                                                                                       |
| DOE M 470.4-5                                                                                                                                 | 08/26/2005 | PERSONNEL SECURITY                                                                    |                 |                                                                                                                                                                                              |
| DOE M 470.4-6                                                                                                                                 | 08/26/2005 | NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY                                           | 1<br>08/14/2006 |                                                                                                                                                                                              |
| <i>Compliance: Compliance will be in accordance with a Corrective Action Plan approved by DOE 07/25/2006.</i>                                 |            |                                                                                       |                 |                                                                                                                                                                                              |
| DOE M 470.4-7                                                                                                                                 | 08/26/2005 | SAFEGUARDS AND SECURITY PROGRAM REFERENCES                                            |                 |                                                                                                                                                                                              |
| DOE O 471.1A                                                                                                                                  | 06/30/2000 | IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION          |                 | Extended until 07/07/2006 by DOE N 251.64.                                                                                                                                                   |
| DOE M 471.1-1                                                                                                                                 | 06/30/2000 | IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL   | 1<br>10/23/2001 | Extended until 07/07/2006 by DOE N 251.64.                                                                                                                                                   |
| DOE M 471.2-2                                                                                                                                 | 08/03/1999 | CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL                                        |                 | Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3.<br><br>Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4. |
| DOE M 471.2-3A                                                                                                                                | 07/11/2002 | SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY) |                 |                                                                                                                                                                                              |

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| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                                           | <b>DATE</b> | <b>TITLE</b>                                                        | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b>                                   |
| DOE M 471.2-4                                                                                                                                 | 02/06/2004  | TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)          |                       | Cancelled by DOE M 470.4-4 except for the classified annex. |
| DOE O 471.3                                                                                                                                   | 04/09/2003  | IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION            |                       |                                                             |
| DOE M 471.3-1                                                                                                                                 | 04/09/2003  | MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION |                       |                                                             |
| DOE O 475.1                                                                                                                                   | 12/10/2004  | COUNTERINTELLIGENCE PROGRAM                                         |                       |                                                             |
| DOE M 475.1-1B                                                                                                                                | 08/28/2007  | MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION                       |                       |                                                             |
| <i>Compliance: Compliance will be contingent upon approval of an implementation plan to be submitted to DOE by 02/07/2008.</i>                |             |                                                                     |                       |                                                             |
| DOE O 475.2                                                                                                                                   | 08/28/2007  | IDENTIFYING CLASSIFIED INFORMATION                                  |                       |                                                             |
| <i>Compliance: Compliance will be contingent upon approval of an implementation plan to be submitted to DOE by 02/07/2008.</i>                |             |                                                                     |                       |                                                             |
| DOE M 481.1-1A                                                                                                                                | 01/03/2001  | REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL           | 1<br>09/28/2001       |                                                             |
| DOE O 482.1                                                                                                                                   | 01/12/2001  | DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS                       |                       |                                                             |
| DOE O 483.1                                                                                                                                   | 01/12/2001  | DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS                 |                       |                                                             |
| DOE M 483.1-1                                                                                                                                 | 01/12/2001  | DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL          |                       |                                                             |

**BASELINE LIST OF  
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**List B – List of Applicable Directives**

| <b>DOE DIRECTIVES</b>                                                                                                                                                                                                                                                                                                                          |            |                                                                                             |                |                    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------------------|----------------|--------------------|
| DOE Directives can be found at the following Internet address:<br><a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>                                                                                                                                                                                                  |            |                                                                                             |                |                    |
| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                                                                                                                                   | DATE       | TITLE                                                                                       | THROUGH CHANGE | NOTES AND COMMENTS |
| DOE O 484.1                                                                                                                                                                                                                                                                                                                                    | 08/17/2006 | REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY                                   |                |                    |
| DOE O 522.1                                                                                                                                                                                                                                                                                                                                    | 11/03/2004 | PRICING OF DEPARTMENTAL MATERIALS AND SERVICES                                              |                |                    |
| <i>Compliance: BWXT Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved BWXT Y-12 Cost Accounting Standards Board Disclosure Statement.</i>                                            |            |                                                                                             |                |                    |
| DOE O 534.1B                                                                                                                                                                                                                                                                                                                                   | 01/06/2003 | ACCOUNTING                                                                                  |                |                    |
| <i>Compliance: Compliance with this Order includes compliance with Chapters 5, 8, 12, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i> |            |                                                                                             |                |                    |
| DOE O 551.1B                                                                                                                                                                                                                                                                                                                                   | 08/19/2003 | OFFICIAL FOREIGN TRAVEL                                                                     |                |                    |
| DOE M 573.1-1                                                                                                                                                                                                                                                                                                                                  | 07/12/2000 | MAIL SERVICES USER'S MANUAL                                                                 |                |                    |
| DOE O 580.1                                                                                                                                                                                                                                                                                                                                    | 12/07/2005 | DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM                                   |                |                    |
| <i>Compliance: Compliance will be contingent upon approval of a Deviation Request submitted to DOE on 07/31/2006 that is directed at a graded approach to implementing requirements contained in Attachment 2, Paragraphs 2.d.(1), 2.d.(3), 2.e.(1)(b)3, 2.e.(2), and 2.o(1); and an Implementation Plan submitted to DOE 08/30/2006.</i>      |            |                                                                                             |                |                    |
| DOE 1340.1B                                                                                                                                                                                                                                                                                                                                    | 01/07/1993 | MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS |                |                    |
| DOE 1450.4                                                                                                                                                                                                                                                                                                                                     | 11/12/1992 | CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS                       |                |                    |

**BASELINE LIST OF  
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**List B – List of Applicable Directives**

| <b>DOE DIRECTIVES</b>                                                                                                                         |            |                                                                                                          |                   |                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------|-------------------|-----------------------|
| DOE Directives can be found at the following Internet address:<br><a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a> |            |                                                                                                          |                   |                       |
| REQUIRED<br>COMPLIANCE<br>DOCUMENT                                                                                                            | DATE       | TITLE                                                                                                    | THROUGH<br>CHANGE | NOTES AND<br>COMMENTS |
| DOE 2340.1C                                                                                                                                   | 06/08/1992 | COORDINATION OF GENERAL<br>ACCOUNTING OFFICE ACTIVITIES                                                  |                   | See Footnote (1)      |
| DOE 5560.1A                                                                                                                                   | 05/08/1985 | PRIORITIES AND ALLOCATIONS<br>PROGRAM                                                                    |                   |                       |
| DOE 5610.2                                                                                                                                    | 08/01/1980 | CONTROL OF WEAPONS DATA                                                                                  | 1<br>09/02/1986   |                       |
| DOE 5639.8A                                                                                                                                   | 07/23/1993 | SECURITY OF FOREIGN<br>INTELLIGENCE INFORMATION AND<br>SENSITIVE COMPARTMENTED<br>INFORMATION FACILITIES |                   |                       |
| DOE 5660.1B                                                                                                                                   | 05/26/1994 | MANAGEMENT OF NUCLEAR<br>MATERIALS                                                                       |                   |                       |
| DOE 5670.1A                                                                                                                                   | 01/15/1992 | MANAGEMENT AND CONTROL OF<br>FOREIGN INTELLIGENCE                                                        |                   |                       |

*Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.*

**BASELINE LIST OF  
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**List B – List of Applicable Directives**

| <b>ALBUQUERQUE DIRECTIVES (ALs)</b><br>ALs can be found at the following Internet address:<br><a href="http://prp.lanl.gov/">http://prp.lanl.gov/</a>                                                                       |            |                                                                  |                 |                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------|-----------------|--------------------|
| REQUIRED COMPLIANCE DOCUMENT                                                                                                                                                                                                | DATE       | TITLE                                                            | THROUGH CHANGE  | NOTES AND COMMENTS |
| AL-SD 56XB,<br>Revision 2                                                                                                                                                                                                   | 03/31/2004 | DEVELOPMENT AND PRODUCTION MANUAL                                | 9<br>02/15/2007 |                    |
| <i>Compliance: Compliance with Change 7 of this Manual will be in accordance with the BWXT Y-12 Implementation Plan for the Primary Standards Laboratory Memorandum (PSLM dated 02/16/2006) approved by DOE 08/14/2006.</i> |            |                                                                  |                 |                    |
| IBP-202                                                                                                                                                                                                                     | 09/18/2006 | RECORD OF ASSEMBLY AND DISASSEMBLY                               | Issue B         |                    |
| IBP-401                                                                                                                                                                                                                     | 11/30/2006 | PRODUCT DEFINITION EXCHANGE PROCESS                              | Issue A         |                    |
| IBP-404                                                                                                                                                                                                                     | 12/17/2003 | ENGINEERING AUTHORIZATION SYSTEM                                 | Issue B         |                    |
| TBP-CM                                                                                                                                                                                                                      | 02/14/2005 | CONFIGURATION MANAGEMENT                                         | Issue A         |                    |
| TBP-DEF                                                                                                                                                                                                                     | 08/26/2005 | NUCLEAR WEAPONS COMPLEX ABBREVIATIONS, ACRONYMS, AND DEFINITIONS | Issue J         |                    |
| TBP-PRP                                                                                                                                                                                                                     | 05/04/2001 | PRODUCT REALIZATION PROCESS                                      | Issue E         |                    |
| TBP-SYS                                                                                                                                                                                                                     | 10/20/2004 | NWC TECHNICAL BUSINESS PRACTICE SYSTEM                           | Issue H         |                    |
| TBP-000                                                                                                                                                                                                                     | 05/04/2001 | PROGRAM MANAGEMENT                                               | Issue E         |                    |
| TBP-001                                                                                                                                                                                                                     | 10/02/2000 | MAJOR ASSEMBLY RELEASE SYSTEM                                    | Issue C         |                    |
| TBP-100                                                                                                                                                                                                                     | 09/16/2005 | CONCURRENT QUALIFICATION                                         | Issue G         |                    |
| TBP-101                                                                                                                                                                                                                     | 01/22/2007 | ENGINEERING EVALUATION PROCESS                                   | Issue H         |                    |
| TBP-200                                                                                                                                                                                                                     | 09/18/2006 | PRODUCT IDENTIFICATION AND TRACEABILITY                          | Issue E         |                    |

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| ALBUQUERQUE DIRECTIVES (ALs)                                                                                   |            |                                                               |                |                    |
|----------------------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------|----------------|--------------------|
| ALs can be found at the following Internet address:<br><a href="http://prp.fanl.gov/">http://prp.fanl.gov/</a> |            |                                                               |                |                    |
| REQUIRED COMPLIANCE DOCUMENT                                                                                   | DATE       | TITLE                                                         | THROUGH CHANGE | NOTES AND COMMENTS |
| TBP-201                                                                                                        | 09/18/2006 | WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA            | Issue D        |                    |
| TBP-202                                                                                                        | 09/18/2006 | RECORD OF ASSEMBLY AND DISASSEMBLY                            | Issue E        |                    |
| TBP-203                                                                                                        | 02/20/2004 | WEAPON RECORD CARDS                                           | Issue E        |                    |
| TBP-300                                                                                                        | 02/15/2005 | PRODUCT DEFINITION                                            | Issue E        |                    |
| TBP-301                                                                                                        | 10/02/2006 | METHODS OF DEFINITION                                         | Issue J        |                    |
| TBP-302                                                                                                        | 09/18/2006 | PRODUCT AND EQUIPMENT DESIGNATIONS                            | Issue D        |                    |
| TBP-303                                                                                                        | 01/24/2007 | SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS               | Issue D        |                    |
| TBP-304                                                                                                        | 03/11/2003 | DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM | Issue E        |                    |
| TBP-305                                                                                                        | 09/18/2006 | CONFIGURATION OF TYPE WEAPONS                                 | Issue D        |                    |
| TBP-306                                                                                                        | 05/02/2003 | SOFTWARE PRODUCT PROCESSES                                    | Issue E        |                    |
| TBP-307                                                                                                        | 05/02/2003 | USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS   | Issue D        |                    |
| TBP-308                                                                                                        | 02/06/2006 | COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS         | Issue A        |                    |
| TBP-400                                                                                                        | 10/02/2000 | DESIGN CONTROL                                                | Issue D        |                    |
| TBP-401                                                                                                        | 11/30/2006 | DEFINITION CONTROL                                            | Issue E        |                    |
| TBP-402                                                                                                        | 09/22/2004 | PRODUCT CONTROL                                               | Issue F        |                    |

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| <b>ALBUQUERQUE DIRECTIVES (ALs)</b><br>ALs can be found at the following Internet address:<br><a href="http://prp.lanl.gov/">http://prp.lanl.gov/</a> |            |                                                                                        |                |                    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------|----------------|--------------------|
| REQUIRED COMPLIANCE DOCUMENT                                                                                                                          | DATE       | TITLE                                                                                  | THROUGH CHANGE | NOTES AND COMMENTS |
| TBP-403                                                                                                                                               | 02/13/2006 | REVIEWS                                                                                | Issue E        |                    |
| TBP-404                                                                                                                                               | 05/04/2005 | ENGINEERING AUTHORIZATION SYSTEM                                                       | Issue F        |                    |
| TBP-502                                                                                                                                               | 01/21/2002 | DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS | Issue A        |                    |
| TBP-600                                                                                                                                               | 10/02/2000 | PROCUREMENT                                                                            | Issue D        |                    |
| TBP-601                                                                                                                                               | 10/02/2000 | PROCUREMENT CLASSES OF WEAPON PRODUCT                                                  | Issue C        |                    |
| TBP-602                                                                                                                                               | 03/11/2003 | INTERPRETATION OF PROCUREMENT INDEX                                                    | Issue D        |                    |
| TBP-700                                                                                                                                               | 03/11/2003 | PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE                                       | Issue E        |                    |
| TBP-701                                                                                                                                               | 04/19/2007 | ACCEPTANCE EQUIPMENT INTERFACES                                                        | Issue D        |                    |
| TBP-702                                                                                                                                               | 05/29/2007 | NONCONFORMING MATERIAL                                                                 | Issue F        |                    |
| TBP-703                                                                                                                                               | 06/01/2001 | PRODUCT REPROCESSING AND REWORKING                                                     | Issue D        |                    |
| TBP-704                                                                                                                                               | 10/02/2000 | EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL                               | Issue D        |                    |
| TBP-800                                                                                                                                               | 07/30/2001 | STOCKPILE MANAGEMENT                                                                   | Issue F        |                    |
| TBP-801                                                                                                                                               | 10/02/2000 | LABORATORY AND FLIGHT TEST MATERIAL                                                    | Issue D        |                    |
| TBP-802                                                                                                                                               | 10/02/2000 | TELEMETRY PROCEDURES                                                                   | Issue D        |                    |

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| <b>ALBUQUERQUE DIRECTIVES (ALs)</b><br>ALs can be found at the following Internet address:<br><a href="http://prp.lanl.gov/">http://prp.lanl.gov/</a> |            |                                                                         |                   |                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|-------------------|-----------------------|
| REQUIRED<br>COMPLIANCE<br>DOCUMENT                                                                                                                    | DATE       | TITLE                                                                   | THROUGH<br>CHANGE | NOTES AND<br>COMMENTS |
| TBP-803                                                                                                                                               | 12/06/2006 | NON-NUCLEAR ASSURANCE PROGRAM                                           | Issue F           |                       |
| TBP-804                                                                                                                                               | 12/06/2006 | STOCKPILE SUPPORT MATERIAL                                              | Issue F           |                       |
| TBP-805                                                                                                                                               | 12/06/2006 | REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT                  | Issue F           |                       |
| TBP-901                                                                                                                                               | 10/02/2000 | INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES | Issue B           |                       |

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| <b>ORO DIRECTIVES</b>                                                                                                                                                   |             |                                                                              |                       |                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|------------------------------------------------------------------------------|-----------------------|---------------------------|
| ORO Directives can be found at the following Internet address:<br><a href="http://www.ornl.gov/doe_oro_dmg/oro_dir.htm">http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</a> |             |                                                                              |                       |                           |
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                                                                     | <b>DATE</b> | <b>TITLE</b>                                                                 | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b> |
| ORO O 220                                                                                                                                                               |             | <b>ASSESSMENTS</b>                                                           |                       |                           |
| Chapter III                                                                                                                                                             | 05/31/1996  | ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS | 4<br>04/07/2004       |                           |
| Chapter IV                                                                                                                                                              | 05/31/1996  | COORDINATION OF GOVERNMENT ACCOUNTABILITY OFFICE ACTIVITIES                  | 4<br>04/29/2005       |                           |
| ORO O 350                                                                                                                                                               |             | <b>CONTRACTOR HUMAN RESOURCE PROGRAMS</b>                                    |                       |                           |
| Chapter III                                                                                                                                                             | 05/31/1996  | FEDERAL LABOR STANDARDS                                                      | 3<br>01/26/2004       |                           |
| ORO O 550                                                                                                                                                               |             | <b>TRAVEL AND TRANSPORTATION</b>                                             |                       |                           |
| Chapter II                                                                                                                                                              | 12/16/2004  | FOREIGN TRAVEL AUTHORIZATION                                                 | 1<br>12/16/2004       |                           |

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| <b>OTHER APPLICABLE DOCUMENTS</b>                                                                                                                                        |             |                                                                                                            |                       |                           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------|
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                                                                      | <b>DATE</b> | <b>TITLE</b>                                                                                               | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b> |
| BOP-50.002                                                                                                                                                               | 09/19/2006  | ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY            |                       |                           |
| BOP-50.003                                                                                                                                                               | 06/06/2007  | ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY |                       |                           |
| <i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i> |             |                                                                                                            |                       |                           |
| DOE-HQ Memorandum                                                                                                                                                        | 11/08/2006  | IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS                                         |                       |                           |
| MANUAL                                                                                                                                                                   | 04/01/2007  | BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)                                                               |                       |                           |
| NAP 14.1-B                                                                                                                                                               | 09/27/2006  | NNSA CYBER SECURITY PROGRAM                                                                                |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan Addendum approved by DOE on 04/13/2007.</i>                                           |             |                                                                                                            |                       |                           |
| NAP 14.2-B                                                                                                                                                               | 09/27/2006  | BASELINE CYBER SECURITY REQUIREMENTS                                                                       |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan Addendum approved by DOE on 04/13/2007.</i>                                           |             |                                                                                                            |                       |                           |
| NAP 14.3-A                                                                                                                                                               | 04/05/2006  | NNSA PROTECTION PROFILE AND SECURITY TARGET REQUIREMENTS                                                   |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>                                                    |             |                                                                                                            |                       |                           |
| NAP 14.4-A                                                                                                                                                               | 04/05/2006  | OPEN PUBLIC UNRESTRICTED ACCESS INFORMATION GROUP PROTECTION PROFILE                                       |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>                                                    |             |                                                                                                            |                       |                           |

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| <b>OTHER APPLICABLE DOCUMENTS</b>                                                                                     |             |                                                                                        |                       |                           |
|-----------------------------------------------------------------------------------------------------------------------|-------------|----------------------------------------------------------------------------------------|-----------------------|---------------------------|
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                   | <b>DATE</b> | <b>TITLE</b>                                                                           | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b> |
| NAP 14.5-A                                                                                                            | 04/05/2006  | UNCLASSIFIED PROTECTED INFORMATION GROUP PROTECTION PROFILE                            |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |
| NAP 14.6-A                                                                                                            | 04/05/2006  | UNCLASSIFIED MANDATORY PROTECTION INFORMATION GROUP PROTECTION PROFILE                 |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |
| NAP 14.7-A                                                                                                            | 04/05/2006  | CONFIDENTIAL/SECRET NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE      |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |
| NAP 14.8-A                                                                                                            | 04/05/2006  | SECRET RESTRICTED NON-NUCLEAR WEAPONS DATA INFORMATION GROUP PROTECTION PROFILE        |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |
| NAP 14.9-A                                                                                                            | 04/05/2006  | CONFIDENTIAL RESTRICTED DATA, SIGMAS 1 THROUGH 13 INFORMATION GROUP PROTECTION PROFILE |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |
| NAP 14.10-A                                                                                                           | 04/05/2006  | SECRET RESTRICTED DATA, SIGMAS 1 - 13 AND 15 INFORMATION GROUP PROTECTION PROFILE      |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |
| NAP 14.11-A                                                                                                           | 04/05/2006  | SECRET RESTRICTED DATA, SIGMA 14 INFORMATION GROUP PROTECTION PROFILE                  |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i> |             |                                                                                        |                       |                           |

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| <b>OTHER APPLICABLE DOCUMENTS</b>                                                                                            |             |                                                                                                                     |                       |                           |
|------------------------------------------------------------------------------------------------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------|
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                                          | <b>DATE</b> | <b>TITLE</b>                                                                                                        | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b> |
| NAP 14.12                                                                                                                    | 04/05/2006  | NNSA CONTINGENCY PLANNING AND OPERATIONS                                                                            |                       | See Footnote (2)          |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>        |             |                                                                                                                     |                       |                           |
| NAP 14.13                                                                                                                    | 04/05/2006  | TRANSMISSION OF RESTRICTED AND FORMERLY RESTRICTED DATA OVER THE SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)  |                       |                           |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>        |             |                                                                                                                     |                       |                           |
| NAP 14.14                                                                                                                    | 08/09/2006  | TOP SECRET INFORMATION GROUP PROTECTION PROFILE                                                                     |                       | See Footnote (2)          |
| NAP 14.15                                                                                                                    | 08/09/2006  | TOP SECRET RESTRICTED DATA INFORMATION GROUP PROTECTION PROFILE                                                     |                       |                           |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE on 11/14/2006.</i>        |             |                                                                                                                     |                       |                           |
| NAP 14.16                                                                                                                    | 08/09/2006  | CLEARING, SANITIZING, AND DESTROYING INFORMATION SYSTEM STORAGE MEDIA, MEMORY DEVICES, AND OTHER RELATED HARDWARE   |                       |                           |
| <i>Compliance: Compliance will be in accordance with a revised Implementation Plan approved by DOE effective 04/12/2007.</i> |             |                                                                                                                     |                       |                           |
| OMB-Circular A-123                                                                                                           | 12/21/2004  | MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL                                                                    |                       |                           |
| <i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/06/2006.</i>               |             |                                                                                                                     |                       |                           |
| OPS-323                                                                                                                      | 10/21/2004  | DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT |                       |                           |

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| <b>OTHER APPLICABLE DOCUMENTS</b>                                                                              |             |                                         |                       |                           |
|----------------------------------------------------------------------------------------------------------------|-------------|-----------------------------------------|-----------------------|---------------------------|
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                                                                            | <b>DATE</b> | <b>TITLE</b>                            | <b>THROUGH CHANGE</b> | <b>NOTES AND COMMENTS</b> |
| PSLM                                                                                                           | 02/16/2006  | PRIMARY STANDARDS LABORATORY MEMORANDUM |                       |                           |
| <i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 08/14/2006.</i> |             |                                         |                       |                           |
| YSO-CRD-06-01<br>Revision 1                                                                                    | 07/06/2007  | DIRECTIVES SYSTEM PROCESS               |                       |                           |

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| <b>STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)</b> |                                     |                        |                              |                           |
|-----------------------------------------------------------------|-------------------------------------|------------------------|------------------------------|---------------------------|
| <b>FUNCTIONAL AREA (FA)</b>                                     | <b>TITLE</b>                        | <b>REVISION NUMBER</b> | <b>DOE/ORO APPROVAL DATE</b> | <b>NOTES AND COMMENTS</b> |
| 1.0                                                             | MS - Management Systems             | 16                     | 10/31/2006                   |                           |
| 2.0                                                             | QA - Quality Assurance              | 12                     | 01/28/2008                   |                           |
| 3.0                                                             | CM - Configuration Management       | 6                      | 06/13/2006                   | Type 1 Change             |
| 4.0                                                             | TQ - Training and Qualification     | 13                     | 01/16/2008                   |                           |
| 5.0                                                             | EM - Emergency Management           | 18                     | 10/15/2007                   | Type 1 Change             |
| 7.0                                                             | EG - Engineering Program            | 26                     | 11/29/2007                   | Type 1 Change             |
| 8.0                                                             | CP - Construction Program           | 25                     | 11/29/2007                   | Type 1 Change             |
| 9.0                                                             | OP - Operations                     | 4                      | 06/05/2006                   |                           |
| 10.0                                                            | MA - Maintenance                    | 14                     | 10/03/2007                   |                           |
| 11.0                                                            | RP - Radiation Protection           | 7                      | 11/13/2007                   |                           |
| 12.0                                                            | FP - Fire Protection                | 14                     | 11/20/2007                   |                           |
| 13.0                                                            | TR - Packaging and Transportation   | 14                     | 03/19/2007                   | Type 1 Change             |
| 18.0                                                            | FS - Facility (Nuclear) Safety      | 18                     | 09/10/2007                   |                           |
| 19.0                                                            | SH - Occupational Safety and Health | 29                     | 11/26/2007                   |                           |
| 20.0                                                            | EP - Environmental Protection       | 16                     | 11/16/2007                   |                           |
| 21.0                                                            | CS - Nuclear Criticality Safety     | 3                      | 05/09/2007                   |                           |

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| <b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b> |             |                                                                                                                           |                       |                                            |
|----------------------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------------------------|
| <b>REQUIRED COMPLIANCE DOCUMENT</b>                | <b>DATE</b> | <b>TITLE</b>                                                                                                              | <b>THROUGH CHANGE</b> | <b>FUNCTIONAL AREA(S)</b>                  |
| 10 CFR                                             | 01/24/1997  | ENERGY                                                                                                                    |                       | MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP |
| 28 CFR                                             | 07/01/1997  | JUDICIAL ADMINISTRATION                                                                                                   |                       | FP                                         |
| 29 CFR                                             | 04/23/1998  | LABOR                                                                                                                     |                       | CM, EM, EG, CP, FP, FS, TQ                 |
| 33 CFR                                             |             | NAVIGATION AND NAVIGABLE WATERS                                                                                           |                       | CP, EP                                     |
| 36 CFR                                             |             | PARKS, FORESTS, AND PUBLIC PROPERTY                                                                                       |                       | MS, EM, EG, CP, FP, EP                     |
| 40 CFR                                             |             | PROTECTION OF THE ENVIRONMENT                                                                                             |                       | EM, EG, CP, TR, FS, SH, EP                 |
| 40 CFR 144                                         |             | SAFE DRINKING WATER ACT                                                                                                   |                       | EP                                         |
| 43 CFR                                             |             | PUBLIC LANDS: INTERIOR                                                                                                    |                       | EP                                         |
| 48 CFR                                             |             | FEDERAL ACQUISITION REGULATIONS SYSTEM                                                                                    |                       | MS                                         |
| 49 CFR                                             | 10/01/2000  | TRANSPORTATION                                                                                                            |                       | TQ, EM, TR                                 |
| 50 CFR                                             |             | WILDLIFE AND FISHERIES                                                                                                    |                       | EP                                         |
| 5400.5                                             | 02/08/1990  | RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT                                                                    | 2                     | EG, CP, EP                                 |
| 5480.19                                            | 07/09/1990  | CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES                                                                     | 2                     | CM, TQ, EG, CP, OP                         |
| 5480.20A                                           | 02/20/1991  | PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES | 1                     | TQ, EG, CP                                 |

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| APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup> |            |                                                                      |                 |                        |
|----------------------------------------------|------------|----------------------------------------------------------------------|-----------------|------------------------|
| REQUIRED COMPLIANCE DOCUMENT                 | DATE       | TITLE                                                                | THROUGH CHANGE  | FUNCTIONAL AREA(S)     |
| 5480.21                                      | 12/24/1991 | UNREVIEWED SAFETY QUESTIONS                                          |                 | TQ                     |
| 5530.1A                                      | 09/20/1991 | ACCIDENT RESPONSE GROUP                                              |                 | EM                     |
| 5530.2                                       | 09/20/1991 | NUCLEAR EMERGENCY SEARCH TEAM                                        |                 | EM                     |
| 5530.3                                       | 01/14/1992 | RADIOLOGICAL ASSISTANCE PROGRAM                                      | 1               | EM                     |
| DE-AC05-00OR22800                            | 08/31/2000 | BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA                             |                 | MS, EG, CP             |
| DOE O 151.1C                                 | 11/02/2005 | COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM                            |                 | TQ, EM, EG, CP         |
| DOE N 153.2                                  | 08/11/2003 | CONNECTIVITY TO NATIONAL ATMOSPHERIC RELEASE ADVISORY CENTER (NARAC) |                 | EM                     |
| DOE O 200.1                                  | 09/30/1996 | INFORMATION MANAGEMENT PROGRAM                                       |                 | MS, EG, CP             |
| DOE O 225.1A                                 | 11/26/1997 | ACCIDENT INVESTIGATIONS                                              |                 | EG, CP, SH             |
| DOE O 226.1A                                 | 07/31/2007 | IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY              |                 | QA, TQ                 |
| DOE M 231.1-1A                               | 03/19/2004 | ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL                      | 2<br>06/12/2007 | EG, CP, RP, FP, EP, SH |
| DOE M 231.1-2                                | 08/19/2003 | OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION        |                 | MS                     |
| DOE O 341.1                                  | 12/01/2003 | FEDERAL EMPLOYEE HEALTH SERVICES                                     |                 | SH                     |
| DOE O 414.1C                                 | 06/17/2005 | QUALITY ASSURANCE                                                    |                 | QA, TQ                 |

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| <b>APPROVED S/R/D SOURCE DOCUMENTS</b> |             |                                                                                                       |                       |                           |
|----------------------------------------|-------------|-------------------------------------------------------------------------------------------------------|-----------------------|---------------------------|
| <b>REQUIRED COMPLIANCE DOCUMENT</b>    | <b>DATE</b> | <b>TITLE</b>                                                                                          | <b>THROUGH CHANGE</b> | <b>FUNCTIONAL AREA(S)</b> |
| DOE O 420.1B                           | 12/22/2005  | FACILITY SAFETY                                                                                       |                       | EM, EG, CP, OP, FP, CS    |
| DOE G 421.1-2                          | 10/24/2001  | IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830 |                       | FS                        |
| DOE O 425.1C                           | 03/13/2003  | STARTUP AND RESTART OF NUCLEAR FACILITIES                                                             |                       | MS                        |
| DOE O 430.1B                           | 09/24/2003  | REAL PROPERTY ASSET MANAGEMENT                                                                        |                       | EG, MA                    |
| DOE O 433.1                            | 06/01/2001  | MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES                                             |                       | CM, EG, TQ                |
| DOE O 433.1A                           | 02/13/2007  | MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES                                             |                       | EG, CP, MA                |
| DOE M 435.1-1                          | 07/09/1999  | RADIOACTIVE WASTE MANAGEMENT MANUAL                                                                   |                       | TQ, EG, CP, EP            |
| DOE G 440.1-5                          | 09/30/1995  | IMPLEMENTATION GUIDE FOR USE WITH DOE ORDERS 420.1 AND 440.1, FIRE SAFETY PROGRAM                     |                       | EG, FP                    |
| DOE O 440.1A                           | 03/27/1998  | WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES                                 |                       | EG                        |
| DOE O 440.2B                           | 11/27/2002  | AVIATION MANAGEMENT AND SAFETY                                                                        | 1<br>11/19/2006       | TR                        |
| DOE M 442.1-1                          | 11/16/2006  | DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY, AND HEALTH |                       | SH                        |
| DOE O 450.1                            | 08/25/2003  | ENVIRONMENTAL PROTECTION PROGRAM                                                                      | 1<br>01/24/2005       | EP                        |

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| <b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b> |             |                                                                                     |                       |                           |
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| <b>REQUIRED COMPLIANCE DOCUMENT</b>                | <b>DATE</b> | <b>TITLE</b>                                                                        | <b>THROUGH CHANGE</b> | <b>FUNCTIONAL AREA(S)</b> |
| DOE M 450.4-1                                      | 11/01/2006  | INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL                                          |                       | SH                        |
| DOE P 456.1                                        | 09/15/2005  | SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY                                    |                       | SH                        |
| DOE O 460.1B                                       | 04/04/2003  | PACKAGING AND TRANSPORTATION SAFETY                                                 |                       | TR                        |
| DOE O 460.2A                                       | 12/22/2004  | DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT                      |                       | TR                        |
| DOE O 461.1A                                       | 04/26/2004  | PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST |                       | TQ, TR                    |
| DOE M 470.4-1                                      | 08/26/2005  | SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT                             | 1<br>03/07/2006       | EM                        |
| DOE M 473.1-1                                      | 12/23/2002  | PHYSICAL PROTECTION PROGRAM MANUAL                                                  |                       | TQ, TR                    |
| DOE-STD-1032-92                                    | 12/1992     | GUIDE TO GOOD PRACTICES FOR OPERATIONS ORGANIZATION AND ADMINISTRATION              |                       | MS                        |
| DOE-STD-1066-99                                    | 07/31/1999  | DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA                                      |                       | EG                        |
| DOE-STD-1073-2003                                  | 10/2003     | CONFIGURATION MANAGEMENT PROGRAM                                                    |                       | MS, CM, EG, CP            |
| DOE-STD-3003-2000                                  | 01/31/2000  | DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES                              |                       | EG                        |
| DOE-STD-3024-98                                    | 10/31/1998  | DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS                                |                       | EG                        |
| EO 12898                                           |             | ENVIRONMENTAL JUSTICE                                                               |                       | EP                        |

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| REQUIRED COMPLIANCE DOCUMENT                 | DATE       | TITLE                                                                                  | THROUGH CHANGE | FUNCTIONAL AREA(S) |
| FFCA                                         |            | FEDERAL FACILITIES COMPLIANCE ACT                                                      |                | EP                 |
| NFPA CODES AND STANDARDS                     | 04/23/1998 | CODES AND STANDARDS                                                                    |                | EG, CP, FP         |
| ORR PCB FFCA                                 |            | OAK RIDGE RESERVATION POLYCHLORENATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT |                | EP                 |
| PUBLIC LAW 104-113                           |            | NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995                               |                | EG, CP             |
| QC-1, Rev. 10                                | 02/10/2004 | DOE/NNSA WEAPON QUALITY POLICY (QC-1)                                                  |                | MS, QA, EG         |
| SEN-35-91                                    | 09/09/1991 | NUCLEAR SAFETY POLICY                                                                  |                | TQ                 |
| STANDARD BUILDING CODE (SBC)                 |            | SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)                                  |                | CP                 |
| TITLE 55 AND 65                              |            | TENNESSEE MOTOR VEHICLE LAWS ANNOTATED                                                 |                | TR                 |
| TENNESSEE CODE, TITLE 68                     | 04/23/1998 | HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION                                            |                | FP                 |
| TCA TITLE 69                                 |            | WATERS, WATERWAYS, DRAINS AND LEVEES                                                   |                | EP                 |
| THWRA                                        |            | TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)                                        |                | EP                 |

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| REQUIRED COMPLIANCE DOCUMENT                 | DATE       | TITLE                                                               | THROUGH CHANGE | FUNCTIONAL AREA(S) |
| TN CODE ANNOTATED                            |            | TENNESSEE CODE ANNOTATED                                            |                | EM, EG             |
| TN 1200                                      |            | RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION   |                | CP, EP             |
| TN 1200-1-13                                 |            | TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM | 1              | EP                 |
| TN 1200-1-7                                  |            | TENNESSEE SOLID WASTE RULES                                         |                | EP                 |
| TN 1200-1-7.02                               |            | TENNESSEE SOLID WASTE DISPOSAL ACT                                  |                | EP                 |
| TN 1200-3-10                                 |            | TENNESSEE AIR QUALITY ACT                                           |                | EP                 |
| TN 1200-3-20                                 |            | TENNESSEE AIR QUALITY ACT                                           |                | EP                 |
| TN 1200-3-27                                 |            | TENNESSEE AIR QUALITY ACT                                           |                | EP                 |
| TN 1200-4-5                                  |            | TENNESSEE WATER QUALITY CONTROL ACT                                 |                | EP                 |
| TN 1200-5-8                                  |            | WATER REGISTRATION REQUIREMENTS                                     |                | EP                 |
| TN OVERSIGHT AGREEMENT                       | 06/27/1996 | TENNESSEE OVERSIGHT AGREEMENT (TOA)                                 |                | EM, EP             |
| TWRA                                         |            | TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS      |                | TR                 |

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| <b>REQUIRED COMPLIANCE DOCUMENT</b>                | <b>DATE</b> | <b>TITLE</b>                                                            | <b>THROUGH CHANGE</b> | <b>FUNCTIONAL AREA(S)</b> |
| YSO-CRD-02-01,<br>Rev. 1                           | 06/20/2002  | IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS |                       | EG, CP, FS                |
| YSO-CRD-03-01,<br>Rev. 3                           | 08/10/2006  | START-UP AND RESTART OF OPERATIONS, ACTIVITIES, & FACILITIES AT Y-12    |                       | MS                        |
| YSO-CRD-07-01                                      | 06/06/2007  | AUTHORIZATION AGREEMENTS                                                |                       | EG, CP, FS                |

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT PROGRAM, dated 03/21/2003.
- (3) These documents are ES&H related and appear in one or more of the current Standards/Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.