



DOE Form (04/1991)  <p style="text-align: center;"><b>U.S. Department of Energy Requirements Change Notice</b></p>	No.: NNSA-40  Page 1 of 38 Pages
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-40 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><b>ADDITIONS:</b></p> <p>DOE HQ Memorandum, <i>Military or Intelligence-Related Human Subjects Research</i>          DOE HQ Memorandum, <i>Project Management Principles</i>          DOE N 251.86      DOE O 452.7      NAP 70.2      NAP 70.4      RMI R012, Issue A</p> <p><b>DELETIONS:</b></p> <p>DOE M 452.4-1A      DOE M 470.4-2, Chg. 1      DOE M 470.4-4A          DOE M 470.4-7      DOE O 5560.1A</p> <p><b>COMPLIANCE LINE UPDATES:</b></p> <p>DOE HQ Memorandum, <i>Project Management Principles</i>; modify compliance line.</p> <p><b>ADMINISTRATIVE CHANGES:</b></p> <p>DOE N 251.45, incorporated due to inadvertent deletion.          R001, corrected Issue 1 to read Issue A.</p> <p><b>UPDATES:</b></p> <p>S/RID 1.0 - Revised the Operational Readiness Reviews and Readiness Assessments Section of the Management Systems Functional Area requirements          S/RID 3.0 - Revised Configuration Management Functional Area requirements          S/RID 4.0 - Revised Training and Qualification Functional Area requirements          S/RID 7.0 - Updated an Engineering Functional Area Source Document          S/RID 8.0 - Updated a Construction Program Functional Area Source Document          S/RID 9.0 - Updated an Operations Functional Area Source Document          S/RID 10.0 - Revised the Maintenance Functional Area requirements          S/RID 12.0 - Updated a Fire Protection Functional Area Source Document          S/RID 18.0 - Revised the Facility (Nuclear) Safety Functional Area requirements</p>	
DOE AUTHORIZING SIGNATURE:   Elizabeth C. Hale, Contracting Officer's Representative for Directives	DATE:  

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1001</u> DOE-HQ Memorandum	12/09/2009	Military or Intelligence-Related Human Subjects Research		DOE ltr dtd 05/21/2010 B&W Y-12 ltr dtd 06/10/2010
<i>Addition</i>				
<u>RD-1002</u> DOE HQ Memorandum	03/04/2010	Project Management Principles		DOE ltr dtd 05/18/2010 B&W Y-12 ltr dtd 06/15/2010
<i>Addition with the following compliance line: Compliance will be contingent upon approval of the Y-12 National Security Complex PARS II Deployment Cost and Schedule Breakdown submitted to DOE on 06/15/2010.</i>				
<u>RD-1003</u> DOE O 452.7	05/14/2010	Protection of Use Control Vulnerabilities and Designs		DOE ltr dtd 06/22/2010 B&W Y-12 ltr dtd 07/22/2010
<i>Addition</i>				
<u>RD-1004</u> DOE M 452.4-1A	03/11/2004	Protection of Use Control Vulnerabilities and Designs		DOE ltr dtd 06/22/2010 B&W Y-12 ltr dtd 07/22/2010
<i>Deletion. Cancelled by DOE O 452.7.</i>				
<u>RD-1005</u> S/RID 1.0 Rev. 23	07/23/2010	MANAGEMENT SYSTEMS		NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg #2010-05-MS, dtd 07/23/2010 B&W Y-12 ltr dtd 06/21/2010
<i>Type 2 change to update the source document and replace requirements taken from YSO-CRD-03-01, "Start-up and Restart Operations, Activities &amp; Facilities at Y-12," Revision 4, dated 03/21/2008; with requirements taken from YSO-CRD-03-01, "Start-up and Restart Operations, Activities &amp; Facilities at Y-12, Including Safety Basis Document Implementation," Revision 5, dated March 26, 2010.</i>				

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>AUTHORIZATION</b>
<u>RD-1006</u> DOE M 470.4-7	08/26/2005	Safeguards and Security Program References		DOE ltr dtd 07/15/2010 B&W Y-12 ltr dtd 08/10/2010
<i>Deletion: This Manual was cancelled by DOE N 251.84, "Cancellation of DOE M 470.4-7, Safeguards and Security Program References," dated 06/23/2010.</i>				
<u>RD-1007</u> NAP 70.4	07/02/2010	Information Security		DOE ltr dtd 08/04/2010 B&W Y-12 ltr dtd 08/12/2010
<i>Addition</i>				
<u>RD-1008</u> DOE M 470.4-4A	01/16/2009	Information Security Manual		DOE ltr dtd 08/04/2010 B&W Y-12 ltr dtd 08/12/2010
<i>Deletion. Replaced by DOE-NNSA NAP 70.4, "Information Security," dated 07/02/2010, for application to the NNSA's Information Security Program.</i>				
<u>RD-1009</u> NAP 70.2	07/02/2010	Physical Protection		DOE ltr dtd 08/04/2010 B&W Y-12 ltr dtd 08/17/2010
<i>Addition</i>				
<u>RD-1010</u> DOE M 470.4-2	08/26/2005	Physical Protection	1 03/07/2006	DOE ltr dtd 08/04/2010 B&W Y-12 ltr dtd 08/17/2010
<i>Deletion. Replaced by DOE-NNSA NAP 70.2, "Physical Protection," dated 07/02/2010, for application to the NNSA's Physical Protection Program.</i>				
<u>RD-1011</u> DOE-HQ Memorandum	03/04/2010	Project Management Principles		DOE ltr dtd 06/25/2010 B&W Y-12 ltr dtd 08/17/2010
<i>Modification of Compliance Line: Compliance will be contingent upon approval of the revised Y-12 National Security Complex PARS II Deployment Cost and Schedule Breakdown submitted to DOE on 08/17/2010.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1012</u> S/RID 10.0 Rev. 17	08/23/2010	MAINTENANCE		DOE-NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg #2010-07-MA dtd 08/23/2010  B&W Y-12 ltr dtd 08/09/2010
<i>Type 2 change to replace requirements taken from DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007 with requirements taken from DOE O 433.1B, "Maintenance Management Program for DOE Nuclear Facilities," dated 04/21/2010.</i>				
<u>RD-1013</u> S/RID 7.0 Rev. 37	08/24/2010	ENGINEERING		YSO-CRD-06-01, Rev. 3, "Standards/Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/24/2010
<i>Type 1 change to update Source Document DOE O 420.1B, "Facility Safety," dated 12/22/2005 to reflect DOE O 420.1B, "Facility Safety," Change 1, dated 04/19/2010.</i>				
<u>RD-1014</u> S/RID 12.0 Rev. 16	08/24/2010	FIRE PROTECTION		YSO-CRD-06-01, Rev. 3, "Standards/Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/24/2010
<i>Type 1 change to update Source Document DOE O 420.1B, "Facility Safety," dated 12/22/2005 to reflect DOE O 420.1B, "Facility Safety," Change 1, dated 04/19/2010.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1015</u> S/RID 9.0 Rev. 6	08/24/2010	OPERATIONS		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/24/2010
<i>Type 1 change to update Source Document DOE O 420.1B, "Facility Safety," dated 12/22/2005 to reflect DOE O 420.1B, "Facility Safety," Change 1, dated 04/19/2010.</i>				
<u>RD-1016</u> S/RID 8.0 Rev. 32	08/24/2010	CONSTRUCTION PROGRAM		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/24/2010
<i>Type 1 change to update Source Document DOE O 420.1B, "Facility Safety," dated 12/22/2005 to reflect DOE O 420.1B, "Facility Safety," Change 1, dated 04/19/2010.</i>				
<u>RD-1017</u> S/RID 18.0 Rev. 22	08/26/2010	FACILITY (NUCLEAR) SAFETY		DOE-NNSA/YSO Comment/Concurren ce Form, S/RID Change Pkg #2010- 06-FS dtd 08/26/2010  B&W Y-12 ltr dtd 08/05/2010
<i>Type 2 change to update an implementation assumption for a requirement taken from 10 CFR 830.203(b) and (c) to reflect DOE G 424.1-1B, "Implementation Guide for the Use in Addressing Unreviewed Safety Question Requirements," dated 04/08/2010.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1018</u> DOE O 5560.1A	05/08/1985	Priorities and Allocations Program		B&W Y-12 ltr dtd 08/30/2010
<i>Deletion. The requirements levied by this Order are covered in DEAR Clause 952.211-71, "Priorities and Allocations (Atomic Energy) (APR 2008), which was incorporated into the contract on 10/30/2008 via contract modification number A177.</i>				
<u>RD-1019</u> DOE N 251.86	06/23/2010	Extension of DOE N 234.1, Reporting of Radioactive Sealed Sources		DOE ltr dtd 08/02/2010 B&W Y-12 ltr dtd 08/30/2010
<i>Addition: This Notice extends DOE N 234.1 until 05/06/2011 or until superseded.</i>				
<u>RD-1020</u> S/RID 4.0 Rev. 16	08/30/2010	TRAINING AND QUALIFICATION		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/31/2010
<i>Type 1 change to replace requirements taken from DOE O 433.1, "Maintenance Management Program for DOE Nuclear Facilities," dated 06/01/2001, with requirements taken from DOE O 433.1B, "Maintenance Management Program for DOE Nuclear Facilities," dated 04/21/2010, to align with approved changes in the Maintenance (Lead) functional area.</i>				
<u>RD-1021</u> S/RID 8.0 Rev. 33	08/30/2010	CONSTRUCTION PROGRAM		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/31/2010
<i>Type 1 change to replace requirements taken from DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007, with requirements taken from DOE O 433.1B, "Maintenance Management Program for DOE Nuclear Facilities," dated 04/21/2010, to align with approved changes in the Maintenance (Lead) functional area.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1022</u> S/RID 7.0 Rev. 39	08/30/2010	ENGINEERING		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/31/2010
<i>Type 1 change to replace requirements taken from DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007, with requirements taken from DOE O 433.1B, "Maintenance Management Program for DOE Nuclear Facilities," dated 04/21/2010, to align with approved changes in the Maintenance (Lead) functional area.</i>				
<u>RD-1023</u> S/RID 3.0 Rev. 8	08/30/2010	CONFIGURATION MANAGEMENT		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  B&W Y-12 e-mail message dtd 08/31/2010
<i>Type 1 change to replace requirements taken from DOE O 433.1A, "Maintenance Management Program for DOE Nuclear Facilities," dated 02/13/2007, with requirements taken from DOE O 433.1B, "Maintenance Management Program for DOE Nuclear Facilities," dated 04/21/2010, to align with approved changes in the Maintenance (Lead) functional area.</i>				
<u>RD-1024</u> R012	06/16/2010	Requirements Engineering	Issue A	DOE message dtd 08/17/2010  B&W Y-12 ltr dtd 09/01/2010
<i>Addition</i>				

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE Directives can be found at the following Internet address: <a href="https://www.directives.doe.gov/">https://www.directives.doe.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<p><i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i></p>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<p><i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i></p>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
<i>Compliance: Compliance will be in accordance with a revised implementation plan approved by DOE on 03/31/2010.</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
<i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&amp;W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	Extension of DOE O 130.1 and DOE O 135.1		Extends DOE O 130.1 until 05/01/2003
DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.
<b>DOE N 251.86</b>	<b>06/23/2010</b>	<b>EXTENSION OF DOE N 234.1, REPORTING OF RADIOACTIVE SEALED SOURCES</b>		<b>Extends DOE N 234.1 until 05/06/2011 or until superseded.</b>
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 313.1	11/19/2009	MANAGEMENT AND FUNDING OF THE DEPARTMENT'S OVERSEAS PRESENCE		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	3 02/23/2010	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE O 410.1	08/27/2007	CENTRAL TECHNICAL AUTHORITY RESPONSIBILITIES REGARDING NUCLEAR SAFETY REQUIREMENTS		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 11/17/2008	This Order cancels Chapters 1 through 3 of DOE M 413.3-1.
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<p><i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. B&amp;W Y-12 currently is not in full compliance with the total program. Thus, compliance will be in accordance with a revised implementation plan approved by DOE on 01/04/2010 that addresses the total program.</i></p>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		

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DOE O 452.7	05/14/2010	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<p><i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 05/18/2009.</i></p>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE O 471.1B	03/01/2010	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		

**BASELINE LIST OF  
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**List B – List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE Directives can be found at the following Internet address: <a href="https://www.directives.doe.gov/">https://www.directives.doe.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3.  Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: B&amp;W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&amp;W Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&amp;W Y-12 letter dated 11/18/2009.</i>				
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING- IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

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REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

<b>ALBUQUERQUE DIRECTIVES (ALs)</b>				
ALs can be found at the following Internet address: <a href="http://prp.sandia.gov/">http://prp.sandia.gov/</a>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	Chapter 2.3 is superseded by R003, Issue A. Chapter 2.4 is superseded by R006, Issue A. Chapter 3.2 (excluding Phases 6.1 and 6.6) is superseded by R001, Issue A and R006, Issue A. Chapters 8.1 and 8.5 are superseded by R005, Issue A.
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<p><i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 12/07/2009.</i></p>				

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ALBUQUERQUE DIRECTIVES (ALs)				
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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NA SD M 452.3-1	12/10/2009	DEFENSE PROGRAMS BUSINESS REQUIREMENTS AND PROCESSES MANUAL		
<i>Compliance: Full implementation of this directive is contingent upon an impact assessment of all of the documents associated with RMI.</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
<b>R001</b>	01/17/2010	PRODUCT REALIZATION	<b>Issue A</b>	Supersedes Chapter 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.
R003	03/24/2010	PRODUCT DEFINITION CONTROL	Issues A	Supersedes Chapter 2.3 of AL-SD 56XB, Revision 2.
R005	03/12/2010	NEW MATERIAL AND STOCKPILE EVALUATION	Issue A	Supersedes Chapters 8.1 and 8.5 of AL-SD 56XB, Revision 2.
R006	01/15/2010	6.X PROCESS	Issue A	Supersedes Chapters 2.4 and 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
R007	03/29/2010	DIRECTED STOCKPILE WORK PROGRAM FUNDING WITHIN PLANNING, PROGRAMMING, BUDGETING, EVALUATION AND PROCESS	Issue A	
R009	03/29/2010	RISK AND OPPORTUNITY MANAGEMNET	Issue A	Supersedes Chapter 12.3 of AL-SD 56XB, Revision 2.
<b>R012</b>	<b>06/16/2010</b>	<b>REQUIREMENTS ENGINEERING</b>	<b>Issue A</b>	
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	

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<b>ALBUQUERQUE DIRECTIVES (ALs)</b>				
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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPTANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

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<b>ORO DIRECTIVES</b>				
<p>ORO Directives can be found at the following Internet address:  <a href="http://www.ornl.gov/doe/doe_oro_dmg/oro_chklst.htm">http://www.ornl.gov/doe/doe_oro_dmg/oro_chklst.htm</a></p>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
<p>ORO O 350</p> <p>Chapter III</p>	05/31/1996	<p><b>CONTRACTOR HUMAN RESOURCE PROGRAMS</b></p> <p>FEDERAL LABOR STANDARDS</p>	<p>3</p> <p>01/26/2004</p>	

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<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 03/26/2009.</i>				
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		

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<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
<b>DOE HQ Memorandum</b>	<b>12/09/2009</b>	<b>MILITARY OR INTELLIGENCE-RELATED HUMAN SUBJECTS RESEARCH</b>		
<b>DOE HQ Memorandum</b>	<b>03/04/2010</b>	<b>PROJECT MANAGEMENT PRINCIPLES</b>		
<i>Compliance: Compliance will be contingent upon approval of the revised Y-12 National Security Complex PARS II Deployment Cost and Schedule Breakdown submitted to DOE on 08/17/2010.</i>				
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
<b>NAP 70.2</b>	<b>07/02/2010</b>	<b>PHYSICAL PROTECTION</b>		
<b>NAP 70.4</b>	<b>07/02/2010</b>	<b>INFORMATION SECURITY</b>		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 3	04/05/2010	DIRECTIVES SYSTEM PROCESS		
YSO CRD-09-01	09/25/2009	PROJECTS CONTRACTOR REQUIREMENTS DOCUMENT (CRD)	Admin. Chg. 11/24/2009	

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<b>STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)</b>				
<b>FUNCTIONAL AREA (FA)</b>	<b>TITLE</b>	<b>REVISION NUMBER</b>	<b>DOE/YSO APPROVAL DATE</b>	<b>NOTES AND COMMENTS</b>
<b>1.0</b>	<b>MS - Management Systems</b>	<b>23</b>	<b>07/23/2010</b>	
2.0	QA - Quality Assurance	14	11/24/2008	
<b>3.0</b>	<b>CM - Configuration Management</b>	<b>8</b>	<b>08/30/2010</b>	<b>Type 1 Change</b>
<b>4.0</b>	<b>TQ - Training and Qualification</b>	<b>16</b>	<b>08/30/2010</b>	<b>Type 1 Change</b>
5.0	EM - Emergency Management	21	02/18/2010	
<b>7.0</b>	<b>EG - Engineering Program</b>	<b>39</b>	<b>08/30/2010</b>	<b>Type 1 Change</b>
<b>8.0</b>	<b>CP - Construction Program</b>	<b>33</b>	<b>08/30/2010</b>	<b>Type 1 Change</b>
<b>9.0</b>	<b>OP - Operations</b>	<b>6</b>	<b>08/24/2010</b>	<b>Type 1 Change</b>
<b>10.0</b>	<b>MA - Maintenance</b>	<b>17</b>	<b>08/23/2010</b>	
11.0	RP - Radiation Protection	9	01/25/2010	Type 1 Change
<b>12.0</b>	<b>FP - Fire Protection</b>	<b>16</b>	<b>08/24/2010</b>	<b>Type 1 Change</b>
13.0	TR - Packaging and Transportation	17	12/16/2008	
<b>18.0</b>	<b>FS - Facility (Nuclear) Safety</b>	<b>22</b>	<b>08/26/2010</b>	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	22	02/25/2010	
21.0	CS - Nuclear Criticality Safety	4	06/04/2009	

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APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2 10/23/2001	CM, TQ, EG, CP, OP
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP

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APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, CS
<b>DOE O 420.1B</b>	12/22/2005	FACILITY SAFETY	<b>1</b> <b>04/192010</b>	EG, CP, OP, FP

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<b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG
<b>DOE O 433.1B</b>	<b>04/21/2010</b>	<b>MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES</b>		<b>CM, TQ, EG, CP, MA</b>
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP
DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
DOE M 461.1-1	09/29/2000	PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST MANUAL	1 07/26/2005	TR
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM

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DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	TQ, TR
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		MS, FS
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP

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REQUIRED COMPLIANCE DOCUMENTS**

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<b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP
TN OVERSIGHT AGREEMENT	06/06/2006	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
<b>YSO-CRD-03-01, Rev. 5</b>	<b>03/26/2010</b>	<b>START-UP AND RESTART OF OPERATIONS, ACTIVITIES &amp; FACILITIES AT Y-12, INCLUDING SAFETY BASIS DOCUMENT IMPLEMENTATION</b>		<b>MS</b>

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

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APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/ Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE	PAGE OF PAGES 1 1	
2. AMENDMENT/MODIFICATION NO. 217		3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)
6. ISSUED BY NNSA/Oakridge Site Office U.S. Department of Energy NNSA/Y-12 Site Office P.O. Box 2050 Building 9704-2 Oak Ridge TN 37831		CODE 05008	7. ADMINISTERED BY (If other than Item 6) NNSA/Oakridge Site Office U.S. Department of Energy NNSA/Y-12 Site Office P.O. Box 2050 Building 9704-2 Oak Ridge TN 37831	CODE 05008
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) BABCOCK & WILCOX TECHNICAL SERVICES Y-12, LLC Attn: WILLIE J. WILSON PO BOX 2009 602 SCARBORO RD., N1.149/MS 8004 OAK RIDGE TN 378318004		(X) 9A. AMENDMENT OF SOLICITATION NO.	9B. DATED (SEE ITEM 11)	
CODE 141952312 FACILITY CODE		X 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800	10B. DATED (SEE ITEM 13) 08/31/2000	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (Such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) P.L. 95-91, DEAR 970.5204-2 Laws, Regulations and DOE Directives (Dec 2000)

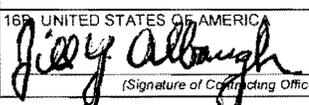
E. IMPORTANT: Contractor  is not,  is required to sign this document and return \_\_\_\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

C. The enclosed Requirements Change Notice (RCN) Number NNSA-40 supersedes RCN Number NNSA-39. NNSA -40 is incorporated into the contract at Part III, List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E, Baseline List of Required Compliance Documents.

Period of Performance: 08/31/2000 to 09/30/2010

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)	
		Jill Y. Albaugh	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
(Signature of person authorized to sign)		 (Signature of Contracting Officer)	09/10/2010