

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE AC	PAGE OF PAGES 1 2	
2. AMENDMENT/MODIFICATION NO. M205	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. NO PR	5. PROJECT NO. (If applicable)		
6. ISSUED BY National Nuclear Security Administration Y-12 Site Office P. O. Box 2050 Oak Ridge, TN 37831		7. ADMINISTERED BY (If other than Item 6) CODE			
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Babcock & Wilcox Technical Services Y-12, LLC P.O. Box 2009 MS8014 Oak Ridge, TN 37831-8014		<input checked="" type="checkbox"/>	9A. AMENDMENT OF SOLICITATION NO.		
		<input type="checkbox"/>	9B. DATED (SEE ITEM 11)		
		<input checked="" type="checkbox"/>	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800		
			10B. DATED (SEE ITEM 13) August 31, 2000		
CODE	FACILITY CODE				

11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

N/A

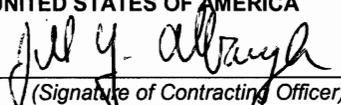
**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS,
IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<input checked="" type="checkbox"/>	D. OTHER (Specify type of modification and authority) I.85 DEAR 970.5204-2 Laws, Regulations, & DOE Directives; I.105 DEAR 952.215-70
E. IMPORTANT: Contractor <u>X</u> is not, _____ is required to sign this document and return _____ copies to the issuing office.	

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to revise the Key Personnel List and add the most current version of the Requirements Change Notice to the contract. See Page 2 for a description of these changes.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Jill Y. Albaugh Contracting Officer	
15B. CONTRACT/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
BY _____ (Signature of person authorized to sign)		BY  (Signature of Contracting Officer)	1/22/2010

A. Clause I.105 DEAR 952.215-70, Key Personnel. Section J, Attachment D – Key Personnel is modified to recognize the following list of individuals as Key Personnel.

- Darrel P. Kohlhorst President and General Manager
- William R. Klemm Deputy General Manager
- John P. Howanitz Senior Vice President, Transformation and Projects
- Scott W. Baker Senior Vice President, Business Services
- William G. Reis Vice President, Programs and Quality
- Leslie L. Reed Vice President, Production
- Michelle M. Reichert Vice President, Environment, Safety and Health
- Joseph G. Henry Chief, Nuclear Safety Operations

B. The enclosed Requirements Change Notice (RCN) Number NNSA-37 supersedes RCN Number NNSA-36. NNSA -37 is incorporated into the contract at Part III—List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E – Baseline List of Required Compliance Documents.

End of Modification

DOE Form (04/1991) <p style="text-align: center;">U.S. Department of Energy Requirements Change Notice</p>	No.: NNSA-37 <hr/> Page 1 of 37 Pages
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-37 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p>	
<p><u>ADDITIONS:</u></p>	
42 U.S.C.A., 1395y DOE M 205.1-7, Chg. 1	DOE M 205.1-5, Chg. 1 DOE M 205.1-8, Chg. 1
DOE M 205.1-6, Chg. 1 DOE O 410.2	
<p><u>DELETIONS:</u></p> <p>DOE N 351.1 DOE O 5660.1B</p>	
<p><u>COMPLIANCE LINE UPDATES:</u></p>	
DOE O 580.1, modified compliance line NAP 14.1.-C, modified compliance line TN Oversight Agreement, added a footnote	NA-10 WQAPM, modified compliance line NAP 14.2-C, modified compliance line
<p><u>UPDATES:</u></p>	
<p>S/RID 1.0 - Revised the Management System functional area requirements and the Staffing Section of the Management Systems functional area requirements</p> <p>S/RID 3.0 - Revised the Configuration Management functional area program description guide</p> <p>S/RID 9.0 - Revised the Operations functional area program description guide</p> <p>S/RID 10.0 - Revised the Maintenance functional area program description guide</p> <p>S/RID 11.0 - Revised the Radiation Protection functional area program description guide</p> <p>S/RID 18.0 - Revised the Facility (Nuclear) Safety functional area requirements</p>	
DOE AUTHORIZING SIGNATURE:  Elizabeth C. Hale, Contracting Officer Representative	DATE: 1/6/10

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-947</u> ----- DOE O 410.2	08/17/2009	Management of Nuclear Materials		DOE ltr dtd 09/03/2009 B&W Y-12 ltr dtd 09/16/2009
<i>Addition</i>				
<u>RD-948</u> ----- DOE O 5660.1B	05/26/1994	Management of Nuclear Materials		DOE ltr dtd 09/03/2009 B&W Y-12 ltr dtd 09/16/2009
<i>Deletion: This document was cancelled and replaced by DOE O 410.2, "Management of Nuclear Materials," dated 08/17/2009.</i>				
<u>RD-949</u> ----- S/RID 1.0, Rev. 20	09/29/2009	MANAGEMENT SYSTEMS		DOE/NNSA Comment/Concurrence Form, S/RID Chg. Pkg. #2009-09-MS dtd 09/29/2009 B&W Y-12 ltr dtd 08/26/2009
<i>Type 2 change to delete a requirement, RUID #7879, taken from DOE-STD-1032-92, since that requirement contains no contractual or regulatory driver, which supports maintaining it as an S/RID requirement.</i>				
<u>RD-950</u> ----- S/RID 3.0 Rev. 7	09/29/2009	CONFIGURATION MANAGEMENT		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Configuration Management Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-951</u> ----- S/RID 9.0 Rev. 5	09/29/2009	OPERATIONS		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Operations Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				
<u>RD-952</u> ----- S/RID 10.0, Rev. 16	09/29/2009	MAINTENANCE		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Maintenance Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				
<u>RD-953</u> ----- S/RID 11.0, Rev. 8	09/29/2009	RADIATION PROTECTION		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Radiation Protection Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				
<u>RD-954</u> ----- DOE M 205.1-5	08/12/2008	Cyber Security Process Requirements Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
<u>RD-955</u> ----- DOE M 205.1-6	12/23/2008	Media Sanitization Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-956</u> ----- DOE M 205.1-7	01/05/2009	Security Controls for Unclassified Information Systems Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
<u>RD-957</u> ----- DOE M 205.1-8	01/09/2009	Cyber Security Incident Management Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
<u>RD-958</u> ----- NA-10 WQAPM	03/30/2009	NA-10 Weapon Quality Assurance Procedures Manual		DOE Comment/ Concurrence – Implementation Plan dtd 10/15/2009 B&W Y-12 ltr dtd 09/14/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan to be submitted to DOE by 11/14/2009.</i>				
<u>RD-959</u> ----- 42 U.S.C.A., 1395y	07/15/2008	The Public Health and Welfare		B&W Y-12 ltr dtd 11/05/2009
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-960</u> ----- S/RID 18.0 Rev. 21	11/09/2009	FACILITY (NUCLEAR) SAFETY		NNSA/YSO Comment/ Concurrence Form, S/RID Chg Pkg. #2010-01-FS, dtd 11/09/2009 B&W Y-12 ltr dtd 10/28/2009
<i>Type 2 change to add an implementation assumption to two requirements taken from 10 CFR 830. One implementation assumption addresses the process for requesting an exemption from the requirements of 10 CFR 830, Subpart B, as described in DOE-STD-1083-2009, "Processing Exemptions to Nuclear Safety Rules and Approval of Alternative Methods for Documented Safety Analyses." The other implementation assumption addresses the process for requesting approval of methodologies not covered by documents identified in Table 2 of 10 CFR 830, as described in DOE-STD-1083-2009.</i>				
<u>RD-961</u> ----- DOE N 351.1	04/27/2006	Contractor Employee Pension and Medical Benefits Policy		B&W Y-12 ltr dtd 11/11/2009
<i>Deletion: Implementation suspended by Secretary of Energy Memorandum dated 06/19/2006. Suspension of implementation was formalized by DOE N 251.66, dated 06/28/2006, which established DOE O 350.1 as the applicable directive in effect at that time. Since DOE N 351.1 and DOE N 251.66 have expired and DOE O 350.1, Change 1, remains on the contract baseline, DOE N 351.1 is no longer acceptable for inclusion in the contract.</i>				
<u>RD-962</u> ----- NA-10 WQAPM	03/30/2009	NA-10 Weapon Quality Assurance Procedures Manual		DOE Comment/ Concurrence Form – Implementation Plan dtd 10/15/2009 B&W Y-12 ltr dtd 11/12/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/12/2009.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-963</u> ----- NAP 14.1-C	05/02/2008	NNSA Baseline Cyber Security Program		DOE e-mail message dtd 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008 and 11/19/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/19/2009.</i>				
<u>RD-964</u> ----- NAP 14.2-C	05/02/2008	NNSA Certification and Accreditation (C&A) Process for Information Systems		DOE e-mail message dtd 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008 and 11/19/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/19/2009.</i>				
<u>RD-965</u> ----- S/RID 1.0, Rev. 21	11/19/2009	MANAGEMENT SYSTEMS		DOE/NNSA Comment/Concurrence Form, S/RID Chg. Pkg. #2010-02-MS dtd 11/19/2009 B&W Y-12 ltr dtd 10/29/2009
<i>Type 2 change to add two requirements taken from DOE-STD-1083-2009, "Processing Exemptions to Nuclear Safety Rules and Approval of Alternative Methods for Documented Safety Analyses," that address the process for requesting an exemption to Nuclear Safety Rules.</i>				
<u>RD-966</u> ----- DOE O 580.1	12/07/2005	Department of Energy Personal Property Management		DOE ltrs dtd 02/10/2009 and 08/24/2009 B&W Y-12 ltrs dtd 07/22/2009 and 11/18/2009
<i>Modification of Compliance Line: Compliance will be in accordance with B&W Y-12 letter dated 11/18/2009.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-967</u> ----- TN Oversight Agreement	06/27/1996	Tennessee Oversight Agreement (TOA)		DOE e-mail message dtd 12/22/09
<i>Addition of Footnote (5): B&W Y-12 will conduct a review of the revision to the Tennessee Oversight Agreement dated June 6, 2006. Upon completion of that review, B&W Y-12 will make the appropriate changes.</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
<i>Compliance: Compliance will be contingent upon approval of an implementation plan submitted to DOE on 05/28/2009.</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
<i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	

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DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.64	07/07/2005	EXTENSION OF DOE DIRECTIVES		Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.

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DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		

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DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 11/17/2008	This Order cancels Chapters 1 through 3 of DOE M 413.3-1.
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<p><i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. Since B&W Y-12 currently is not in full compliance with the total program, compliance will be in accordance with an implementation plan approved by DOE on 03/23/2009 that addresses the total program.</i></p>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		

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DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 05/18/2009.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<p><i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i></p>				
DOE M 470.4-4A	01/16/2009	INFORMATION SECURITY MANUAL		
<p><i>Compliance: Compliance with this Manual will be in accordance with the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS), except for the requirements contained in Paragraph 2 of the "Contractor Requirements Document" for which compliance is contingent upon an implementation plan to be based upon further guidance from NNSA/YSO with respect to those requirements.</i></p>				
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<p><i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i></p>				
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		Extended until 07/07/2006 by DOE N 251.64.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3. Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<p><i>Compliance: B&W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&W Y-12 Cost Accounting Standards Board Disclosure Statement.</i></p>				

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&W Y-12 letter dated 11/18/2009.</i>				
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/12/2009.</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-701	04/19/2007	ACCEPETANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

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ORO DIRECTIVES				
ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe/doe_oro_dmg/oro_chklst.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 350 Chapter III	05/31/1996	CONTRACTOR HUMAN RESOURCE PROGRAMS FEDERAL LABOR STANDARDS	3 01/26/2004	

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 03/26/2009.</i>				
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan submitted to DOE on 11/19/2009.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan submitted to DOE on 11/19/2009.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 2	02/25/2009	DIRECTIVES SYSTEM PROCESS		

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STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/YSO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	21	11/19/2009	
2.0	QA - Quality Assurance	14	11/24/2008	
3.0	CM - Configuration Management	7	09/29/2009	Type 1 Change
4.0	TQ - Training and Qualification	15	11/26/2008	Type 1 Change
5.0	EM - Emergency Management	20	11/17/2008	
7.0	EG - Engineering Program	35	06/04/2009	Type 1 Change
8.0	CP - Construction Program	30	06/04/2009	Type 1 Change
9.0	OP - Operations	5	09/29/2009	Type 1 Change
10.0	MA - Maintenance	16	09/29/2009	Type 1 Change
11.0	RP - Radiation Protection	8	09/29/2009	Type 1 Change
12.0	FP - Fire Protection	15	04/10/2008	
13.0	TR - Packaging and Transportation	17	12/16/2008	
18.0	FS - Facility (Nuclear) Safety	21	11/09/2009	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	20	01/06/2009	
21.0	CS - Nuclear Criticality Safety	4	06/04/2009	

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2 10/23/2001	CM, TQ, EG, CP, OP

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG, TQ
DOE O 433.1A	02/13/2007	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE M 461.1-1	09/29/2000	PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST MANUAL	1 07/26/2005	TR
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	TQ, TR
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP

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REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
<i>See Footnote (5).</i>				
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
YSO-CRD-03-01, Rev. 4	03/21/2008	START-UP AND RESTART OF OPERATIONS, ACTIVITIES, & FACILITIES AT Y-12		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.
- (5) B&W Y-12 will conduct a review of the revision to the Tennessee Oversight Agreement dated June 6, 2006. Upon completion of that review, B&W Y-12 will make the appropriate changes.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE AC	PAGE OF PAGES 1 2
2. AMENDMENT/MODIFICATION NO. M205	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. NO PR	5. PROJECT NO. (If applicable)	
6. ISSUED BY National Nuclear Security Administration Y-12 Site Office P. O. Box 2050 Oak Ridge, TN 37831		7. ADMINISTERED BY (If other than Item 6) CODE		
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Babcock & Wilcox Technical Services Y-12, LLC P.O. Box 2009 MS8014 Oak Ridge, TN 37831-8014		<input checked="" type="checkbox"/>	9A. AMENDMENT OF SOLICITATION NO.	
		<input type="checkbox"/>	9B. DATED (SEE ITEM 11)	
		<input checked="" type="checkbox"/>	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800	
			10B. DATED (SEE ITEM 13) August 31, 2000	
CODE	FACILITY CODE			

11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of Offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

N/A

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) I.85 DEAR 970.5204-2 Laws, Regulations, & DOE Directives; I.105 DEAR 952.215-70

E. IMPORTANT: Contractor X is not, _____ is required to sign this document and return _____ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to revise the Key Personnel List and add the most current version of the Requirements Change Notice to the contract. See Page 2 for a description of these changes.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

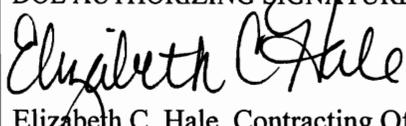
15A. NAME AND TITLE OF SIGNER (Type or print) Jill Y. Albaugh Contracting Officer		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Jill Y. Albaugh Contracting Officer	
15B. CONTRACT/OFFEROR BY _____ (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA BY <u>Jill Y. Albaugh</u> (Signature of Contracting Officer)	16C. DATE SIGNED <u>1/22/2010</u>

A. Clause I.105 DEAR 952.215-70, Key Personnel. Section J, Attachment D – Key Personnel is modified to recognize the following list of individuals as Key Personnel.

- Darrel P. Kohlhorst President and General Manager
- William R. Klemm Deputy General Manager
- John P. Howanitz Senior Vice President, Transformation and Projects
- Scott W. Baker Senior Vice President, Business Services
- William G. Reis Vice President, Programs and Quality
- Leslie L. Reed Vice President, Production
- Michelle M. Reichert Vice President, Environment, Safety and Health
- Joseph G. Henry Chief, Nuclear Safety Operations

B. The enclosed Requirements Change Notice (RCN) Number NNSA-37 supersedes RCN Number NNSA-36. NNSA -37 is incorporated into the contract at Part III—List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E – Baseline List of Required Compliance Documents.

End of Modification

DOE Form (04/1991) <p style="text-align: center;">U.S. Department of Energy Requirements Change Notice</p>	No.: NNSA-37
PROJECT: Baseline List of Required Compliance Documents	Page 1 of 37 Pages LOCATION: Oak Ridge, Tennessee
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-37 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p>	
<p>Below is a list of the directives incorporated herein:</p>	
<p><u>ADDITIONS:</u></p>	
42 U.S.C.A., 1395y DOE M 205.1-7, Chg. 1	DOE M 205.1-5, Chg. 1 DOE M 205.1-8, Chg. 1
DOE M 205.1-6, Chg. 1 DOE O 410.2	
<p><u>DELETIONS:</u></p>	
DOE N 351.1 DOE O 5660.1B	
<p><u>COMPLIANCE LINE UPDATES:</u></p>	
DOE O 580.1, modified compliance line NAP 14.1.-C, modified compliance line TN Oversight Agreement, added a footnote	NA-10 WQAPM, modified compliance line NAP 14.2-C, modified compliance line
<p><u>UPDATES:</u></p>	
S/RID 1.0 - Revised the Management System functional area requirements and the Staffing Section of the Management Systems functional area requirements S/RID 3.0 - Revised the Configuration Management functional area program description guide S/RID 9.0 - Revised the Operations functional area program description guide S/RID 10.0 - Revised the Maintenance functional area program description guide S/RID 11.0 - Revised the Radiation Protection functional area program description guide S/RID 18.0 - Revised the Facility (Nuclear) Safety functional area requirements	
DOE AUTHORIZING SIGNATURE:  Elizabeth C. Hale, Contracting Officer Representative	DATE: 1/6/10

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-947</u> ----- DOE O 410.2	08/17/2009	Management of Nuclear Materials		DOE ltr dtd 09/03/2009 B&W Y-12 ltr dtd 09/16/2009
<i>Addition</i>				
<u>RD-948</u> ----- DOE O 5660.1B	05/26/1994	Management of Nuclear Materials		DOE ltr dtd 09/03/2009 B&W Y-12 ltr dtd 09/16/2009
<i>Deletion: This document was cancelled and replaced by DOE O 410.2, "Management of Nuclear Materials," dated 08/17/2009.</i>				
<u>RD-949</u> ----- S/RID 1.0, Rev. 20	09/29/2009	MANAGEMENT SYSTEMS		DOE/NNSA Comment/Concurrence Form, S/RID Chg. Pkg. #2009-09-MS dtd 09/29/2009 B&W Y-12 ltr dtd 08/26/2009
<i>Type 2 change to delete a requirement, RUID #7879, taken from DOE-STD-1032-92, since that requirement contains no contractual or regulatory driver, which supports maintaining it as an S/RID requirement.</i>				
<u>RD-950</u> ----- S/RID 3.0 Rev. 7	09/29/2009	CONFIGURATION MANAGEMENT		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Configuration Management Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-951</u> ----- S/RID 9.0 Rev. 5	09/29/2009	OPERATIONS		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Operations Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				
<u>RD-952</u> ----- S/RID 10.0, Rev. 16	09/29/2009	MAINTENANCE		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Maintenance Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				
<u>RD-953</u> ----- S/RID 11.0, Rev. 8	09/29/2009	RADIATION PROTECTION		YSO-CRD-06-01, <i>Directives System Process</i> B&W Y-12 e-mail message dtd 10/08/2009
<i>Type 1 change to update the Radiation Protection Functional Area Program Description Guide to change the company name from BWXT Y-12 to B&W Y-12.</i>				
<u>RD-954</u> ----- DOE M 205.1-5	08/12/2008	Cyber Security Process Requirements Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
<u>RD-955</u> ----- DOE M 205.1-6	12/23/2008	Media Sanitization Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-956</u> DOE M 205.1-7	01/05/2009	Security Controls for Unclassified Information Systems Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
<u>RD-957</u> DOE M 205.1-8	01/09/2009	Cyber Security Incident Management Manual	1 09/01/2009	DOE ltr dtd 09/23/2009 B&W Y-12 ltr dtd 10/12/2009
<i>Modification to incorporate Change 1 dated 09/01/2009 with the following compliance line: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
<u>RD-958</u> NA-10 WQAPM	03/30/2009	NA-10 Weapon Quality Assurance Procedures Manual		DOE Comment/ Concurrence – Implementation Plan dtd 10/15/2009 B&W Y-12 ltr dtd 09/14/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan to be submitted to DOE by 11/14/2009.</i>				
<u>RD-959</u> 42 U.S.C.A., 1395y	07/15/2008	The Public Health and Welfare		B&W Y-12 ltr dtd 11/05/2009
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-960</u> ----- S/RID 18.0 Rev. 21	11/09/2009	FACILITY (NUCLEAR) SAFETY		NNSA/YSO Comment/ Concurrence Form, S/RID Chg Pkg. #2010-01-FS, dtd 11/09/2009 B&W Y-12 ltr dtd 10/28/2009
<i>Type 2 change to add an implementation assumption to two requirements taken from 10 CFR 830. One implementation assumption addresses the process for requesting an exemption from the requirements of 10 CFR 830, Subpart B, as described in DOE-STD-1083-2009, "Processing Exemptions to Nuclear Safety Rules and Approval of Alternative Methods for Documented Safety Analyses." The other implementation assumption addresses the process for requesting approval of methodologies not covered by documents identified in Table 2 of 10 CFR 830, as described in DOE-STD-1083-2009.</i>				
<u>RD-961</u> ----- DOE N 351.1	04/27/2006	Contractor Employee Pension and Medical Benefits Policy		B&W Y-12 ltr dtd 11/11/2009
<i>Deletion: Implementation suspended by Secretary of Energy Memorandum dated 06/19/2006. Suspension of implementation was formalized by DOE N 251.66, dated 06/28/2006, which established DOE O 350.1 as the applicable directive in effect at that time. Since DOE N 351.1 and DOE N 251.66 have expired and DOE O 350.1, Change 1, remains on the contract baseline, DOE N 351.1 is no longer acceptable for inclusion in the contract.</i>				
<u>RD-962</u> ----- NA-10 WQAPM	03/30/2009	NA-10 Weapon Quality Assurance Procedures Manual		DOE Comment/ Concurrence Form – Implementation Plan dtd 10/15/2009 B&W Y-12 ltr dtd 11/12/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/12/2009.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-963</u> NAP 14.1-C	05/02/2008	NNSA Baseline Cyber Security Program		DOE e-mail message dtd 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008 and 11/19/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/19/2009.</i>				
<u>RD-964</u> NAP 14.2-C	05/02/2008	NNSA Certification and Accreditation (C&A) Process for Information Systems		DOE e-mail message dtd 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008 and 11/19/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/19/2009.</i>				
<u>RD-965</u> S/RID 1.0, Rev. 21	11/19/2009	MANAGEMENT SYSTEMS		DOE/NNSA Comment/Concurrence Form, S/RID Chg. Pkg. #2010-02-MS dtd 11/19/2009 B&W Y-12 ltr dtd 10/29/2009
<i>Type 2 change to add two requirements taken from DOE-STD-1083-2009, "Processing Exemptions to Nuclear Safety Rules and Approval of Alternative Methods for Documented Safety Analyses," that address the process for requesting an exemption to Nuclear Safety Rules.</i>				
<u>RD-966</u> DOE O 580.1	12/07/2005	Department of Energy Personal Property Management		DOE ltrs dtd 02/10/2009 and 08/24/2009 B&W Y-12 ltrs dtd 07/22/2009 and 11/18/2009
<i>Modification of Compliance Line: Compliance will be in accordance with B&W Y-12 letter dated 11/18/2009.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-967</u> ----- TN Oversight Agreement	06/27/1996	Tennessee Oversight Agreement (TOA)		DOE e-mail message dtd 12/22/09
<i>Addition of Footnote (5): B&W Y-12 will conduct a review of the revision to the Tennessee Oversight Agreement dated June 6, 2006. Upon completion of that review, B&W Y-12 will make the appropriate changes.</i>				

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
<i>Compliance: Compliance will be contingent upon approval of an implementation plan submitted to DOE on 05/28/2009.</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
<i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.64	07/07/2005	EXTENSION OF DOE DIRECTIVES		Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.

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List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 11/17/2008	This Order cancels Chapters 1 through 3 of DOE M 413.3-1.
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<p><i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. Since B&W Y-12 currently is not in full compliance with the total program, compliance will be in accordance with an implementation plan approved by DOE on 03/23/2009 that addresses the total program.</i></p>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 05/18/2009.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<p><i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i></p>				
DOE M 470.4-4A	01/16/2009	INFORMATION SECURITY MANUAL		
<p><i>Compliance: Compliance with this Manual will be in accordance with the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS), except for the requirements contained in Paragraph 2 of the "Contractor Requirements Document" for which compliance is contingent upon an implementation plan to be based upon further guidance from NNSA/YSO with respect to those requirements.</i></p>				
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<p><i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i></p>				
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		Extended until 07/07/2006 by DOE N 251.64.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3. Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<p><i>Compliance: B&W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&W Y-12 Cost Accounting Standards Board Disclosure Statement.</i></p>				

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&W Y-12 letter dated 11/18/2009.</i>				
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		

Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://pro.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 11/12/2009.</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-701	04/19/2007	ACCEPETANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

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ORO DIRECTIVES ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe/doe_oro_dmg/oro_chklst.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 350 Chapter III	05/31/1996	CONTRACTOR HUMAN RESOURCE PROGRAMS FEDERAL LABOR STANDARDS	3 01/26/2004	

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 03/26/2009.</i>				
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan submitted to DOE on 11/19/2009.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan submitted to DOE on 11/19/2009.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 2	02/25/2009	DIRECTIVES SYSTEM PROCESS		

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STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/YSO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	21	11/19/2009	
2.0	QA - Quality Assurance	14	11/24/2008	
3.0	CM - Configuration Management	7	09/29/2009	Type 1 Change
4.0	TQ - Training and Qualification	15	11/26/2008	Type 1 Change
5.0	EM - Emergency Management	20	11/17/2008	
7.0	EG - Engineering Program	35	06/04/2009	Type 1 Change
8.0	CP - Construction Program	30	06/04/2009	Type 1 Change
9.0	OP - Operations	5	09/29/2009	Type 1 Change
10.0	MA - Maintenance	16	09/29/2009	Type 1 Change
11.0	RP - Radiation Protection	8	09/29/2009	Type 1 Change
12.0	FP - Fire Protection	15	04/10/2008	
13.0	TR - Packaging and Transportation	17	12/16/2008	
18.0	FS - Facility (Nuclear) Safety	21	11/09/2009	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	20	01/06/2009	
21.0	CS - Nuclear Criticality Safety	4	06/04/2009	

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APPROVED S/RID SOURCE DOCUMENTS ³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2 10/23/2001	CM, TQ, EG, CP, OP

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APPROVED S/RID SOURCE DOCUMENTS ³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS

**BASELINE LIST OF
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List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS ³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG, TQ
DOE O 433.1A	02/13/2007	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP

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DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR

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APPROVED S/RID SOURCE DOCUMENTS³				
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DOE M 461.1-1	09/29/2000	PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST MANUAL	1 07/26/2005	TR
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	TQ, TR
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG

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APPROVED S/RID SOURCE DOCUMENTS ³				
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DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR

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APPROVED S/RID SOURCE DOCUMENTS ³				
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TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP

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TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
<i>See Footnote (5).</i>				
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
YSO-CRD-03-01, Rev. 4	03/21/2008	START-UP AND RESTART OF OPERATIONS, ACTIVITIES, & FACILITIES AT Y-12		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.
- (5) **B&W Y-12 will conduct a review of the revision to the Tennessee Oversight Agreement dated June 6, 2006. Upon completion of that review, B&W Y-12 will make the appropriate changes.**